

Inhomogeneous phases in strong-interaction physics

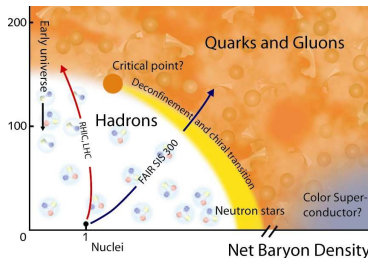


TECHNISCHE
UNIVERSITÄT
DARMSTADT

Michael Buballa

Workshop on Strongly-Interacting Field Theories
Jena, November 29 – December 1, 2012

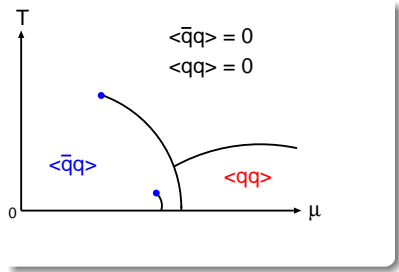
QCD phase diagram (CBM poster):



► regions of interest:

- hadronic phase
- quark-gluon plasma
- critical endpoint ?
- color superconductors ?
- nuclear matter liquid-gas transition

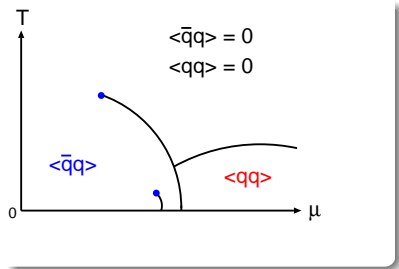
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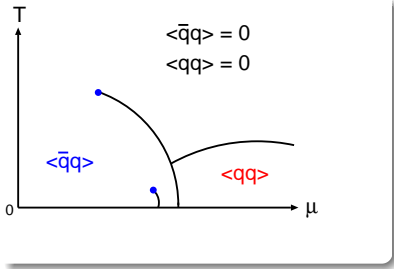
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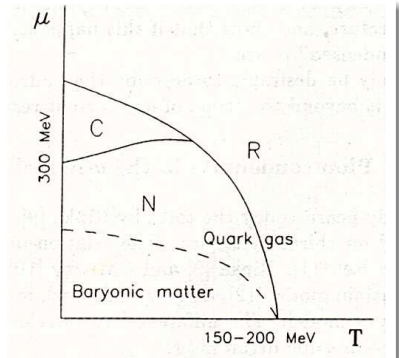
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- ▶ frequent assumption:
 $\langle \bar{q}q \rangle$, $\langle qq \rangle$ constant in space
- ▶ How about **non-uniform** phases ?

Inhomogeneous phases: (incomplete) historical overview

- ▶ 1960s:
 - ▶ spin-density waves in nuclear matter (Overhauser)
 - ▶ crystalline superconductors (Fulde, Ferrell, Larkin, Ovchinnikov)
- ▶ 1970s – 1990s:
 - ▶ p-wave pion condensation (Migdal)
 - ▶ chiral density wave (Dautry, Nyman)
 - ▶ Skyrme crystals (Goldhaber, Manton)
- ▶ after 2000:
 - ▶ 1+1 D Gross-Neveu model (Thies et al.)
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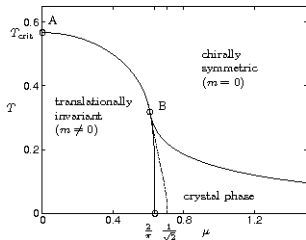
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Broniowski et al. (1991)

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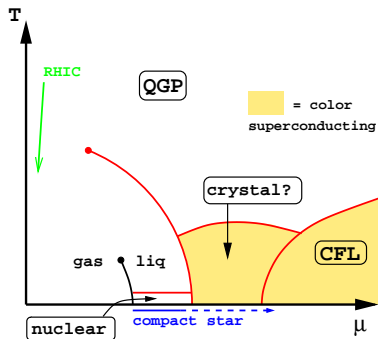
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Thies, Ulrichs (2003)

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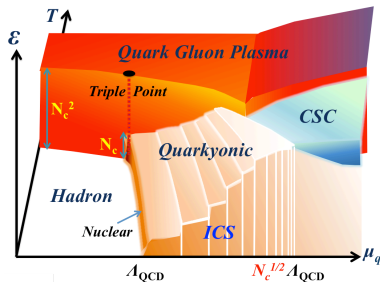
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Alford (2003)

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Kojo et al. (2011)

► This talk: **Inhomogeneous phases in the Nambu–Jona-Lasinio model**

1. Introduction
2. Inhomogeneous chiral condensates
3. Color superconductivity and pion condensation
4. Conclusions



► NJL model:

$$\mathcal{L} = \bar{\psi}(i\cancel{\partial} - m)\psi + G_S [(\bar{\psi}\psi)^2 + (\bar{\psi}i\gamma_5\vec{\tau}\psi)^2]$$

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$$\sigma(x) \rightarrow \langle \sigma(x) \rangle \equiv S(\vec{x}), \quad \pi_a(x) \rightarrow \langle \pi_a(x) \rangle \equiv P(\vec{x}) \delta_{a3}$$

- ▶ $S(\vec{x})$, $P(\vec{x})$ time independent classical fields
- ▶ retain space dependence !

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- ▶ retain space dependence !
- ▶ mean-field thermodynamic potential:

$$\Omega_{MF}(T, \mu) = -\frac{T}{V} \ln \int \mathcal{D}\bar{\psi} \mathcal{D}\psi \exp \left(\int_{x \in [0, \frac{1}{T}] \times V} (\mathcal{L}_{MF} + \mu \bar{\psi} \gamma^0 \psi) \right)$$

- ▶ mean-field Lagrangian:

$$\mathcal{L}_{MF} = \bar{\psi}(x) \mathcal{S}^{-1}(x) \psi(x) - G_S [S^2(\vec{x}) + P^2(\vec{x})]$$

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- ▶ \mathcal{H}_{MF} hermitean \Rightarrow can (in principle) be diagonalized (eigenvalues E_λ)
- ▶ \mathcal{H}_{MF} time-independent \Rightarrow Matsubara sum as usual

- thermodynamic potential:

$$\Omega_{MF}(T, \mu; S, P) = -\frac{T}{V} \mathbf{Tr} \ln \left(\frac{1}{T} (i\partial_0 - \mathcal{H}_{MF} + \mu) \right) + \frac{G_S}{V} \int_V d^3x \left(S^2(\vec{x}) + P^2(\vec{x}) \right)$$

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- ▶ general case: **extremely difficult!**

- ▶ crystal with a unit cell spanned by vectors \vec{a}_i , $i = 1, 2, 3$
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- ▶ mean-field Hamiltonian in momentum space:

$$\mathcal{H}_{\vec{p}_m, \vec{p}_n} = \begin{pmatrix} -\vec{\sigma} \cdot \vec{p}_m \delta_{\vec{p}_m, \vec{p}_n} & \sum_{\vec{q}_k} M_{\vec{q}_k} \delta_{\vec{p}_m, \vec{p}_n + \vec{q}_k} \\ \sum_{\vec{q}_k} M_{\vec{q}_k}^* \delta_{\vec{p}_m, \vec{p}_n - \vec{q}_k} & \vec{\sigma} \cdot \vec{p}_m \delta_{\vec{p}_m, \vec{p}_n} \end{pmatrix}$$

- ▶ different momenta coupled by $M_{\vec{q}_k} \Rightarrow \mathcal{H}$ is nondiagonal in momentum space!
- ▶ \vec{q}_k discrete $\Rightarrow \mathcal{H}$ is still block diagonal

- ▶ general procedure:
 - ▶ choose a unit cell $\{\vec{a}_i\} \Rightarrow \{\vec{q}_k\}$
 - ▶ choose Fourier components $M_{\vec{q}_k}$
 - ▶ diagonalize $\mathcal{H}_{MF} \rightarrow \Omega_{MF}$
 - ▶ minimize Ω_{MF} w.r.t. $M_{\vec{q}_k}$
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→ further simplifications necessary

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- ▶ 1 + 1D solutions known **analytically**: [M. Thies, J. Phys. A (2006)]
 $M(z) = \sqrt{\nu} \Delta \operatorname{sn}(\Delta z | \nu)$ (chiral limit), $\operatorname{sn}(\xi | \nu)$: **Jacobi elliptic functions**



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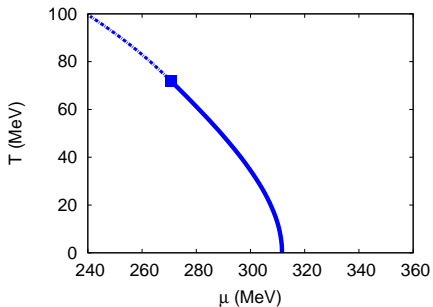
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- ▶ remaining task:
 - ▶ minimize w.r.t. 2 parameters: Δ, ν
 - ▶ (almost) as simple as CDW, but more powerful
 - ▶ $m \neq 0$: 3 parameters



Phase diagram (chiral limit)

[D. Nickel, PRD (2009)]

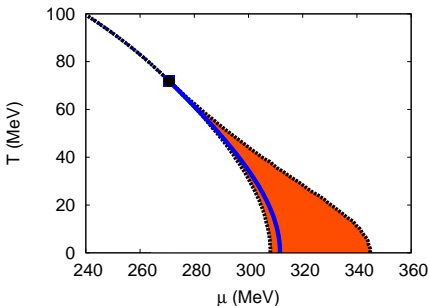
homogeneous phases only



Phase diagram (chiral limit)

[D. Nickel, PRD (2009)]

including inhomogeneous phase



- ▶ 1st-order line completely covered by the inhomogeneous phase!
- ▶ all phase boundaries 2nd order (mean-field artifact?)
- ▶ critical point coincides with Lifshitz point

Mass functions and density profiles ($T = 0$)

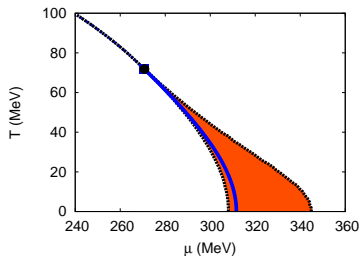
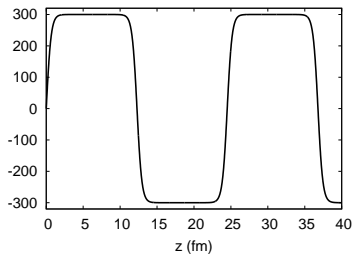


$$\blacktriangleright M(z) = \sqrt{\nu} \Delta \operatorname{sn}(\Delta z | \nu) \quad \rightarrow \quad \begin{cases} \Delta \tanh(\Delta z) & \text{for } \nu \rightarrow 1 \\ \sqrt{\nu} \Delta \sin(\Delta z) & \text{for } \nu \rightarrow 0 \end{cases}$$

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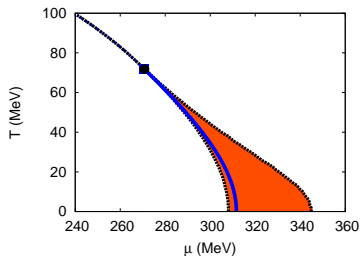
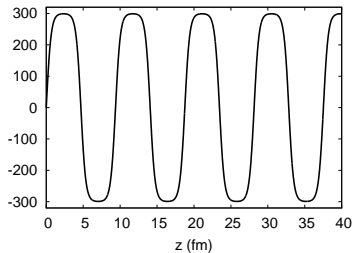
$M(z)$ ($\mu = 307.5$ MeV)



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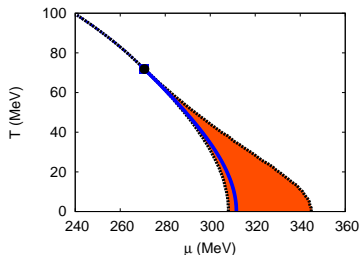
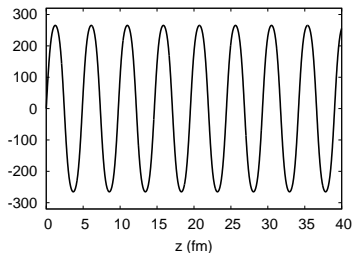
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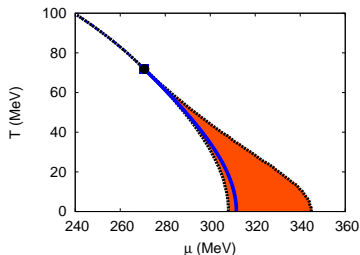
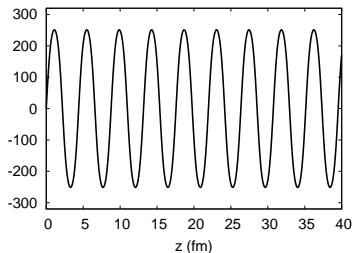
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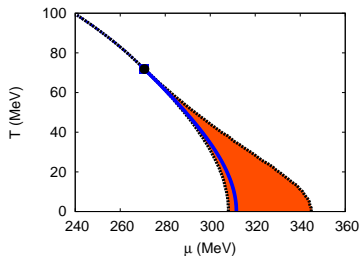
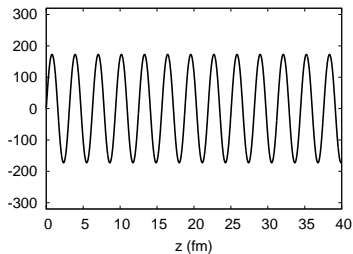
$M(z)$ ($\mu = 310$ MeV)



Mass functions and density profiles ($T = 0$)

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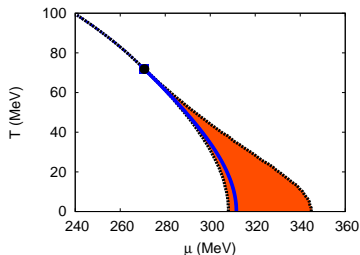
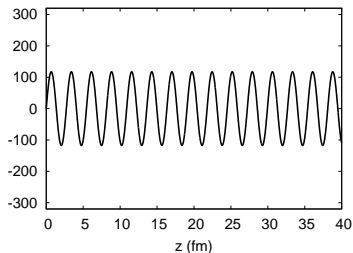
$M(z)$ ($\mu = 320$ MeV)



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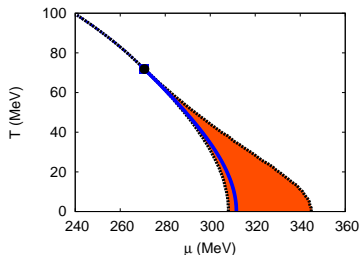
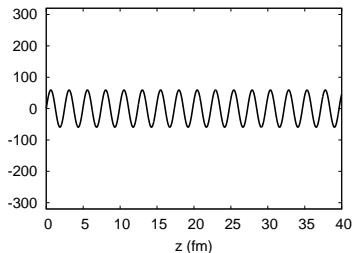
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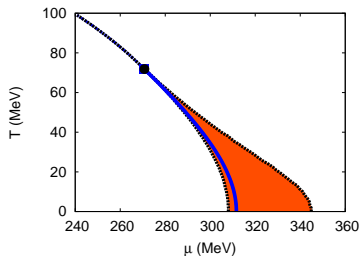
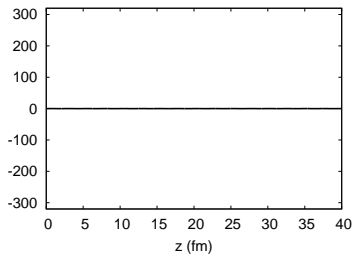
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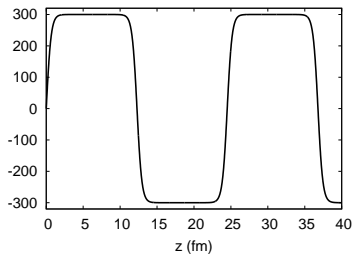
$M(z)$ ($\mu = 345 \text{ MeV}$)



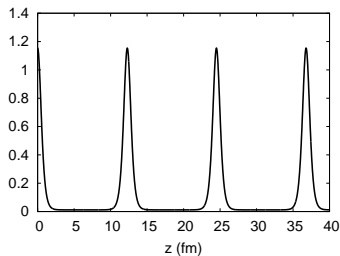
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$M(z)$ ($\mu = 307.5$ MeV)



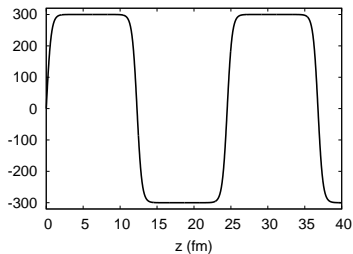
normalized density ($\mu = 307.5$ MeV)



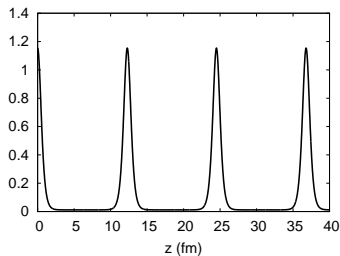
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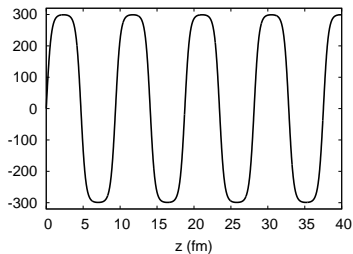


- Quarks reside in the chirally restored regions.

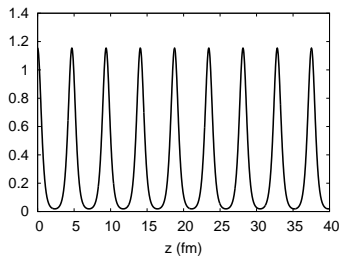
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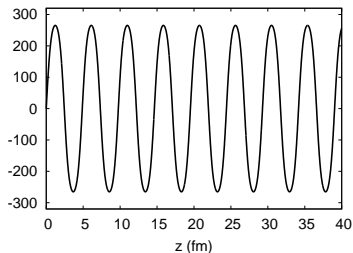


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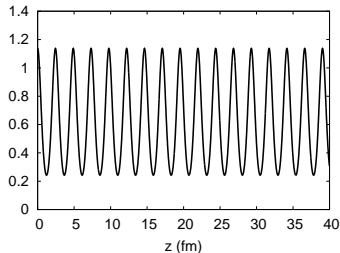
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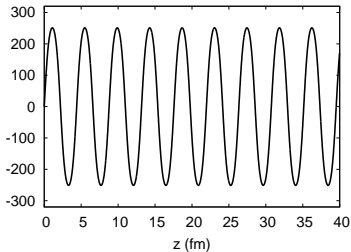


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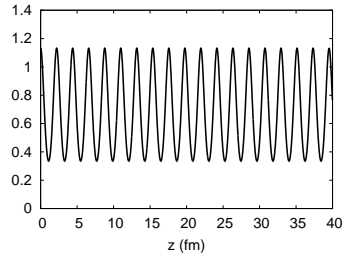
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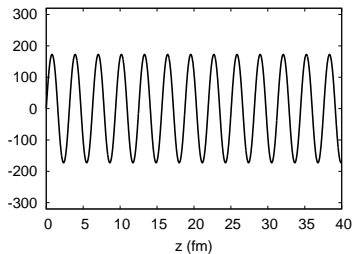


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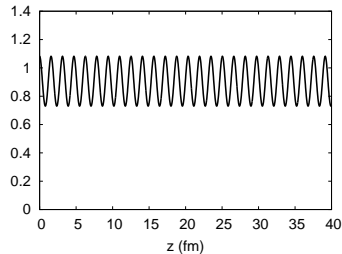
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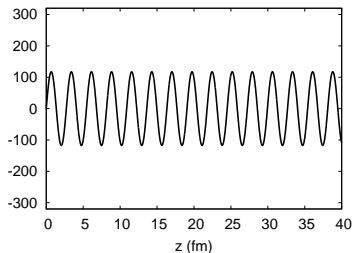


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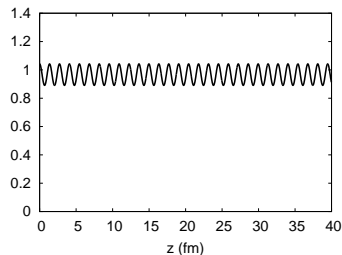
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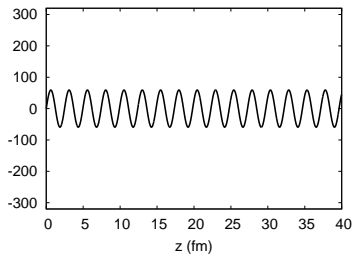


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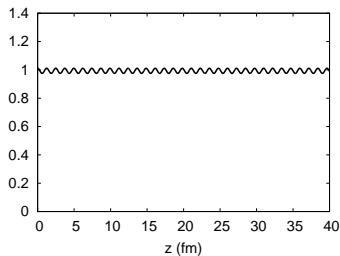
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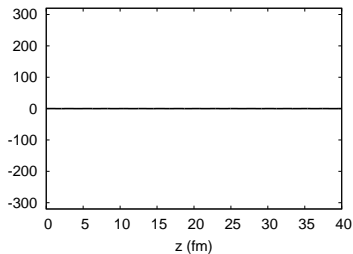


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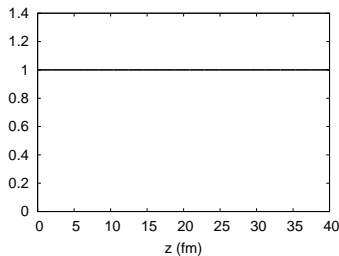
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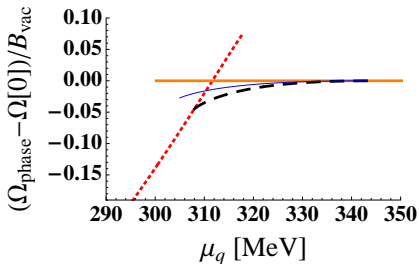
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Free energy difference

[D. Nickel, PRD (2009)]



- ▶ homogeneous chirally broken
- ▶ Jacobi elliptic functions
- ▶ chiral density wave:

$$M_{CDW}(z) = M_1 e^{iqz}$$

- ▶ soliton lattice favored, when it exists
- ▶ $\delta\Omega_{\text{Jacobi}} \approx 2\delta\Omega_{\text{CDW}} \Rightarrow$ CDW never favored

Including vector interactions

[S. Carignano, D. Nickel, M.B., PRD (2010)]



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► additional vector term: $\mathcal{L}_V = -G_V(\bar{\psi}\gamma^\mu\psi)^2$

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- ▶ additional vector term: $\mathcal{L}_V = -G_V(\bar{\psi}\gamma^\mu\psi)^2$
- ▶ additional mean field:
 - ▶ $\bar{\psi}\gamma^\mu\psi \rightarrow \langle \bar{\psi}\gamma^\mu\psi \rangle \equiv n(\vec{x})\delta^{\mu 0}$ (*density!*)
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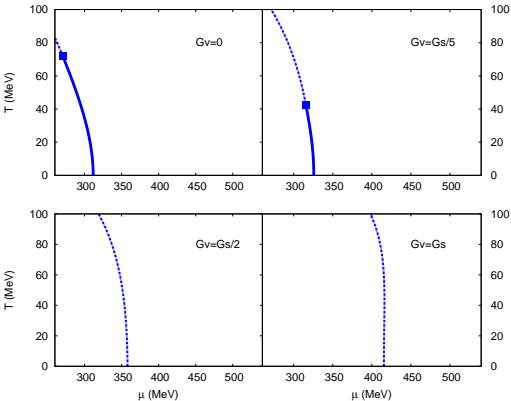
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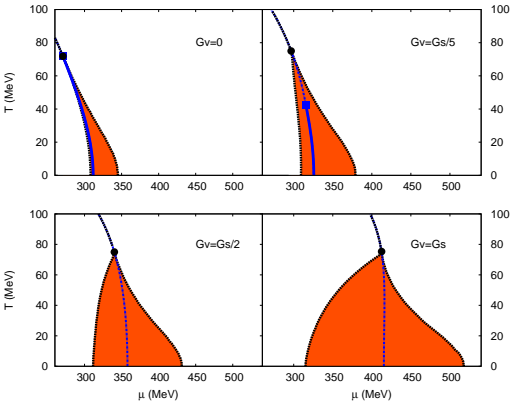
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Phase diagram



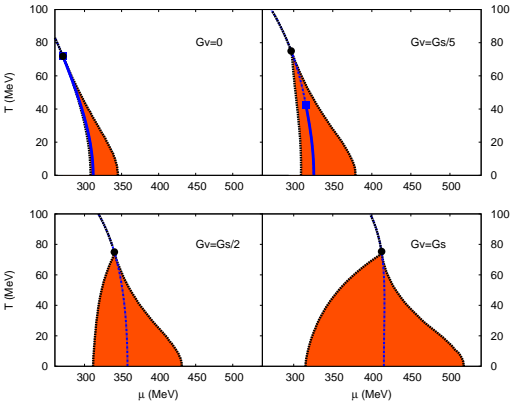
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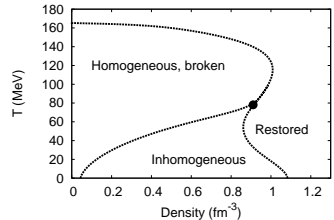


- ▶ **homogeneous phases:** strong G_V -dependence of the critical point
- ▶ **inhomogeneous regime:** stretched in μ direction, Lifshitz point at constant T

Phase diagram



T - $\langle n \rangle$ phase diagram:



► independent of G_V !

- **homogeneous phases:** strong G_V -dependence of the critical point
- **inhomogeneous regime:** stretched in μ direction, Lifshitz point at constant T

Two-dimensional modulations

[S. Carignano, M.B., PRD (2012)]



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Two-dimensional modulations

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- ▶ no known analytical solutions
 - brute-force numerical diagonalization of \mathcal{H} for a given ansatz

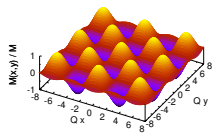
Two-dimensional modulations

[S. Carignano, M.B., PRD (2012)]

- ▶ no known analytical solutions
→ brute-force numerical diagonalization of \mathcal{H} for a given ansatz
- ▶ consider two shapes:

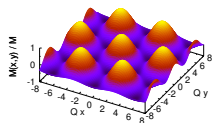
- ▶ square lattice (“egg carton”)

$$M(x, y) = M \cos(Qx) \cos(Qy)$$



- ▶ hexagonal lattice

$$M(x, y) = \frac{M}{3} \left[2 \cos(Qx) \cos\left(\frac{1}{\sqrt{3}}Qy\right) + \cos\left(\frac{2}{\sqrt{3}}Qy\right) \right]$$

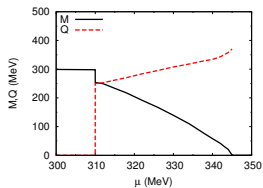


- ▶ minimize both cases numerically w.r.t. M and Q

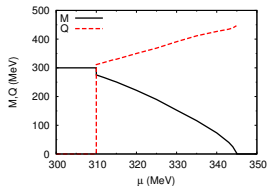
Two-dimensional modulations: results

- ▶ amplitudes and wave numbers:

- ▶ egg carton:



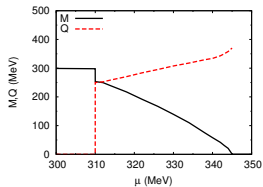
- ▶ hexagon:



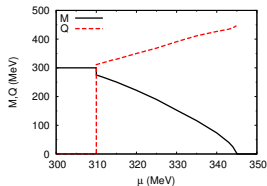
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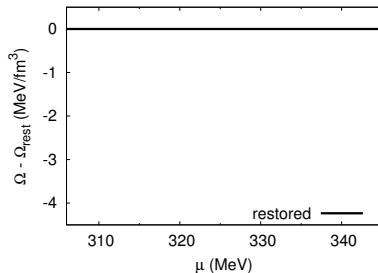
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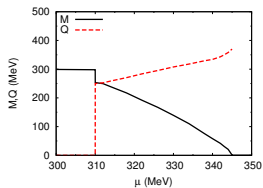
free-energy gain at $T = 0$:



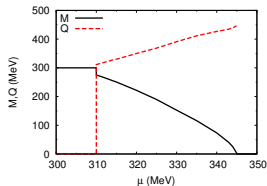
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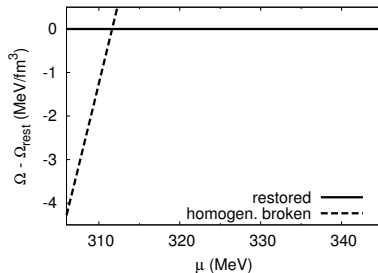
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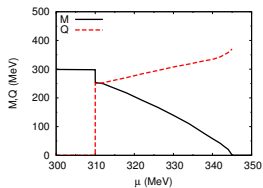
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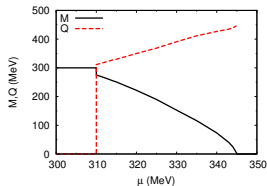
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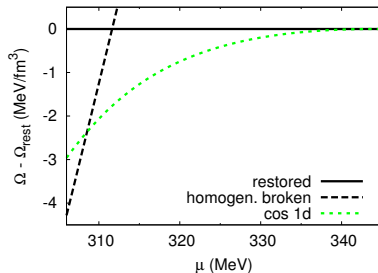
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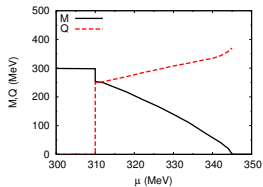
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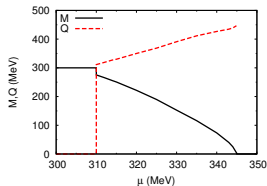
Two-dimensional modulations: results

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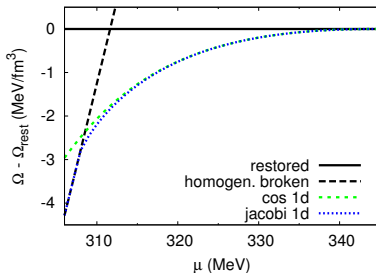
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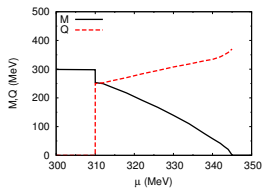
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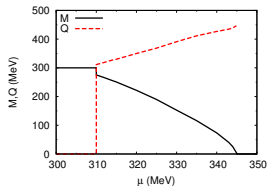
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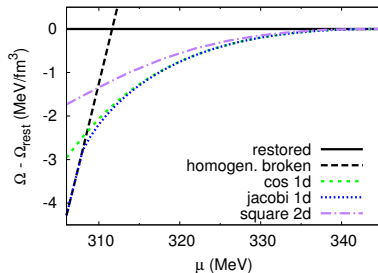
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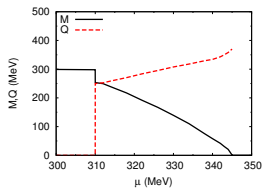
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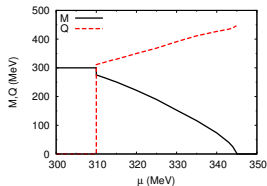
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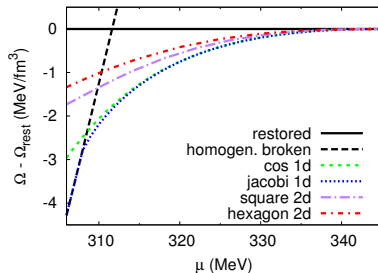
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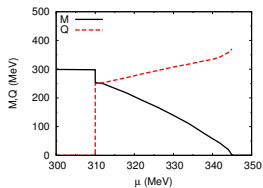
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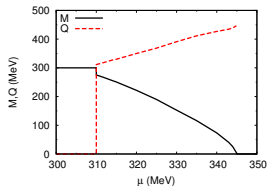
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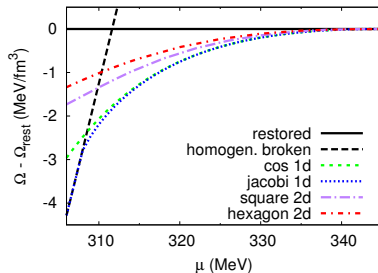
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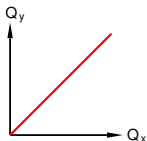


▶ 2d not favored over 1d in this regime

► generalization:

$$M(x, y) = M \cos(Q_x x) \cos(Q_y y)$$

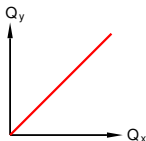
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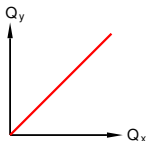


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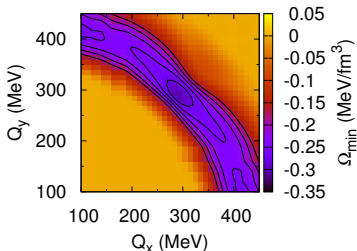
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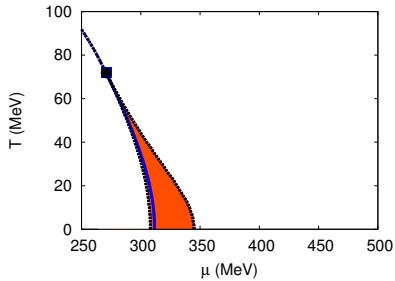
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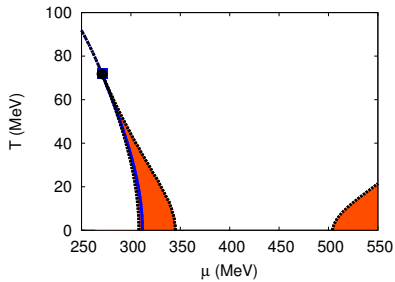


⇒ local minimum!

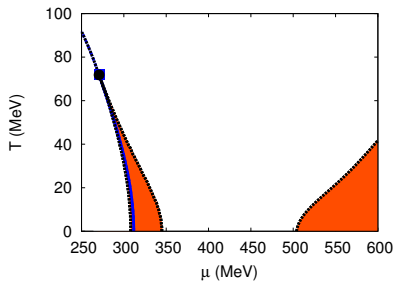
Higher densities



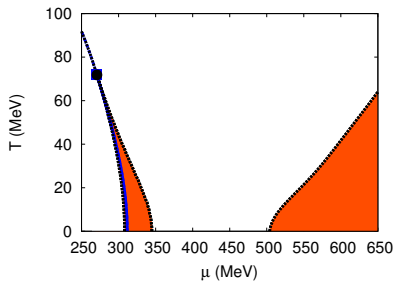
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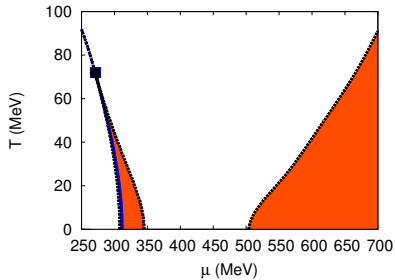
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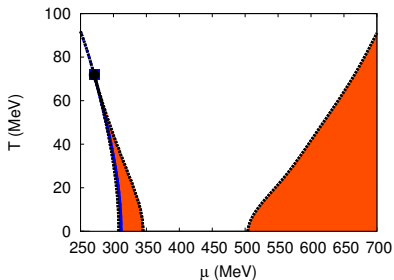
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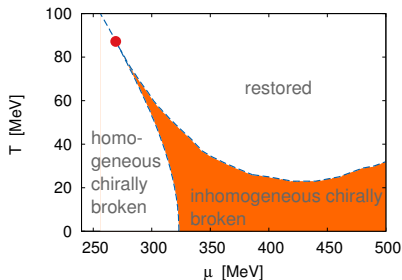


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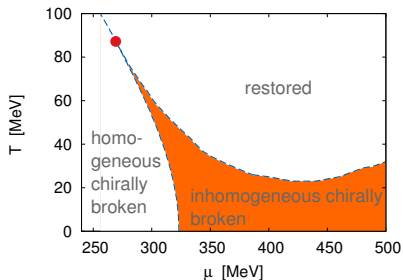
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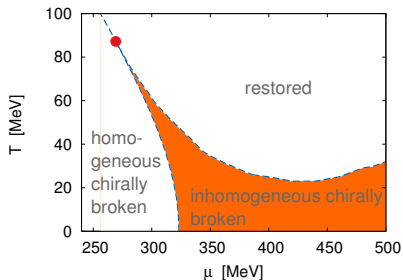
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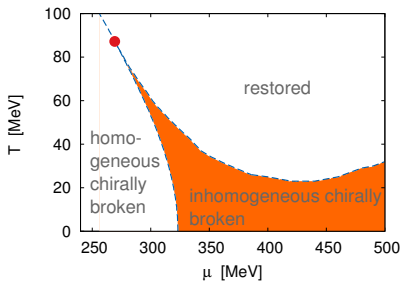


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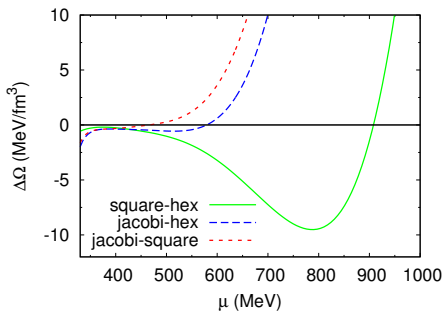


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- ▶ for now: take continent as a “laboratory” to study 2D modulations at higher μ .

Two-dimensional modulations: higher densities

► higher chemical potentials:

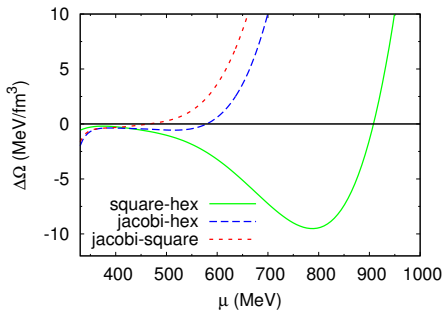


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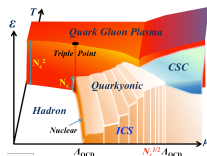
one-dim \rightarrow square \rightarrow hexagon

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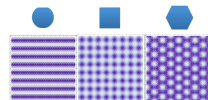
► higher chemical potentials:



► “interweaving chiral spirals”



[Kojo et al., NPA (2012)]

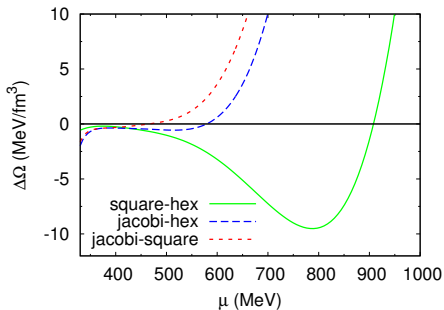


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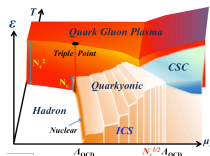
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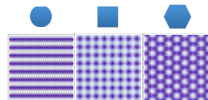
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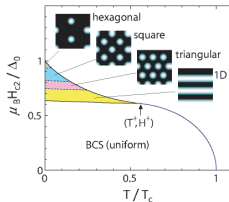
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► two-dim supercond. in a magnetic field:



[Matsuda & Shimahara,
J. Phys. Soc. Jpn. (2007)]

Self-bound quark matter

[M.B., S. Carignano, arXiv:1210.7155]



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▶ homogeneous NJL at $T = 0$ with strong enough attraction:

- ▶ 1st-order phase transition from vacuum to restored quark matter
- ⇒ phase coexistence of vacuum and dense matter
- ⇒ mechanically stable quark droplets in vacuum

schematic bag-model “baryons”!

[M.B., NPA 1996; Alford, Rajagopal, Wilczek, PLB 1998]

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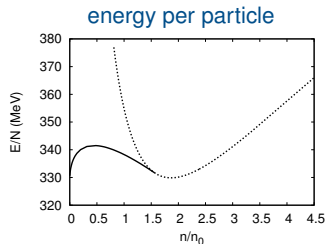
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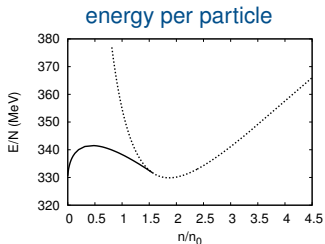
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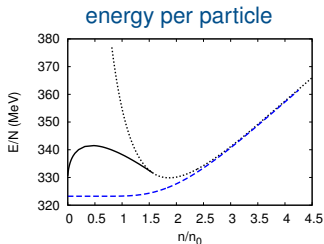
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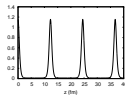
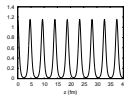
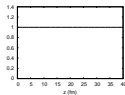
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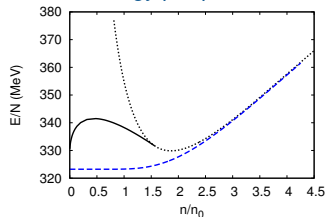
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energy per particle



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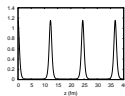
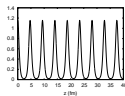
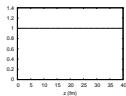
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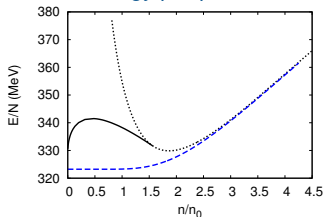
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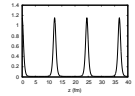
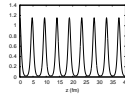
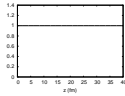
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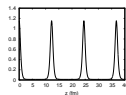
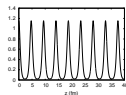
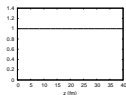
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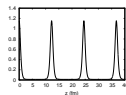
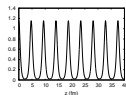
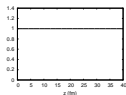


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- ▶ $\frac{E}{N} = \mu_{c,inh} \sim 325$ MeV \Rightarrow “baryon” mass: $M_B = 3\frac{E}{N} \sim 975$ MeV
- ▶ central density: $\rho_B = \frac{1}{4\pi} M_{vac} \mu_{c,inh}^2 \sim 2.1 \rho_0$
- ▶ longitudinal size: $\sqrt{\langle Z^2 \rangle} = \frac{\pi}{\sqrt{12}} \frac{1}{M_{vac}} \sim .5$ fm

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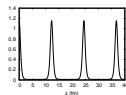
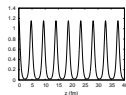
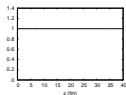
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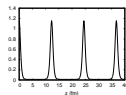
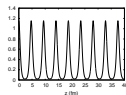
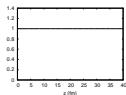
- ▶ fluctuation induced first-order transition \rightarrow stable nuclear matter?

- ▶ But 3D solitons are (probably) not favored over 1D ...

- ▶ revisit chiral solitons [Alkofer, Reinhardt, Weigel; Goeke et al.; Ripka; ...]
- ▶ parameters?
- ▶ effect of missing confinement?

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- ▶ longitudinal size: $\sqrt{\langle Z^2 \rangle} = \frac{\pi}{\sqrt{12}} \frac{1}{M_{vac}} \sim .5 \text{ fm}$

- ▶ fluctuation induced first-order transition \rightarrow **stable nuclear matter?**

- ▶ But 3D solitons are (probably) not favored over 1D ...

- ▶ revisit chiral solitons [Alkofer, Reinhardt, Weigel; Goeke et al.; Ripka; ...]

- ▶ parameters?

- ▶ effect of missing confinement?

- ▶ preformation of 1D solitons in the deconfined phase?

- ▶ measurable effects on fireball expansions?

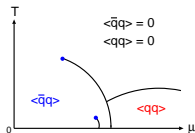
Color superconductivity



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- ▶ low T , high μ :
Cooper pairing of quarks
- ▶ diquark condensates:
 $\langle q^T \mathcal{O} q \rangle$, \mathcal{O} totally antisymmetric operator



► low T , high μ :

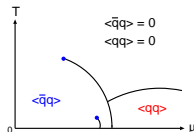
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► most attractive channel:

spin 0, color $\bar{3}$ \rightarrow antisymmetric in flavor \rightarrow pairing between **unequal flavors**



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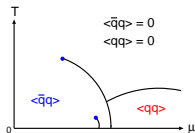
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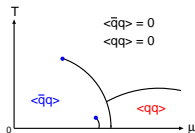
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▶ constraints in compact stars: beta equilibrium + electric neutrality

- ▶ strange quarks suppressed by mass
- \rightarrow more down quarks than up quarks needed
- \rightarrow “stressed pairing”



BCS pairing

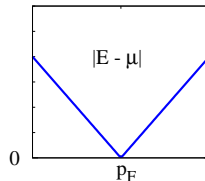


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- ▶ noninteracting Fermi gas

- no free-energy cost for particle creation at the Fermi surface

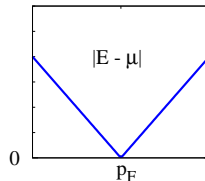
dispersion relation



BCS pairing

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- ▶ Fermi gas with attraction
 - pair condensation (Cooper instability)

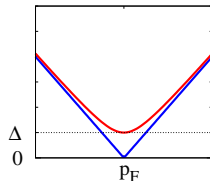
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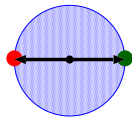
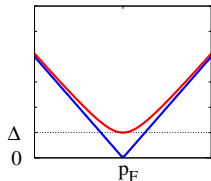
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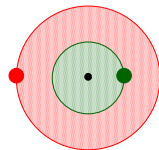
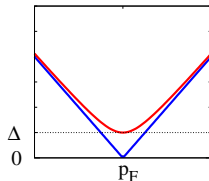
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 - works only if $p_F^a = p_F^b$

dispersion relation



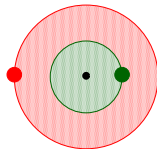
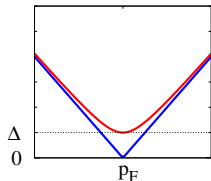
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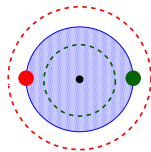
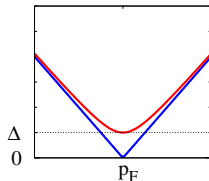
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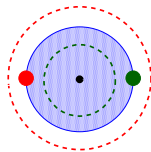
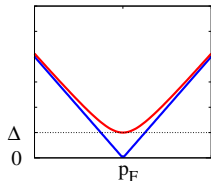
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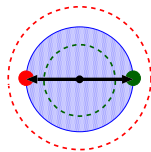
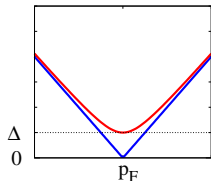
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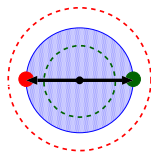
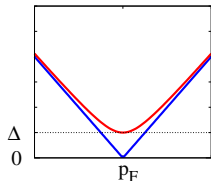
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 - ▶ first equalize Fermi momenta, then pair
 - ▶ not favored for $\delta p_F \gtrsim \frac{\Delta}{\sqrt{2}}$ (Chandrasekhar, Clogston (1962))

dispersion relation



Inhomogeneous pairing

- ▶ pairs with nonzero total momentum $\rightarrow p_F^u \neq p_F^d$ no problem

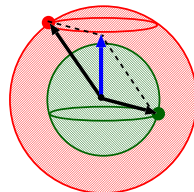
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▶ single plane wave (FF) [Fulde, Ferrell, 1964]

$$\langle \psi(\vec{x}) \psi(\vec{x}) \rangle \sim \Delta e^{i\vec{q} \cdot \vec{x}} \text{ for fixed } \vec{q}$$

- ▶ relatively easy to handle
- ▶ disfavored by phase space



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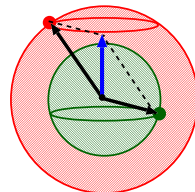
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- ▶ more favored
- ▶ more difficult

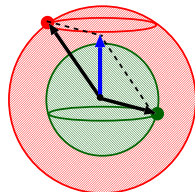


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- ▶ relatively easy to handle
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- ▶ multiple plane waves (LO) [Larkin, Ovchinnikov, 1964]
 - ▶ more favored
 - ▶ more difficult
 - ▶ inhomogeneous (“crystalline”) color superconductivity:
 - ▶ so far mostly FF ansatz [Alford, Bowers, Rajagopal (2001); Sedrakian, Rischke (2009); ...]
 - ▶ or Ginzburg-Landau studies of certain LO patterns [Bowers, Rajagopal (2002); Casalbuoni et al. (2006), Rajagopal, Sharma (2006); ...]



Inhomogeneous CSC: NJL-model results

[D. Nickel, M.B., PRD (2008)]



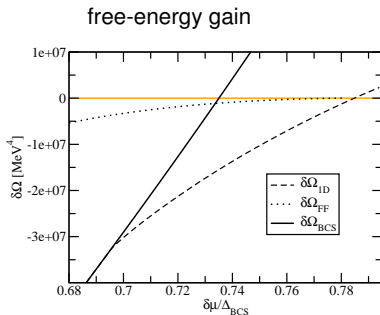
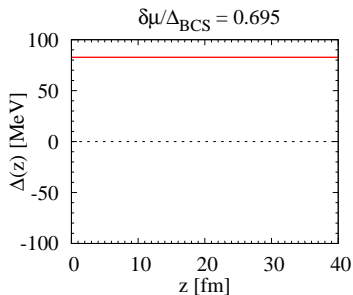
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- ▶ general gap function with one-dimensional modulation: $\Delta(z) = \sum_k \Delta_k e^{ikqz}$
- ▶ minimize numerically w.r.t. q and Δ_k for given $\delta\mu = (\mu_u - \mu_d)/2$

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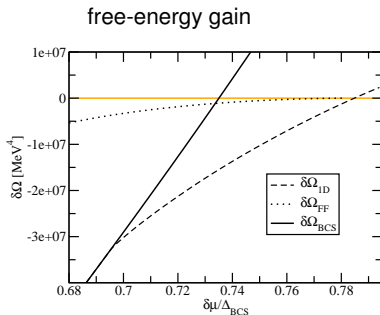
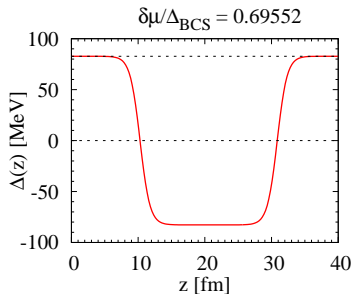


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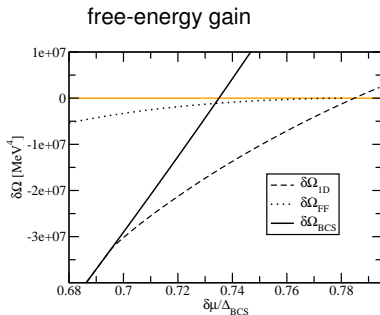
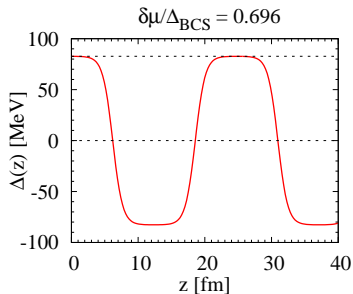


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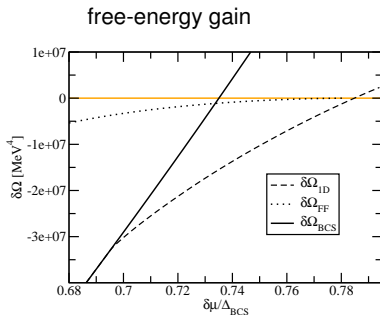
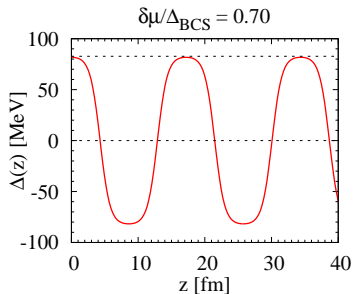


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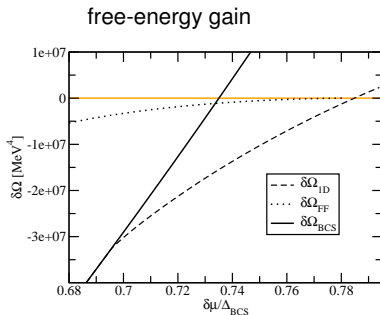
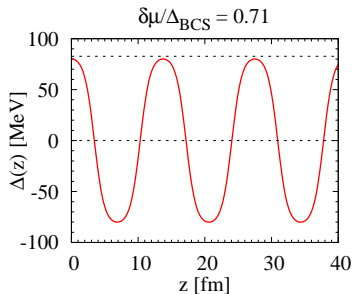


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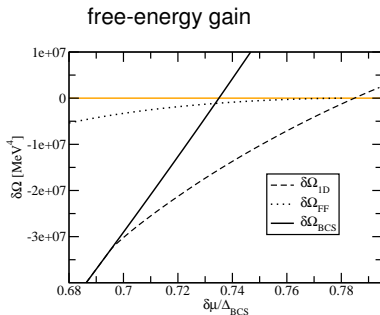
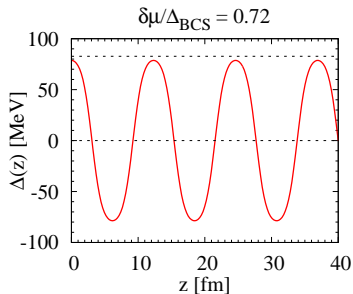


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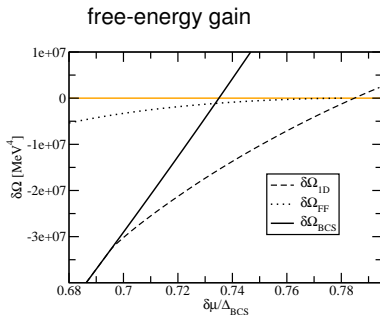
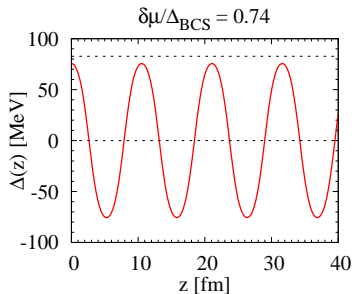


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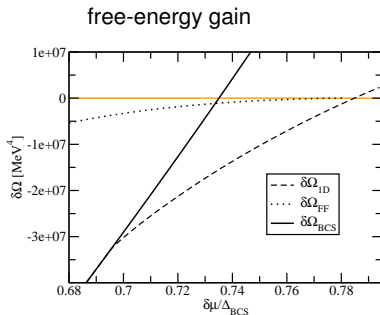
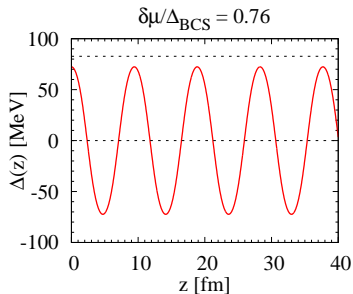


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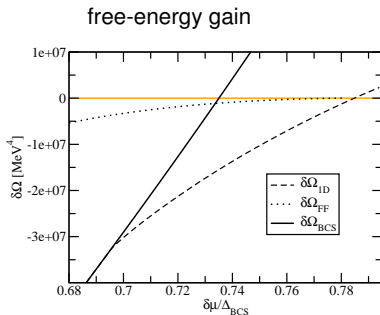
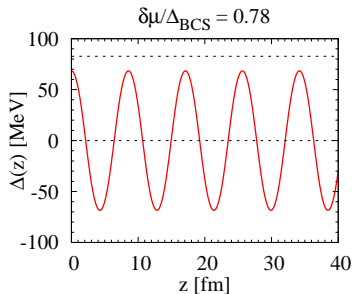


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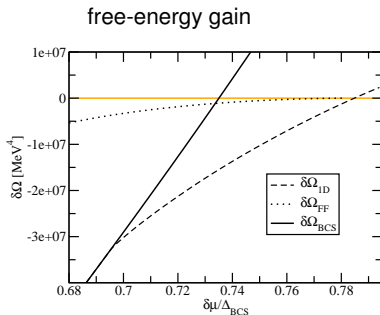
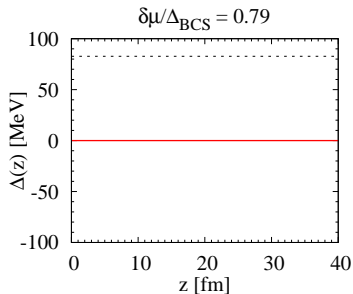


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- ▶ finite isospin chemical potential:

$$\mu_u = +\delta\mu, \quad \mu_d = -\delta\mu$$

- ▶ charged pion condensation:

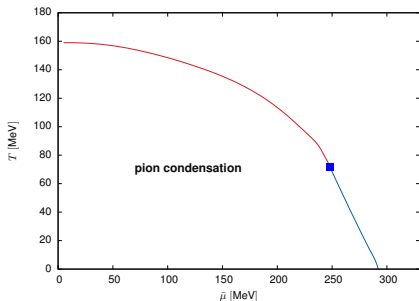
$$\delta\mu > m_\pi/2 \rightarrow \langle \bar{u} i\gamma_5 d \rangle \neq 0$$

- ▶ + quark chemical potential:

$$\mu_u = \delta\mu + \bar{\mu}, \quad \mu_d = -\delta\mu + \bar{\mu}$$

→ stressed pion condensation

homogeneous phases only



[D. Nowakowski, work in progress]

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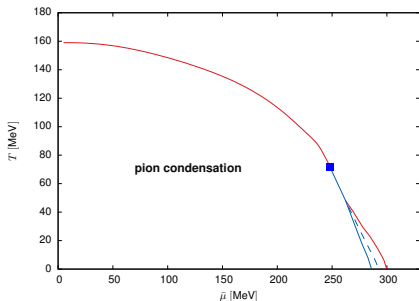
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→ stressed pion condensation

- ▶ allowing for inhomogeneous condensates:

analogous phase structure as before

including inhomogeneous phase



[D. Nowakowski, work in progress]

condensate	avored by	stressed by
$\langle \bar{q}q \rangle$	(vacuum)	$\bar{\mu}$
$\langle q^T \mathcal{O} q \rangle$	$\bar{\mu}$	$\delta\mu$
$\langle \bar{u} i\gamma_5 d \rangle$	$\delta\mu$	$\bar{\mu}$

- ▶ similar structures in the $T - \mu_{stress}$ phase diagram!

- ▶ Inhomogeneous phases must be considered!



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- ▶ NJL model with one- and two-dimensional modulations of $\langle \bar{q}q \rangle$:
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Collaborators



Stefano Carignano



Daniel Nowakowski

Backup slides

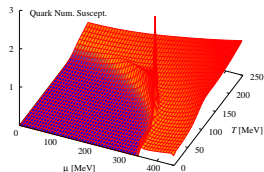


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- ▶ signature of the critical point:
divergent susceptibilities
- ▶ e.g., quark number susceptibility:

$$\chi_{nn} = -\frac{\partial^2 \Omega}{\partial \mu^2} = \frac{\partial n}{\partial \mu}$$

homogeneous phases only:



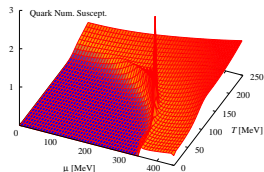
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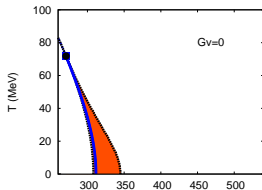


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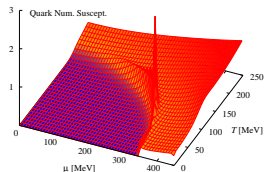
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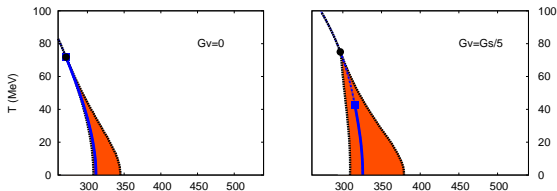
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- ▶ $G_V = 0$:
CP = Lifshitz point
→ no qualitative change

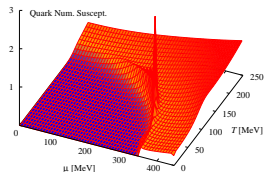
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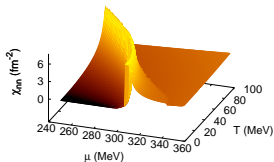
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- ▶ $G_V = 0$:
CP = Lifshitz point
→ no qualitative change
- ▶ $G_V > 0$:
no CP → no divergence

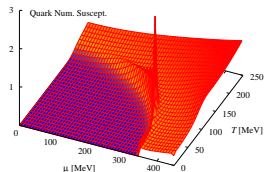
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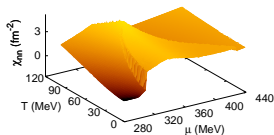
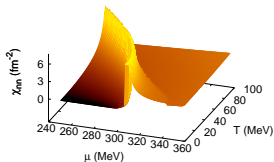
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- ▶ $G_V = 0$:
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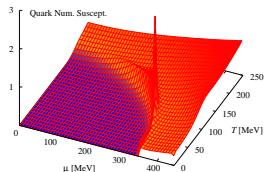
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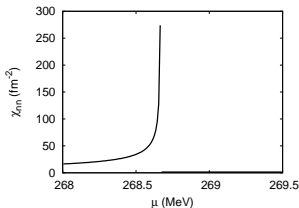
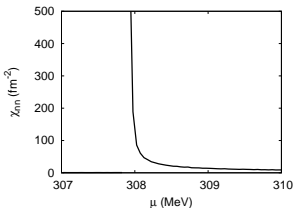
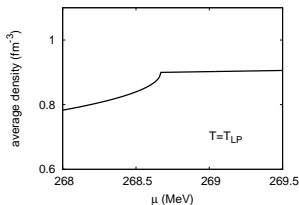
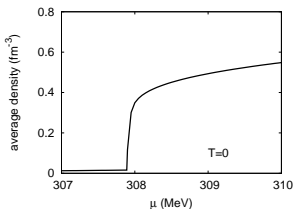
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- ▶ $G_V = 0$:
 χ_{nn} diverges
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- ▶ $G_V > 0$:
no divergence

- densities and quark number susceptibilities for $G_V = 0$:



- $T = T_{CP}, \mu < \mu_c$:

$$\chi_{nn} \propto \frac{1}{\sqrt{\mu_c - \mu}}$$

- $T = 0, \mu > \mu_{cr}$:

$$\chi_{nn} \propto \frac{1}{(\mu - \mu_{cr}) \log^2(\mu - \mu_{cr})}$$

- $G_V > 0$:

$$\delta\chi_{nn}|_{T=0, \mu=\mu_{cr}} \approx \frac{1}{2G_V}$$

Technical origin of the “continent” (chiral density wave, $T = 0$)



$$\begin{aligned} \blacktriangleright \Omega(\mu) - \Omega_{rest.}(\mu) = \\ -2N_c \int_0^\infty dE [f_{vac}(E, \Lambda) + f_{med}(E, \mu)] [\rho(E, M, q) - \rho_{rest.}(E)] + \frac{M^2}{4G} \end{aligned}$$

$$f_{vac}(E, \Lambda) = E + \text{regulator terms}$$

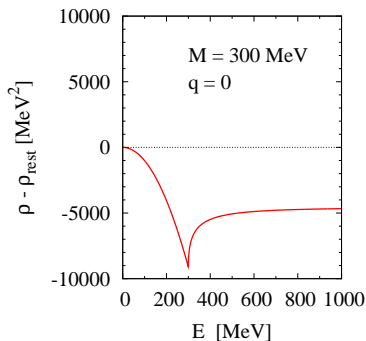
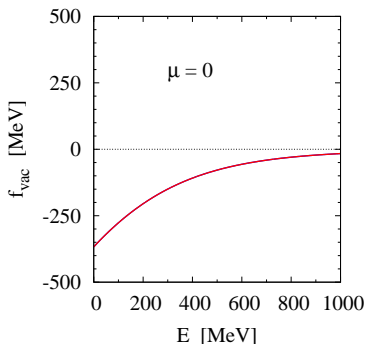
$$f_{med}(E, \mu) = \theta(\mu - E)(\mu - E)$$

ρ = density of states

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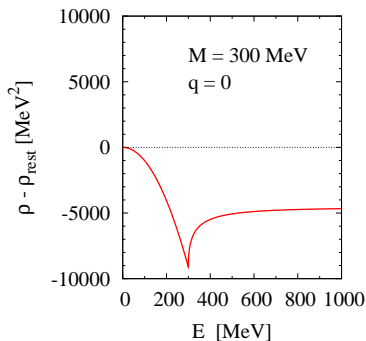
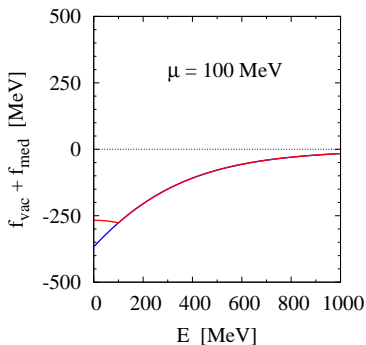
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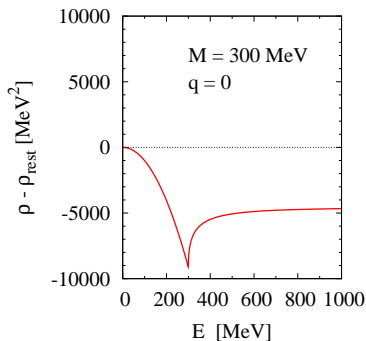
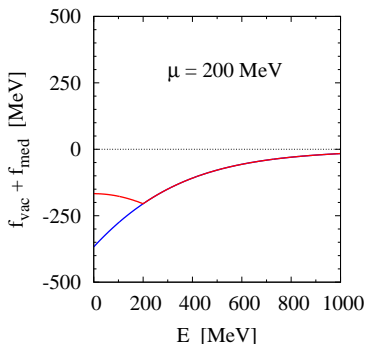
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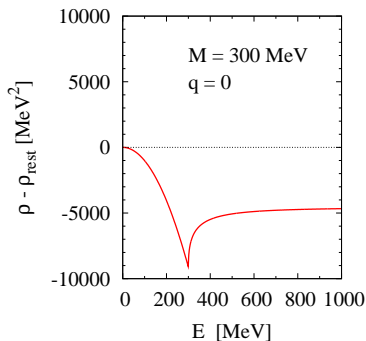
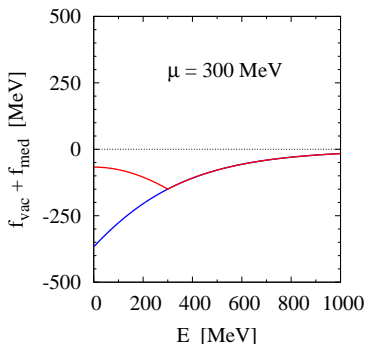
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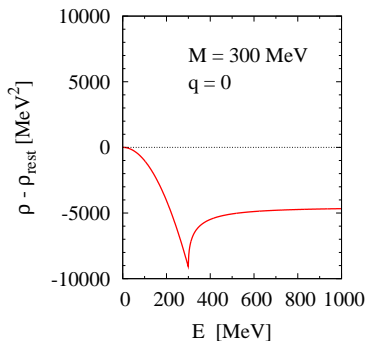
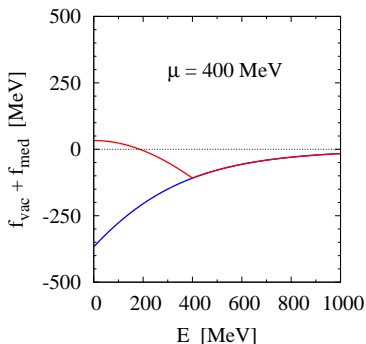
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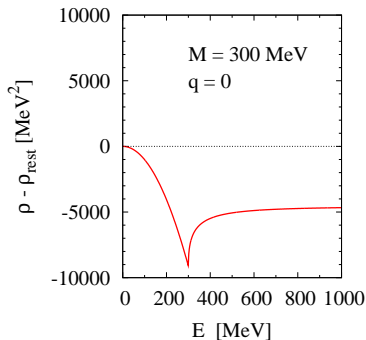
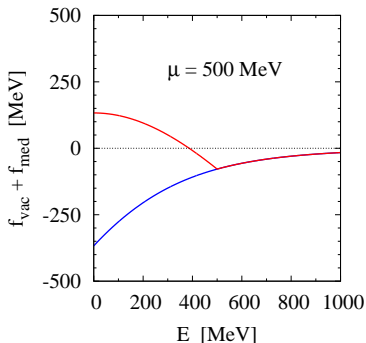
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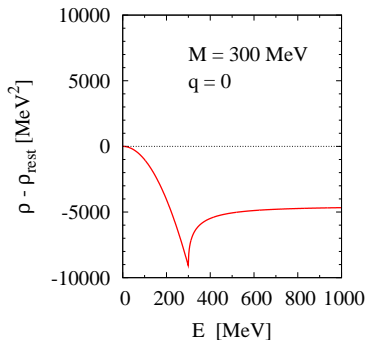
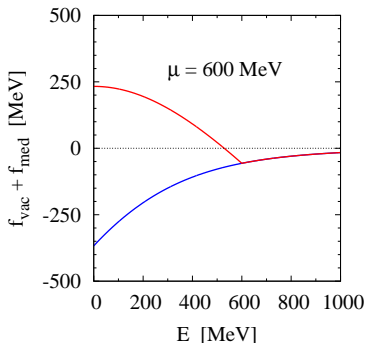
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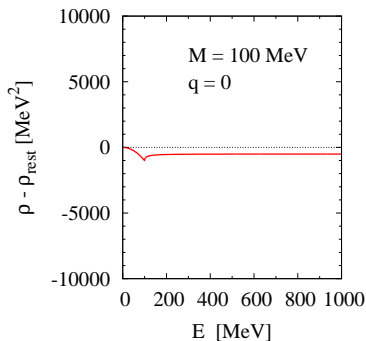
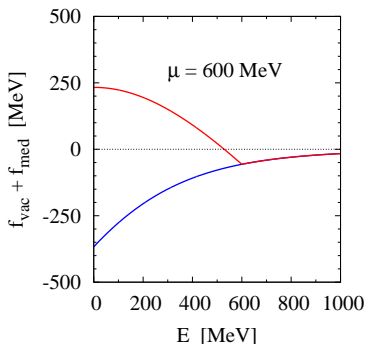
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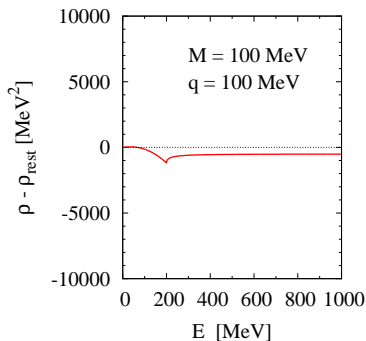
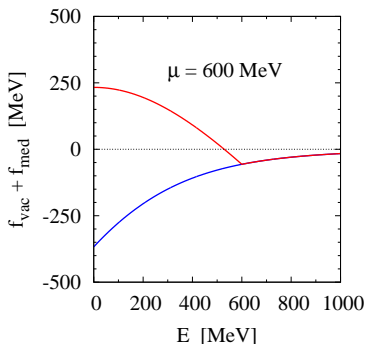
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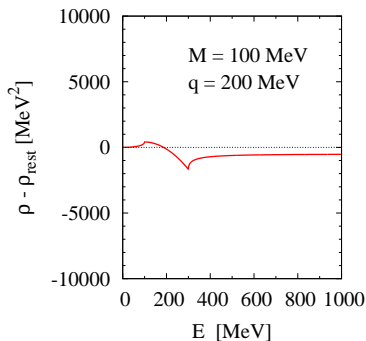
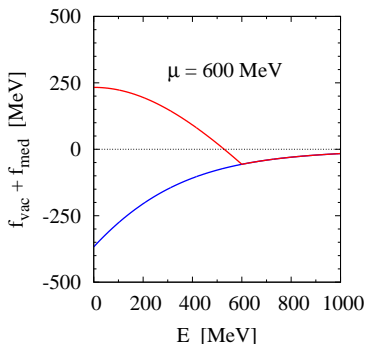
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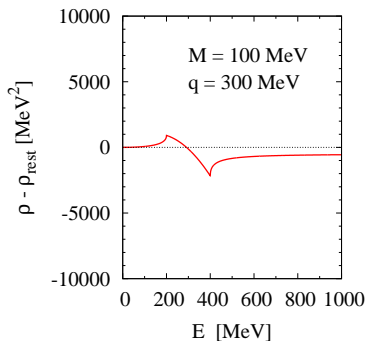
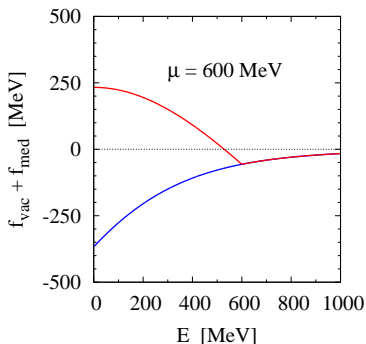
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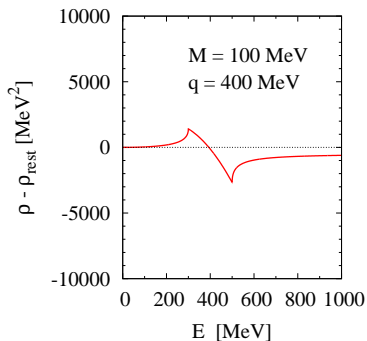
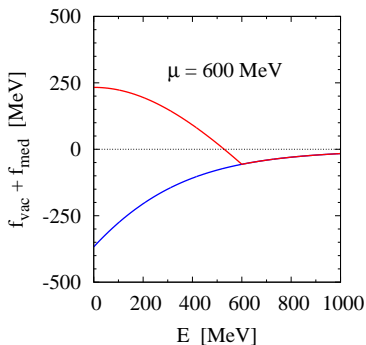
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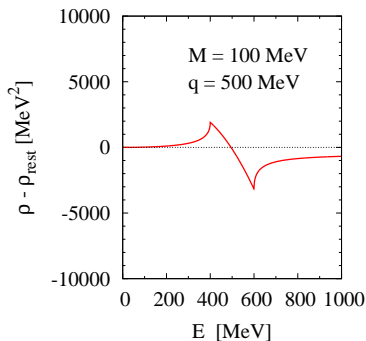
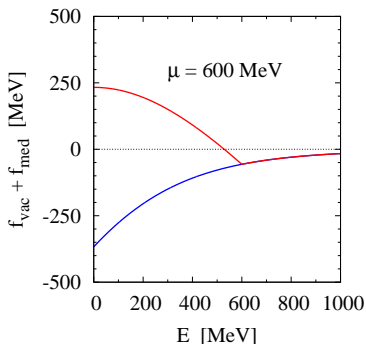
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