

# Lattice field theories with dual variables

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## Euclidean path integral, complex action problem and dual representation

- Vacuum expectation values with Feynman's path integral:

$$\langle O \rangle = \frac{1}{Z} \int D[\psi] e^{-S[\psi]} O[\psi]$$

- In a Monte Carlo simulation observables are computed as averages over field configurations  $\psi$  distributed according to

$$P[\psi] = \frac{1}{Z} e^{-S[\psi]}$$

- For finite chemical potential  $\mu$  the action  $S[\psi]$  is complex and the Boltzmann factor cannot be used as probability weight in a stochastic process.

Rewriting a system in terms of new variables where only real and positive terms appear in the partition sum could overcome the complex action problem.

*I will discuss two examples*

- Relativistic Bose gas = charged  $\phi^4$  field with chemical potential.
- Scalar electrodynamics with 2 flavors and chemical potential.

Y. Delgado Mercado, H.G. Evertz, C. Gattringer, Phys. Rev. Lett., 2011

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Y. Delgado Mercado, H.G. Evertz, C. Gattringer, Comp. Phys. Comm., 2012

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Y. Delgado Mercado, A. Schmidt, C. Gattringer, in preparation

C. Gattringer, T. Kloiber, in preparation

## Example for a dual representation: Charged $\phi^4$ field

- Continuum action:

$$S = \int d^4x \left[ \phi(x)^* [m^2 - \mu^2 - \Delta] \phi(x) + \lambda |\phi(x)|^4 \right] + i\mu N$$

- Action on the lattice:

$$S = \sum_x \left[ \kappa |\phi_x|^2 + \lambda |\phi_x|^4 - \sum_{j=1}^3 \left( \phi_x^* \phi_{x+\hat{j}} + \phi_x^* \phi_{x-\hat{j}} \right) - \phi_x^* e^{-\mu} \phi_{x+\hat{4}} + \phi_x^* e^{\mu} \phi_{x-\hat{4}} \right]$$

C. Gattringer, T. Kloiber, arXiv:1206.2954

C. Gattringer, T. Kloiber, in preparation

## Dual representation – I

- Expand the individual nearest neighbor terms:

$$e^{-\mu \delta_{\nu,4}} \phi_x^* \phi_{x+\hat{\nu}} = \sum_{j_{x,\nu}=0}^{\infty} \frac{(e^{-\mu \delta_{\nu,4}})^{j_{x,\nu}}}{(j_{x,\nu})!} (\phi_x)^{j_{x,\nu}} (\phi_{x+\hat{\nu}}^*)^{j_{x,\nu}}$$

$$e^{\mu \delta_{\nu,4}} \phi_x^* \phi_{x-\hat{\nu}} = \sum_{\bar{j}_{x,\nu}=0}^{\infty} \frac{(e^{\mu \delta_{\nu,4}})^{\bar{j}_{x,\nu}}}{(\bar{j}_{x,\nu})!} (\phi_x)^{\bar{j}_{x,\nu}} (\phi_{x-\hat{\nu}}^*)^{\bar{j}_{x,\nu}}$$

- Idea:** Use the  $j_{x,\nu}$  and  $\bar{j}_{x,\nu}$  as the new degrees of freedom.
- Remaining  $\phi$ -integrals at a site  $x$  :

$$\int_{\mathbb{C}} d\phi_x e^{-\kappa|\phi_x|^2 - \lambda|\phi_x|^4} (\phi_x)^{F(j,\bar{j})} (\phi_x^*)^{\bar{F}(j,\bar{j})}$$

$F_x(j, \bar{j}), \bar{F}_x(j, \bar{j}) \in \mathbb{N}_0$  are linear combinations of the  $j$  and  $\bar{j}$  variables attached to the site  $x$ . They correspond to the total  $j, \bar{j}$ -flux at  $x$ .

## Dual representation – II

- Using  $\phi_x = r e^{i\theta}$  the integrals at a site  $x$  read:

$$\int_{\mathbb{C}} d\phi_x e^{-\kappa|\phi_x|^2 - \lambda|\phi_x|^4} (\phi_x)^{F(j,\bar{j})} (\phi_x^*)^{\bar{F}(j,\bar{j})} =$$
$$\int_0^\infty dr r^{F_x + \bar{F}_x + 1} e^{-\kappa r^2 - \lambda r^4} \int_{-\pi}^\pi d\theta e^{i\theta[F_x - \bar{F}_x]} = \mathcal{I}(F_x + \bar{F}_x) \delta(F_x - \bar{F}_x)$$

- At every site there is a weight factor  $\mathcal{I}(F_x + \bar{F}_x)$  and a constraint.
- The constraint  $\delta(F_x - \bar{F}_x)$  forces the total flux  $F_x - \bar{F}_x$  at  $x$  to vanish.
- The structure can be simplified by using linear combinations  $k_{x,\nu} \in \mathbb{Z}$  and  $l_{x,\nu} \in \mathbb{N}_0$  of the original variables  $j_{x,\nu}$  and  $\bar{j}_{x,\nu}$ .
- Only the  $k_{x,\nu}$  are subject to constraints.

## Dual representation – III (final form)

- The original partition function is mapped **exactly** to a sum over configurations of the dual variables  $k$  and  $l$ :

$$Z = \sum_{\{k,l\}} \mathcal{W}(k,l) \mathcal{C}(k).$$

- Weight factor (real and positive):

$$\begin{aligned} \mathcal{W}(k,l) &= \prod_{x,\nu} \frac{1}{(|k_{x,\nu}| + l_{x,\nu})! l_{x,\nu}!} \\ &\times \prod_x e^{-\mu k_{x,4}} \mathcal{I}\left(\sum_{\nu} [ |k_{x,\nu}| + |k_{x-\hat{\nu},\nu}| + 2(l_{x,\nu} + l_{x-\hat{\nu},\nu}) ]\right) \end{aligned}$$

- Constraint (only for  $k$ -variables):

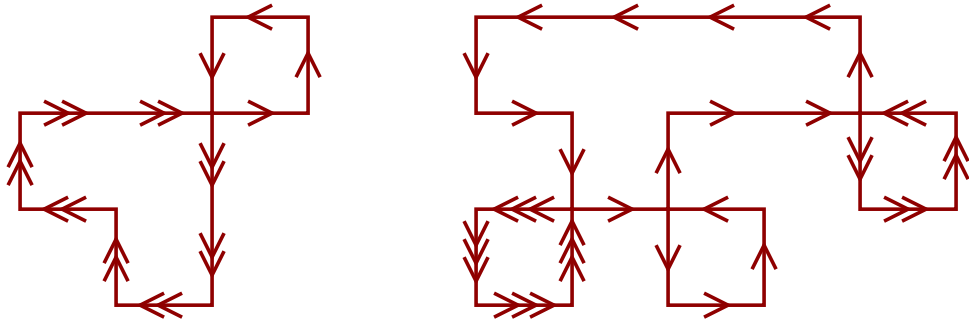
$$\mathcal{C}(k) = \prod_x \delta\left(\sum_{\nu} [k_{x,\nu} - k_{x-\hat{\nu},\nu}]\right)$$

Admissible configurations are loops:

- Constraint from the integration over the U(1) phases:

$$\forall x : \quad f_x = \sum_{\nu} [k_{x,\nu} - k_{x-\hat{\nu},\nu}] = 0$$

- Admissible configurations of dynamical variables are loops of flux:

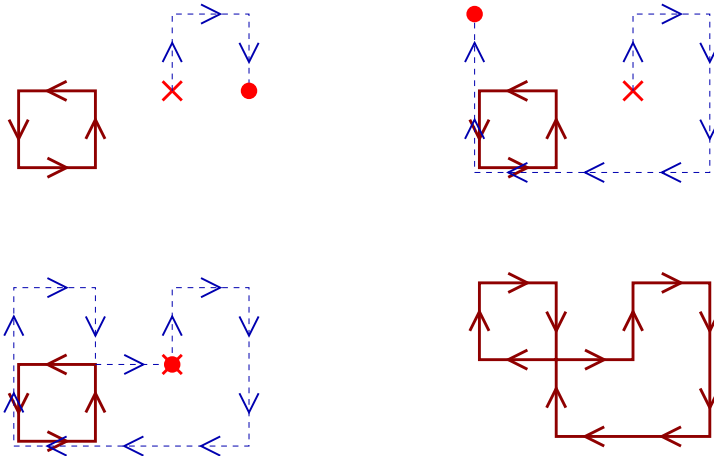


- Chemical potential gives different weight to forward and backward temporal flux.



## Worm algorithm

- A worm locally violates the constraint and propagates the defect until the worm closes and the constraint is healed.



- Every step is accepted with the Metropolis probability computed from

$$\mathcal{W} = \prod_{x,\nu} \frac{1}{(|k_{x,\nu}| + l_{x,\nu})! l_{x,\nu}!} \prod_x e^{-\mu k_{x,4}} \mathcal{I} \left( \sum_{\nu} [ |k_{x,\nu}| + |k_{x-\hat{\nu},\nu}| + 2(l_{x,\nu} + l_{x-\hat{\nu},\nu}) ] \right)$$

## Bulk observables

- Bulk observables are obtained as derivatives of the free energy with respect to the parameters.
- They have the form of averages and fluctuations of the dual variables.
- Observables related to the particle number:

$$n = \frac{T}{V} \frac{\partial \ln Z}{\partial \mu} = \frac{1}{N_s^3 N_t} \frac{\partial \ln Z}{\partial \mu} \quad , \quad \chi_n = \frac{\partial n}{\partial \mu}$$

- Observables related to field expectation values:

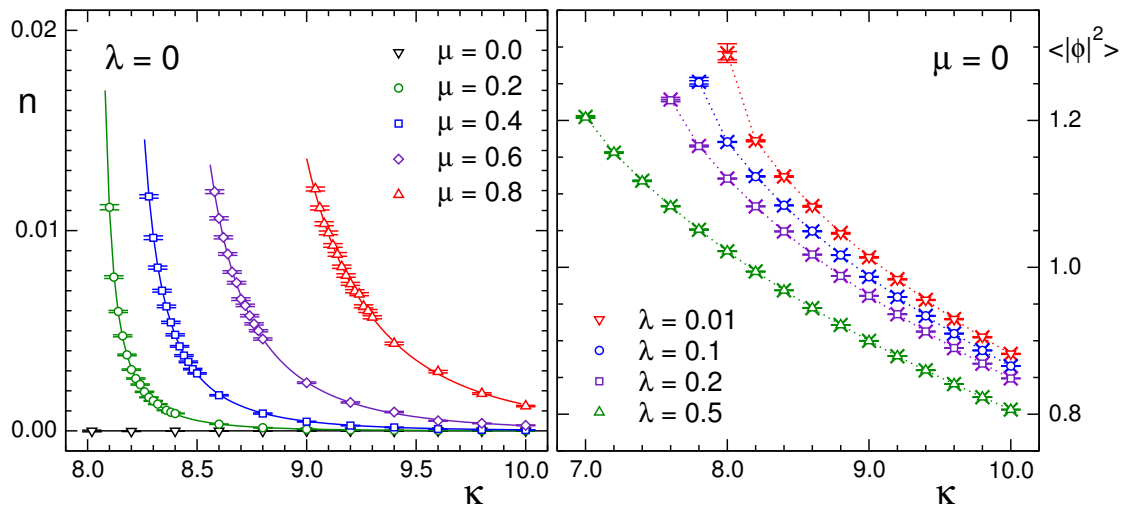
$$\langle |\phi|^2 \rangle = \frac{-T}{V} \frac{\partial \ln Z}{\partial \kappa} = \frac{-1}{N_s^3 N_t} \frac{\partial \ln Z}{\partial \kappa} \quad , \quad \chi_\phi = \frac{-\partial \langle |\phi|^2 \rangle}{\partial \kappa}$$

- Dual forms:

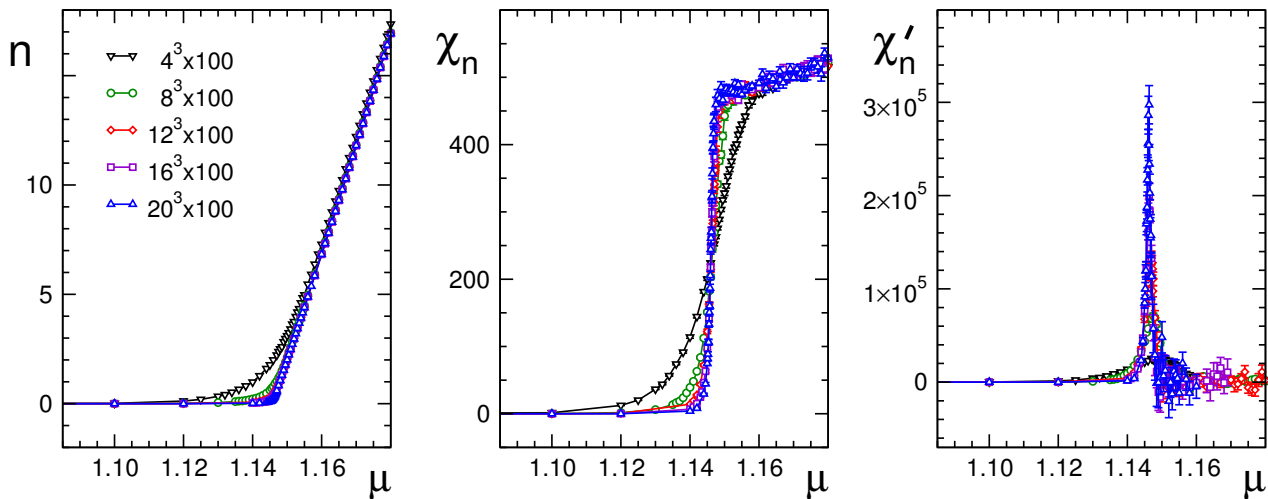
$$n = \frac{1}{N_s^3 N_t} \left\langle \sum_x k_{x,4} \right\rangle \quad , \quad \langle |\phi|^2 \rangle = \frac{1}{N_s^3 N_t} \left\langle \sum_x \frac{\mathcal{I}(f_x + 2)}{\mathcal{I}(f_x)} \right\rangle$$

## Checks

Simulation with dual variables can be checked with high precision:



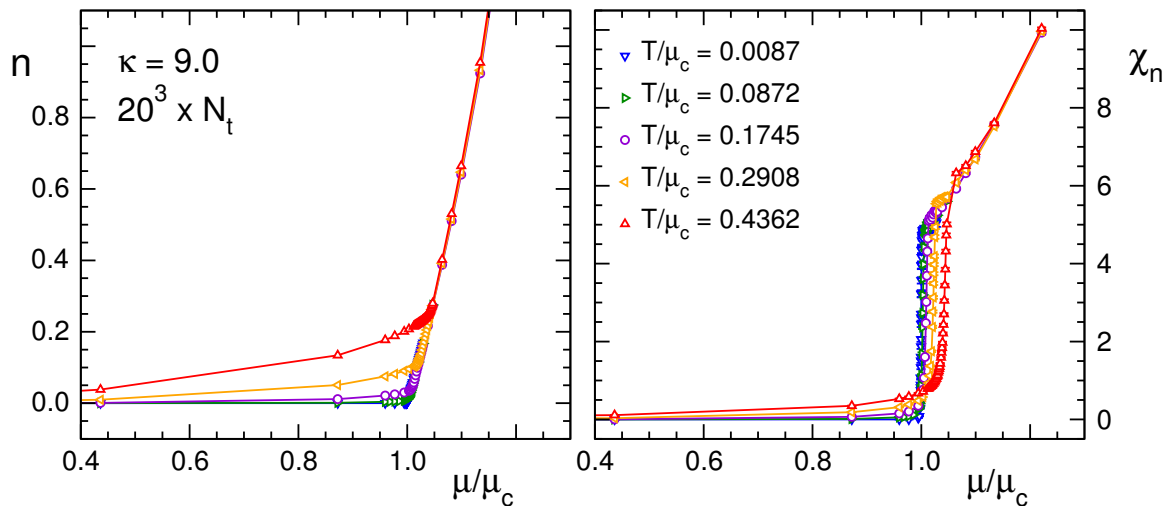
## Thermodynamics at zero temperature



Second order transition at the end of the Silver Blaze region.

Cross checked with the complex Langevin study by [G. Aarts](#).

## Non-zero temperature

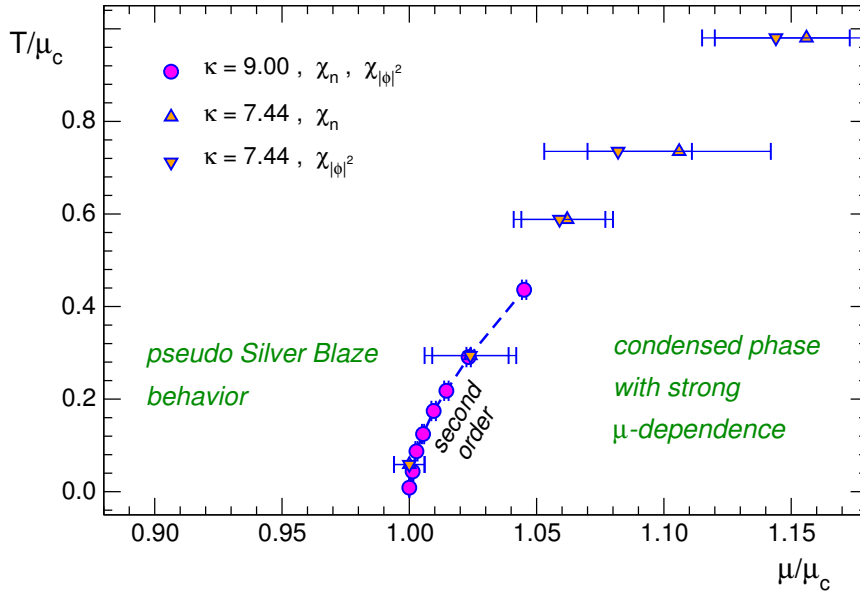


Observables depend on  $\mu$  throughout.

Still pronounced transition behavior.

## Phase diagram

Phase diagram in the  $\mu - T$  plane:

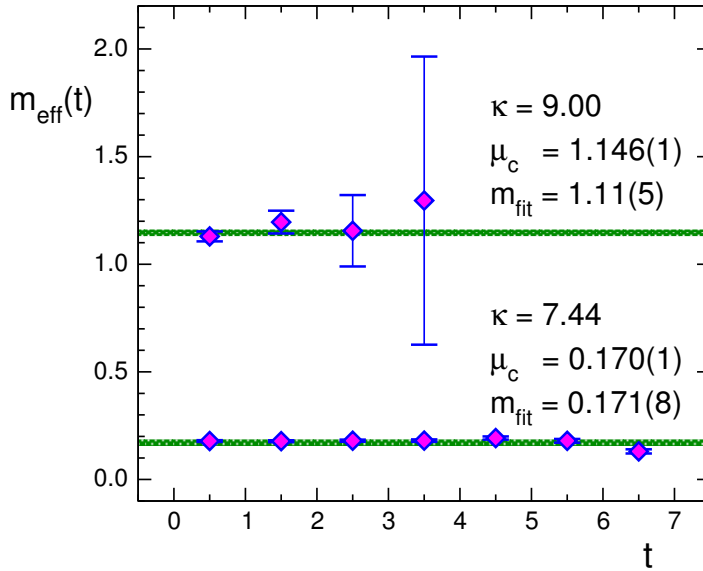


Silver Blaze transition persists for finite temperature.

## Mass of lowest excitation

Important test:  $\mu_c = m_1$  ???

Compare  $\mu_c$  to effective masses from a conventional simulation:



Yes !!

## Spectroscopy at finite density $\Rightarrow$ Dual spectroscopy

- Zero momentum propagator

$$C(t) = \sum_{\vec{x}} \langle \phi_{\vec{x},t} \phi_{\vec{0},0}^* \rangle \propto e^{-E_0 t}$$
$$\langle \phi_y \phi_z^* \rangle = \frac{1}{Z} \int D[\phi] e^{-S} \phi_y \phi_z^* = \frac{Z_{y,z}}{Z}$$

- Dual representation of the partition sum  $Z_{y,z}$  with two insertions:

$$Z_{y,z} = \sum_{\{k,l\}} \prod_{x,\nu} \frac{1}{(|k_{x,\nu}| + l_{x,\nu})! l_{x,\nu}!} \prod_x \delta\left(\sum_{\nu} [k_{x,\nu} - k_{x-\hat{\nu},\nu}] - \delta_{x,y} + \delta_{y,z}\right)$$
$$\times \prod_x e^{-\mu k_{x,4}} \mathcal{I}\left(\sum_{\nu} [ |k_{x,\nu}| + |k_{x-\hat{\nu},\nu}| + 2(l_{x,\nu} + l_{x-\hat{\nu},\nu}) ] + \delta_{x,y} + \delta_{y,z}\right)$$

- Admissible configurations in  $Z_{y,z}$  :

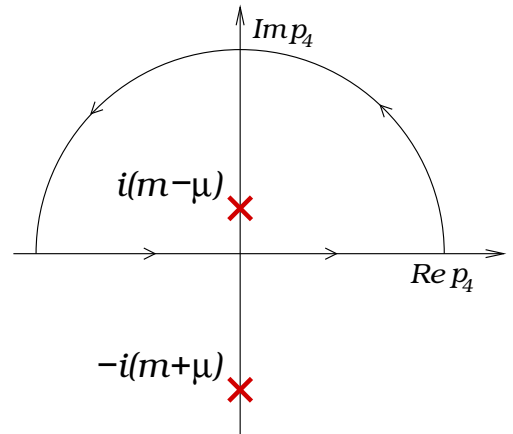
Closed loops of flux plus an open string of flux connecting  $y$  and  $z$ .



## Worm strategy for correlators

- Since  $Z_{y,z}$  consists of closed loop plus a single open string, every step of the worm corresponds to an admissible configuration for some  $Z_{u,v}$ .
- In our propagators we project to zero momentum, i.e., the spatial lattice indices are summed.
- To compute  $C(t)$  one simply evaluates the temporal distance  $t$  of head and tail of the worm at every step and  $C(t)$  is obtained as a histogram.

What do we expect? Analysis of the free case in the continuum.



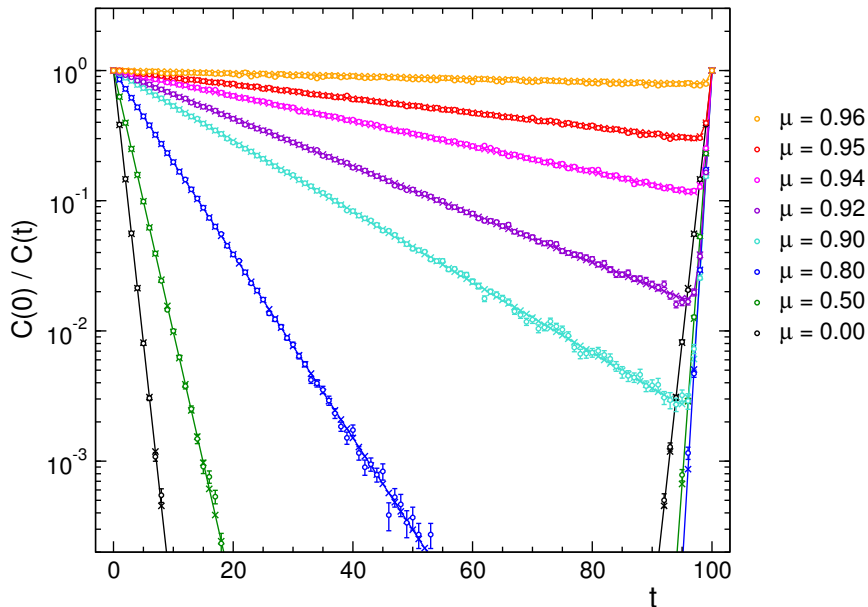
- Propagator in the continuum:

$$C(t) = \int \frac{dp_4}{2\pi} \frac{e^{ip_4 t}}{[p_4 - i(m - \mu)][p_4 + i(m + \mu)]}$$

- Asymmetry between forward and backward propagation:

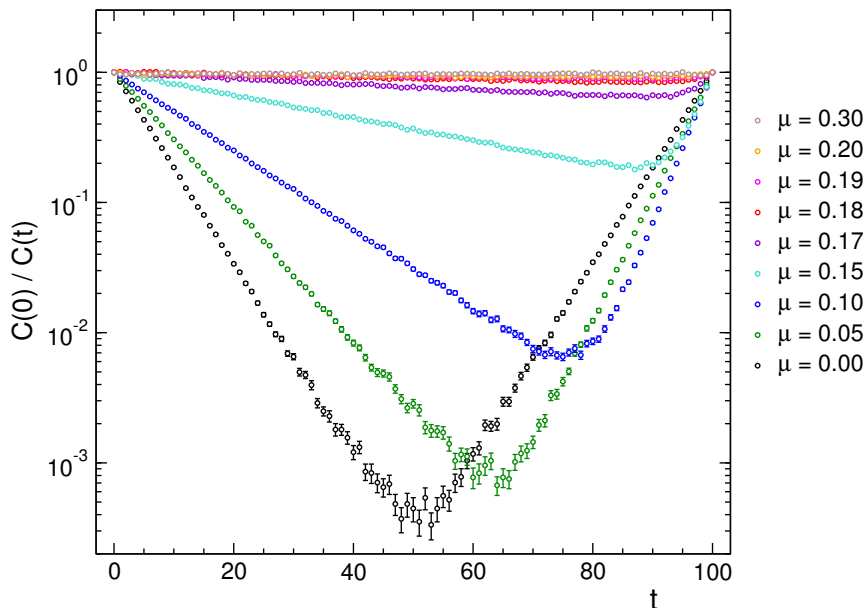
$$C(t) \propto \begin{cases} e^{-(m-\mu)t} & \text{for } t > 0 \\ e^{+(m+\mu)t} & \text{for } t < 0 \end{cases}$$

## Test of free propagators against (lattice) Fourier transformation



Excellent agreement indicates that the finite density propagators computed from the dual representation are under control. ( $16^3 \times 100$ ,  $m = 1$ ,  $\lambda = 0$ )

## Propagators at non zero coupling



Asymmetric propagation for  $\mu < \mu_c \simeq 0.17$ . Condensation (= constant propagator) for  $\mu$  above  $\mu_c$ . ( $16^3 \times 100$ ,  $\kappa = 7.44$ ,  $\lambda = 1$ )

## Scalar electrodynamics

.... adding  $U(1)$  gauge fields to the charged scalar ....

## Surfaces for the gauge fields:

- Expansion of an individual plaquette term from the gauge action:

$$e^{\beta U_{x,\rho} U_{x+\hat{\rho},\sigma} U_{x+\hat{\sigma},\rho}^* U_{x,\sigma}^*} = \sum_{p_{x,\rho\sigma}} \frac{\beta^{p_{x,\rho\sigma}}}{(p_{x,\rho\sigma})!} \left[ U_{x,\rho} U_{x+\hat{\rho},\sigma} U_{x+\hat{\sigma},\rho}^* U_{x,\sigma}^* \right]^{p_{x,\rho\sigma}}$$

- For gauge fields the expansion indices  $p_{x,\rho\sigma}$  live on the plaquettes.
- The matter loops are dressed with gauge links.
- The new constraints at the links of the lattice force the combined flux from the matter variables  $k_{x,\nu}$  and the plaquette variable  $p_{x,\rho\sigma}$  to vanish.
- Admissible configurations of the plaquette variables  $p_{x,\rho\sigma}$  have the interpretation of 2-D surfaces embedded in 4-D.
- The surfaces are either closed or bounded by matter flux.

## Dual form of the partition function:

The original partition sum is mapped **exactly** to a sum over loop and surface configurations:

$$Z = \sum_{\{p,k,l\}} \mathcal{W}_G(p) \mathcal{W}_M(k, l) \mathcal{C}_L(p, k) \mathcal{C}_S(k)$$

$\mathcal{W}_G(p)$  : plaquette-based weight factor for gauge variables  $p$

$\mathcal{W}_M(k, l)$  : link-based weight factor for matter variables  $k, l$

$\mathcal{C}_L(p, k)$  : link-based constraint  $\Rightarrow$  gauge surfaces

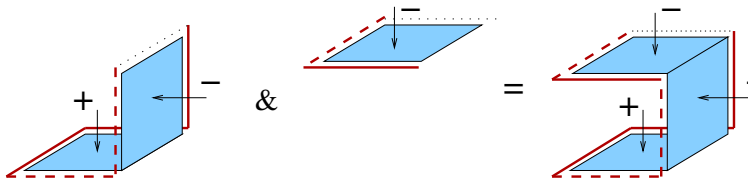
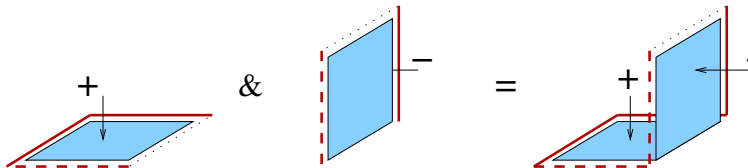
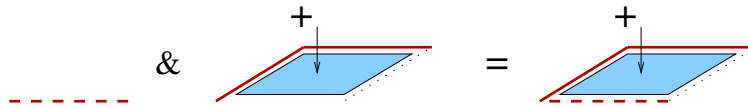
$\mathcal{C}_S(k)$  : site-based constraint  $\Rightarrow$  matter loops

$$\mathcal{C}_L[p, k] = \prod_x \prod_{\nu=1}^4 \delta \left( \sum_{\rho:\nu<\rho} [p_{x,\nu\rho} - p_{x-\hat{\rho},\nu\rho}] - \sum_{\rho:\nu>\rho} [p_{x,\rho\nu} - p_{x-\hat{\rho},\rho\nu}] + k_{x,\nu} \right)$$

$$\mathcal{C}_S[k] = \prod_x \delta \left( \sum_{\nu=1}^4 [k_{x-\hat{\nu},\nu} - k_{x,\nu}] \right)$$

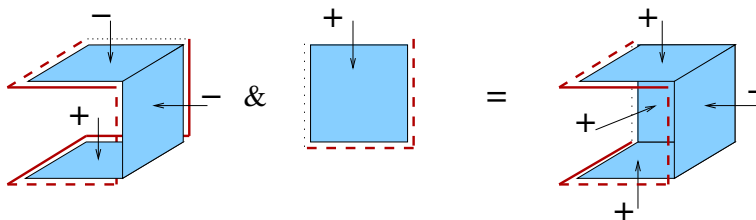
Generalized worm algorithm for gauge Higgs systems:

Worm starts by inserting a unit of matter flux. Adding segments transports both the site and link defects across the lattice ....



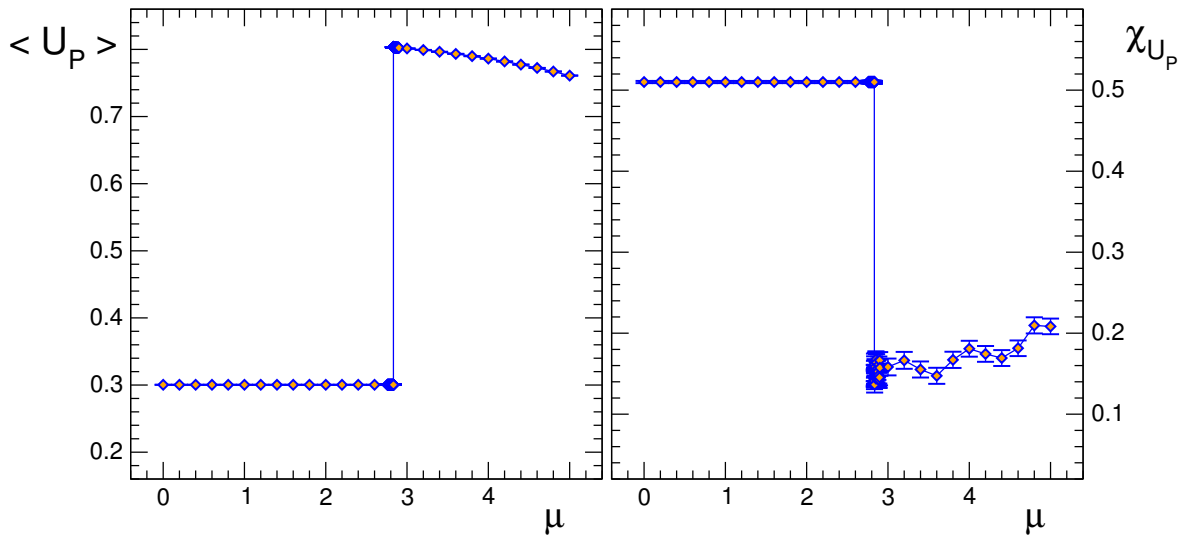


## Generalized worm algorithm for gauge Higgs systems



.... until the worm terminates with the insertion of another line of flux.

## Example of a Silver Blaze transition



Using two flavors of opposite charge one can couple a chemical potential.

⇒ Silver Blaze behavior

## Summary:

- Considerable progress was made towards rewriting several systems in representations where the partition sum has only real and positive terms.
- Dual degrees of freedom are surfaces for gauge fields and loops for matter.
- Constraints for dual variables can be handled with worm-type algorithms.
- Interesting new algorithmic options when surfaces have boundaries.
- Spectroscopy is under control.
- Examples:
  - Relativistic Bose gas / charged scalar field.
  - Scalar electrodynamics.
- May serve as solved test cases for other approaches.