# Inhomogeneous Phases in Gross-Neveu Models

## Julian J. Lenz\*

#### with

#### L. Pannullo<sup>†</sup>, M. Wagner<sup>†</sup>, B. Wellegehausen<sup>\*</sup>, A. Wipf<sup>\*</sup>

\* Theoretisch-Physikalisches Institut, FSU Jena

<sup>†</sup>Institut für Theoretische Physik, GU Frankfurt

#### SIFT2019, November 2019



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 0/20



1 Introduction

- 2 1+1 Dimensional Models
- 3 1+2 Dimensional Models (preliminary)

## 4 Conclusions



## Introduction



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 2/20



## Solid state physics

## Asymp. free/save

## QCD toy model





Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 2/20

# Four-Fermion Theories $\mathcal{L} = \sum_{a=1}^{N_f} \overline{\psi}_a \left( i\partial \!\!\!/ + i\gamma^0 \mu \right) \psi_a - \frac{1}{4\lambda} \sum_{a,b=1}^{N_f} \left( \overline{\psi}_a M_1 \psi_a \right) \left( \overline{\psi}_b M_2 \psi_b \right)$ $\psi, \overline{\psi}$ spinors,a, b flavour index, $\mu$ chemical potential, $N_f$ number of flavours

 $\lambda$  (inverse) coupling,

 $M_1, M_2$  matrices



Four-Fermion Theories  

$$\mathcal{L} = \sum_{a=1}^{N_f} \overline{\psi}_a \left( i\partial \!\!\!/ + i\gamma^0 \mu \right) \psi_a - \frac{1}{4\lambda} \sum_{a,b=1}^{N_f} \left( \overline{\psi}_a M_1 \psi_a \right) \left( \overline{\psi}_b M_2 \psi_b \right)$$

$$\psi, \overline{\psi} \text{ spinors,} \qquad a, b \text{ flavour index,}$$

 $\psi, \psi$  spinors,  $\mu$  chemical potential,  $\lambda$  (inverse) coupling, *a*, *b* flavour index,  $N_f$  number of flavours  $M_1$ ,  $M_2$  matrices



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz

$$\begin{split} & Four-Fermion \ Theories \\ & \mathcal{L} = \sum_{a=1}^{N_f} \overline{\psi}_a \left( i \partial \!\!\!/ + i \gamma^0 \mu \right) \psi_a - \frac{1}{4\lambda} \sum_{a,b=1}^{N_f} \left( \overline{\psi}_a M_1 \psi_a \right) \left( \overline{\psi}_b M_2 \psi_b \right) \\ & \hline \\ & \psi, \overline{\psi} \ \text{spinors,} & a, b \ \text{flavour index,} \\ & \mu \ \text{chemical potential,} & N_f \ \text{number of flavours} \end{split}$$

 $\lambda$  (inverse) coupling,

 $M_1, M_2$  matrices

$$\mathcal{L} = \mathrm{i}\bar{\psi}\left(\partial \!\!\!/ + \gamma^{0}\mu - \sigma\right)\psi + N_{\mathrm{f}}\lambda\sigma^{2}, \qquad \langle\sigma\rangle = -\frac{N_{\mathrm{f}}}{2\lambda}\left\langle\bar{\psi}\psi\right\rangle$$



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 3/20

# Symmetries

1. flavor symmetry  $U(N_{\rm f})$ :

$$\psi_{\mathbf{a}} \mapsto \left( \mathbf{e}^{\mathbf{i}\alpha} \right)_{\mathbf{a}b} \psi_{b}, \qquad \bar{\psi}_{\mathbf{a}} \mapsto \left( \mathbf{e}^{-\mathbf{i}\alpha} \right)_{\mathbf{a}b} \bar{\psi}_{b}$$

2. chiral symmetry  $U(N_f)$ :

$$\psi_{\mathbf{a}} \mapsto \left( \mathbf{e}^{\mathbf{i}\gamma_{5}\alpha} \right)_{\mathbf{a}b} \psi_{b}, \qquad \bar{\psi}_{\mathbf{a}} \mapsto \left( \mathbf{e}^{\mathbf{i}\gamma_{5}\alpha} \right)_{\mathbf{a}b} \bar{\psi}_{b}$$

- 3. (discrete) translational symmetry  $\subset \mathbb{R}^D$
- 4. (discrete) rotational symmetry  $\subset O(D)$



## Fermion Representations

1+1D	1+2D	
unique irr. rep.	2 inequiv. irr. rep.	
2-comp. spinors	2-c. irred. Spinors	4-c. red. Spinors
chirality: √	×	$\checkmark$
$U_{c}(\mathit{N}_{f})\timesU_{f}(\mathit{N}_{f})$	$U_{f}(N_{f}^{irr} = 2N_{f})$ (only f)	U(2 <i>N</i> <sub>f</sub> ) (c & f)
Sign problem: 🙂	•	<b></b>



## 1+1 Dimensional Models



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 6/20

# Knowledge on Large-N

quantum  $\int \mathscr{D}\sigma \ e^{-N_{\rm f}S_{\rm eff}} \xrightarrow{N_{\rm f} \to \infty} e^{-N_{\rm f}\min(S_{\rm eff})}$  semi-classical



Knowledge on Large-Nquantum
$$\int \mathscr{D}\sigma \ e^{-N_{\rm f}S_{\rm eff}}$$
 $N_{\rm f} \rightarrow \infty$  $e^{-N_{\rm f}\min(S_{\rm eff})}$ semi-classical

## Completely solved:

homogeneous [Wolff 1985]





Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 6/20 Knowledge on Large-N quantum  $\int \mathscr{D}\sigma \ e^{-N_{\rm f}S_{\rm eff}} \xrightarrow{N_{\rm f} \to \infty} e^{-N_{\rm f}\min(S_{\rm eff})}$  semi-classical

## Completely solved:

- homogeneous [Wolff 1985]
- numerical [Thies, Urlichs, 2003]
- analytical [Schnetz, Thies, Urlichs 2004]
- on the lattice [de Forcrand, Wenger 2006]
- "full" solution [Dunne, Thies 2014]





 $\label{eq:knowledge} \overline{\text{Knowledge on Large-N}} \\ \text{quantum } \int \mathscr{D}\sigma \; e^{-N_{\text{f}}S_{\text{eff}}} \; \stackrel{N_{\text{f}} \to \infty}{\longrightarrow} \; e^{-N_{\text{f}}\min(S_{\text{eff}})} \; \; \text{semi-classical}$ 

## Completely solved:

- homogeneous [Wolff 1985]
- numerical [Thies, Urlichs, 2003]
- analytical [Schnetz, Thies, Urlichs 2004]
- on the lattice [de Forcrand, Wenger 2006]
- "full" solution [Dunne, Thies 2014]





 $\label{eq:knowledge} \overline{\text{Knowledge on Large-N}} \\ \text{quantum } \int \mathscr{D}\sigma \; e^{-N_{\text{f}}S_{\text{eff}}} \; \stackrel{N_{\text{f}} \to \infty}{\longrightarrow} \; e^{-N_{\text{f}}\min(S_{\text{eff}})} \; \; \text{semi-classical}$ 

## Completely solved:

- homogeneous [Wolff 1985]
- numerical [Thies, Urlichs, 2003]
- analytical [Schnetz, Thies, Urlichs 2004]
- on the lattice [de Forcrand, Wenger 2006]
- "full" solution [Dunne, Thies 2014]



#### Do we find a similar phase at finite flavor number?



# Examples: $C(x) = \langle \sigma_{tx} \sigma_{t0} \rangle$





# Examples: $C(x) = \langle \sigma_{tx} \sigma_{t0} \rangle$





Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 7/20

# Examples: Fourier[C](k)





Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 8/20



[Mayaffre et al., 2014]



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 9/20

# Baryon Density ( $T = 0, N_f = 8$ )





Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 10/20

# Baryon Density ( $T = 0, N_f = \infty$ )





Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 11/20

# The Phase Diagram ( $N_{\rm f} = 8$ )





## The Phase Diagram ( $N_f = 8$ )





# *N*<sub>f</sub> Dependency (preliminary)





Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 13/20

## 1+2 Dimensional Models (preliminary)



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 14/20

## Mean-Field Results I (Solid State)



#### [Matsuda, Shimahara, 2007]

#### [Koutroulakis et al., 2016]



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 14/20

## Mean-Field Results II (NJL)



[Buballa, Carignano, 2011/14]

FRIEDRICH-SCHILLER-UNIVERSITÄT **JENA** 

Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 15/20



<sup>[</sup>Winstel, Stoll, Wagner, 2019]



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 16/20

## Prel. Results: Homogen. Condensate





Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 17/20

# Prel. Results: $\mu$ Dependence





Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 18/20

# Prel. Results: Momentum Resolution





Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 19/20

# Prel. Results: Momentum Resolution





Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 19/20

## Conclusions



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 20/20

Conclusion: There are ...

## Inhomogeneous Phases in GN Models.



Conclusion: There are ...

## Inhomogeneous Phases in GN Models.

## **Outlook: Nature of the new phases**

- 1+1D: SSB vs. Coleman/Mermin-Wagner
  - Dispersion Relations
  - Diffusion



Conclusion: There are ...

## Inhomogeneous Phases in GN Models.

## **Outlook: Nature of the new phases**

- 1+1D: SSB vs. Coleman/Mermin-Wagner
  - Dispersion Relations
  - Diffusion
- 1+2D:
  - 1D vs. 2D Modulations
  - Phase Diagram



Conclusion: There are ...

## Inhomogeneous Phases in GN Models.

## **Outlook: Nature of the new phases**

- 1+1D: SSB vs. Coleman/Mermin-Wagner
  - Dispersion Relations
  - Diffusion
- 1+2D:
  - 1D vs. 2D Modulations
  - Phase Diagram

## • External Fields (magnetic, gravitational,...)



# Appendix



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 0/12

Goldstone, Salam, Weinberg 1962:

Spontaneous breaking of a continuous, uniform, global symmetry implies the existence of a massless mode in the spectrum, i.e.

 $\lim_{k\to 0}E_k=0.$ 

Idea: This mode will be

 $\langle \delta \phi \rangle \sim \langle [Q_{\Omega}(t), \phi] \rangle$ 



Goldstone, Salam, Weinberg 1962:

*Spontaneous breaking of a continuous, uniform, global symmetry implies the existence of a massless mode in the spectrum, i.e.* 

 $\lim_{k\to 0}E_k=0.$ 

Idea: This mode will be

$$\langle \delta \phi 
angle \sim \langle [Q_\Omega(t), \phi] 
angle \ = \int\limits_\Omega \mathsf{d}^d x \ \Big\langle [j^0(x, t), \phi] \Big
angle$$



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 1/12

Goldstone, Salam, Weinberg 1962:

Spontaneous breaking of a continuous, uniform, global symmetry implies the existence of a massless mode in the spectrum, i.e.

 $\lim_{k\to 0}E_k=0.$ 

Idea: This mode will be

$$\begin{split} \langle \delta \phi \rangle &\sim \langle [Q_{\Omega}(t), \phi] \rangle = \int_{\Omega} \mathrm{d}^{d} x \, \left\langle [j^{0}(x, t), \phi] \right\rangle \\ &= \sum_{n} \int \frac{\mathrm{d}^{d} k}{(2\pi)^{d}} e^{-\mathrm{i} E_{n,k} t} \varphi_{\Omega}(k) \langle 0| j^{0}(0) | n_{k} \rangle \, \langle n_{k} | \phi | 0 \rangle - \dots \end{split}$$



November, 08, 2019 1/12

Goldstone, Salam, Weinberg 1962:

*Spontaneous breaking of a continuous, uniform, global symmetry implies the existence of a massless mode in the spectrum, i.e.* 

 $\lim_{k\to 0}E_k=0.$ 

Idea: This mode will be

$$\begin{split} \langle \delta \phi \rangle &\sim \langle [Q_{\Omega}(t), \phi] \rangle = \int_{\Omega} \mathrm{d}^{d} x \left\langle [j^{0}(x, t), \phi] \right\rangle \\ &= \sum_{n} \int \frac{\mathrm{d}^{d} k}{(2\pi)^{d}} e^{-\mathrm{i} E_{n,k} t} \varphi_{\Omega}(k) \langle 0| j^{0}(0) | n_{k} \rangle \left\langle n_{k} | \phi | 0 \right\rangle - \dots \end{split}$$

Finally,  $\Omega \to \infty$  implies time-independence of LHS and  $\varphi_{\Omega}(k) \to \delta(k^2)$ .



# Goldstone Bosons

Goldstone, Salam, Weinberg 1962:

Spontaneous breaking of a continuous, uniform, global symmetry implies the existence of a massless mode in the spectrum, i.e.  $\lim_{k\to 0} E_k = 0$ .



# Goldstone Bosons

## Goldstone, Salam, Weinberg 1962:

Spontaneous breaking of a continuous, uniform, global symmetry implies the existence of a massless mode in the spectrum, i.e.  $\lim_{k\to 0} E_k = 0$ .

Coleman/Mermin-Wagner: Stat. Phys.: [Mermin, Wagner 1966]; QFT: [Coleman 1973]

There are no Goldstone phenomena in two dimensions.

<u>Proof:</u>  $\delta(k^2)$  is no well-defined distribution in 2D. (~ Cauchy-Schwarz)



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 2/12

# Goldstone Counting

#### Lorentz Invariance:

In a Lorentz invariant system, there is a Goldstone mode for every broken symmetry generator with dispersion relation  $E_k \sim k$ .

## Without Lorentz invariance:

- always at least 1.
- various theorems [Nielsen, Chadha, 1976], [Schäfer et al., 2001], [Watanabe, Brauner, 2011]
- dispersion relations can be

$$E_k \sim k^n, \qquad n \in \mathbb{N}$$



# 2D-No-Go Theorems

Coleman 1973: (QFT)

There are no Goldstone phenomena in two dimensions.

<u>Proof:</u>  $\delta(k^2)$  is no well-defined distribution in 2D. (~ Cauchy-Schwarz)



# 2D-No-Go Theorems

## Coleman 1973: (QFT)

There are no Goldstone phenomena in two dimensions.

<u>Proof:</u>  $\delta(k^2)$  is no well-defined distribution in 2D. (~ Cauchy-Schwarz)

## Mermin, Wagner 1966: (Stat. Phys.)

At any nonzero temperature, a one- or two-dimensional isotropic spin-S Heisenberg model with finite-range exchange interaction can be neither ferro- nor antiferromagnetic.

 $\underline{\text{Proof (2D):}} |s_z| < \frac{\textit{const.}}{\sqrt{T |\ln h|}} \text{ via Bogoliubov's ineq. (~ Cauchy-Schwarz)}$ 



# Goldstone Counting

## Lorentz Invariance:

In a Lorentz invariant system, there is one relativistic Goldstone mode for every broken symmetry generator with dispersion relation  $E_k \sim k$ .



# Goldstone Counting

## Lorentz Invariance:

In a Lorentz invariant system, there is one relativistic Goldstone mode for every broken symmetry generator with dispersion relation  $E_k \sim k$ .

#### General: [Nielsen, Chadha, 1976; Schäfer et al., 2001; Watanabe, Brauner, 2011]

*In general, there is at least one Goldstone mode with some dispersion relation of* 

$$\begin{split} \text{Type I: } E_k \sim k^{2n-1} \quad \text{or} \quad \text{Type II: } E_k \sim k^{2n}, \qquad n \in \mathbb{N}, \\ N_{\mathsf{I}} + 2N_{\mathsf{II}} \geq \texttt{\# broken generators} \end{split}$$



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 5/12

# Dispersion Rel. as a Cure

$$\mathrm{i}\Delta_F(k) = \lim_{\varepsilon \to 0} \frac{1}{\left(k^0\right)^2 - \left(E_{\vec{k}} - \mathrm{i}\varepsilon\right)^2}$$



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 6/12

## Dispersion Rel. as a Cure

$$\mathrm{i}\Delta_F(k) = \lim_{arepsilon o 0} rac{1}{\left(k^0
ight)^2 - \left(E_{ec{k}} - \mathrm{i}arepsilon
ight)^2}$$



[Watanabe, Brauner, 2012] in a supersolid model



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 6/12

# Large-N as a Cure

In an exactly soluble 2D model [Witten, 1978] showed that

- a continuous (chiral) symmetry remains unbroken
- · physical fermions are chirally neutral and can acquire a mass
- correlations fall off as  $\sim |x|^{-1/N_{\rm f}}$
- there is no IR-divergence because

 $G(x, y) \sim 0 \cdot (\text{div. Term})$ 



# Long Range Behavior I ( $N_{\rm f} = 2$ )





# Long Range Behavior I ( $N_f = 2$ )



$$\mathsf{fit}(x) \sim \frac{1}{|x|^{1/2}} \left( \cos(\mathbf{k_1} x) + a \cdot \cos(\mathbf{k_2} x) \right)$$



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 8/12

# Long Range Behavior I ( $N_{\rm f} = 2$ )



$$fit(x) \sim \frac{1}{|x|^{1/2}} \left( \cos(k_1 x) + a \cdot \cos(k_2 x) \right)$$
  
$$k_1 = 0.6763(4) \qquad k_2 = 0.7311(1)$$



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 8/12

## Long Range Behavior II ( $N_f = 2$ )





Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 9/12

## Fermions on the Lattice

Naive	SLAC	
$\left(\partial_{\nu}\psi\right)_{x}=\frac{1}{2}\left(\psi_{x+e_{\nu}}-\psi_{x-e_{\nu}}\right)$	$\mathcal{F}\left[\partial_{\nu}\psi\right]_{p}=\mathrm{i}p_{\nu}\mathcal{F}\left[\psi\right]_{p}$	
local	non-local	
exactly chiral	exactly chiral	
2 <sup>2</sup> doublers	no doublers	
$\frac{1}{2} \left( e^{\mu} \psi_{x+e_0} - e^{-\mu} \psi_{x-e_0} \right)$	$\left(i p_0 + \mu\right) \mathcal{F} \left[\psi\right]_p$	



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 10/12

# The Observables I

Homogeneous:

$$|\mathbf{\Sigma}| = \frac{1}{V} \sum_{t,x} \left\langle |\sigma_{tx}| \right\rangle, \qquad \chi = \frac{1}{V} \sum_{t,x} \left[ \left\langle \sigma_{tx}^2 \right\rangle - \left\langle \sigma_{tx} \right\rangle^2 \right]$$

#### Inhomogeneous:

$$C(x) = \frac{1}{N_t} \sum_{t} \langle \sigma_{tx} \sigma_{t0} \rangle, \qquad \qquad \tilde{C}(k) = \mathcal{F}[C](k)$$



Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 11/12

# Temperature Dependency ( $N_{\rm f} = 8$ )





Inhomogeneous Phases in Gross-Neveu Models Julian Lenz November, 08, 2019 12/12