

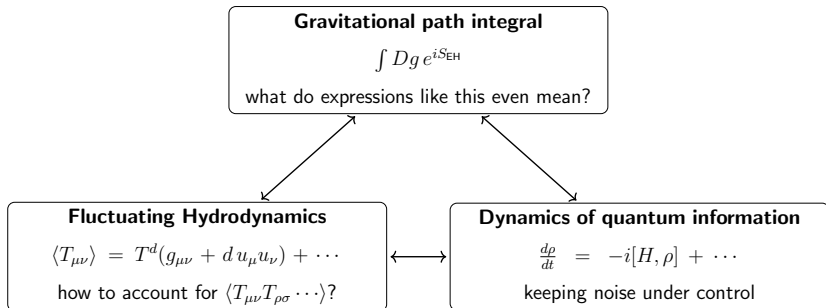
Open quantum systems:

From Brownian motion to quantum gravity

Julio Virrueta

TPI, FSU Jena

The big ticket questions



Return to the basics (I am not very smart)

Modeling dissipative dynamics: the **Langevin equation**

$$m \ddot{x} + \frac{dV(x)}{dx} + \eta \dot{x} = F(t)$$

Dissipation

External force

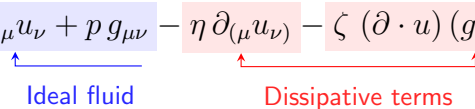
Good old dynamics

$\langle F(t) \rangle = 0$
 $\langle F(t)F(t') \rangle \propto T\delta(t - t')$

Many applications: Brownian motion, Johnson noise, etc.

Dissipation also plays a role in theories at local equilibrium
(hydrodynamics)

$$T_{\mu\nu} = (\epsilon + p) u_\mu u_\nu + p g_{\mu\nu} - \eta \partial_{(\mu} u_{\nu)} - \zeta (\partial \cdot u) (g_{\mu\nu} + u_\mu u_\nu) + \dots$$

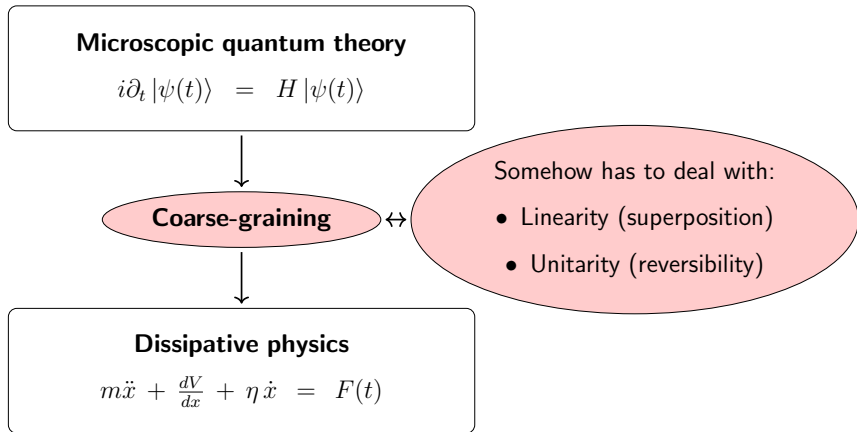


Ideal fluid Dissipative terms

but what about stochastic fluctuation?

Microscopic origin of dissipation

The two situations before are **effective** descriptions.



A solution: open quantum systems

Consider a QM system composed of two distinct parts:

The diagram shows the equation $H = H_A + H_B + H_I$. A red line above H_A is labeled "System of interest" with a red arrow pointing down to H_A . A blue line above H_I is labeled "Interaction" with a blue arrow pointing down to H_I . A blue line below H_B is labeled "Environment" with a blue arrow pointing up to H_B .

$$H = H_A + H_B + H_I$$

with unitary time evolution $\rho(t) = e^{-iHt} \rho(0) e^{iHt}$.

Could be taken to be pure... but don't.

The Hilbert space is taken to be of the form

$$\begin{array}{c} \text{Spanned by } \{|x\rangle\} \\ \hline \downarrow \\ \mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_B \\ \uparrow \\ \text{Spanned by } \{|R\rangle\} \end{array}$$

The state at $t = 0$ can be taken to be a tensor product state

$$\rho(0) = \rho_A(0) \otimes \rho_B(0)$$

Very simple (SRE) state: $I(A, B) = S(AB) - S(A) - S(B) = 0$

The matrix elements of $\rho(t)$ in the given basis are

$$\begin{aligned}
 \langle x, R | \rho(t) | y, Q \rangle &= \int \langle x, R | e^{-iHt} | x', R' \rangle \langle x', R' | \rho(0) | y', Q' \rangle \\
 &\quad \times \langle y', Q' | e^{iHt} | y, Q \rangle
 \end{aligned}$$

$\xrightarrow{\text{K}(x, r; t | x', R'; 0)}$
 $\xleftarrow{\text{K}^*(y, Q; t | y', Q'; 0)}$

and the propagators admit a path integral representation

$$K(x, r; t | x', R'; 0) = \int_{\tilde{x}(0)=x', \tilde{R}(0)=R'}^{\tilde{x}(t)=x, \tilde{R}(t)=R} D\tilde{x} D\tilde{R} e^{iS[\tilde{x}, \tilde{R}]}$$

Mind the limits

All together

$$\begin{array}{c} \text{Difference between } K \text{ and } K^* \\ \hline \downarrow \\ \langle x, R | \rho(t) | y, Q \rangle = \int D\tilde{x} D\tilde{R} D\tilde{y} D\tilde{Q} e^{iS[\tilde{x}, \tilde{R}] - iS[\tilde{y}, \tilde{Q}]} \\ \times \langle x', R' | \rho(0) | y', Q' \rangle \end{array}$$

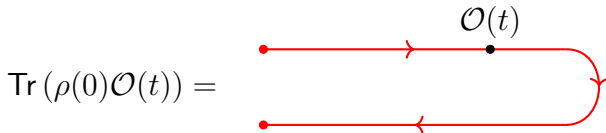
or abusing short-hand

$$\begin{array}{c} \rho(t) = \int_{\rho(0)} DX_R DX_L e^{iS[X_R] - iS[X_L]} \\ \begin{array}{cc} \xrightarrow{\quad} \uparrow & \uparrow \xleftarrow{\quad} \\ X_R = \{\tilde{x}, \tilde{R}\} & X_L = \{\tilde{y}, \tilde{Q}\} \end{array} \end{array}$$

We can also use diagrams:



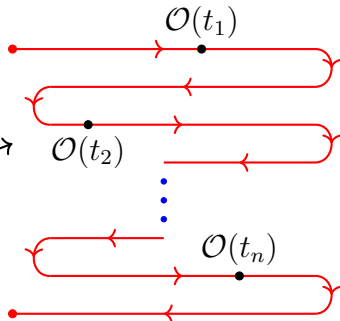
and it is clear also how to compute correlators



$$\text{Tr}(\rho(0)\mathcal{O}(t)) =$$

This is known as the Schwinger-Keldysh contour.

This story can be extended for higher order correlators

$$\text{Tr}(\rho(0)\mathcal{O}(t_1)\mathcal{O}(t_2)\dots\mathcal{O}(t_n)) =$$


The diagram illustrates the trace of a product of operators. It consists of n horizontal red lines, each representing a path in time. The lines are connected at their ends to form a closed loop. On each line, there is a black dot representing an operator insertion. The operators are labeled $\mathcal{O}(t_1)$, $\mathcal{O}(t_2)$, and $\mathcal{O}(t_n)$ from top to bottom. Red arrows on the lines indicate the direction of the trace, which is clockwise. Vertical blue dots between the lines indicate that there are n such lines in total.

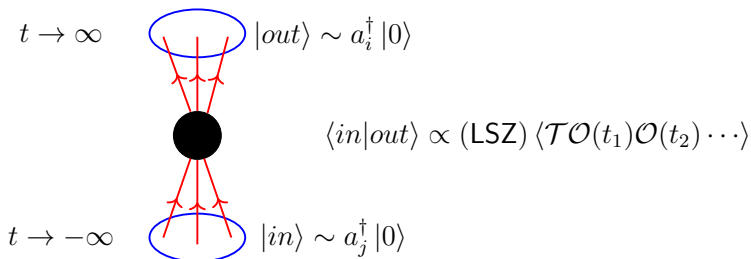
More general situation.
Often can be simplified
using unitarity.

- ▶ All this can be “easily” applied to QFT.

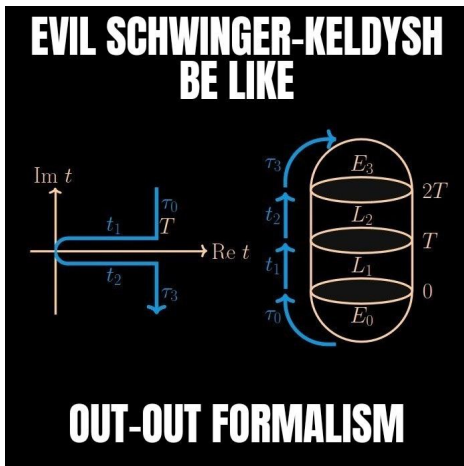
Some comments about SK contours

- ▶ At least double the DoF $X \rightarrow \{X_R, X_L\}$ (purification).
- ▶ In-in formalism: only input is $\rho(0)$ (out-of-equilibrium).
- ▶ Any operator ordering (retarded/advance correlators).

Compare against S -matrix calculations (in-out formalism).



Of course, we could also have



credit: Anonymous undergraduate.

Partial traces and influence functionals



We do not care about the full density matrix, $\rho(t)$, but just $\rho_A(t) = \text{Tr}_B \rho(t)$ where the environment is ignored^{American style}.

In terms of matrix elements:

$$\begin{aligned}
 \langle x | \rho_A(t) | y \rangle &= \int dR \langle x, R | \rho(t) | y, R \rangle \quad \text{Only A's DoF} \\
 &= \int dx' dy' \int_{x', y'}^{x, y} D\tilde{x} D\tilde{y} e^{iS_A[\tilde{x}] - iS_A[\tilde{y}]} \langle x' | \rho_A(0) | y' \rangle \\
 &\times \int dR dR' dQ' \int_{R', Q'}^R D\tilde{R} D\tilde{Q} e^{iS_B[\tilde{R}] - iS_B[\tilde{Q}]} \quad \text{Only B's DoF} \\
 &\times e^{iS_I[\tilde{x}, \tilde{R}] - iS_I[\tilde{y}, \tilde{Q}]} \langle R' | \rho_B(0) | Q' \rangle
 \end{aligned}$$

Interaction
↔

where we used $\rho(0) = \rho_A(0) \otimes \rho_B(0)$.

Or in much more compact notation

$$\rho_A(t) = \int_{\rho_A(0)} Dx_R Dx_L \exp \left[iS_A[x_R] - iS_A[x_L] + iF[x_R, x_L] \right]$$

$\dot{\rho}_A = -i[H_A, \rho_A]$

Dissipation & noise

where we introduce the *influence functional*

$$e^{iF[x_R, x_L]} = \int_{\rho_B(0)} DQ_R DQ_L e^{iS_B[Q_R] - iS_B[Q_L] + iS_I[x_R, Q_R] - iS_I[x_L, Q_L]}$$

An old friend, rediscovered

Consider a very simple interaction:

$$S_I = \lambda \int_0^t dt' x(t') Q(t')$$

then

$$\begin{aligned} e^{iF[x_R, x_L]} &= \int_{\rho_B(0)} DQ_R DQ_L e^{iS_B[Q_R] - iS_B[Q_L]} \\ &\quad \times e^{i\lambda \int dt' (x_R Q_R - x_L Q_L)} \\ &= \left\langle e^{i\lambda \int dt' (x_R Q_R - x_L Q_L)} \right\rangle_{\rho_B} \end{aligned}$$

The influence functional = generating functional of correlators.

Effective action for Fluctuating Hydro

A special case: $\rho_B = \frac{1}{Z(\beta)} e^{-\beta H}$

- ▶ The effect of system A on B is to take it out of equilibrium!
- ▶ The response is captured by $e^{iF[x_R, x_L]}$, in the limit $\omega, |\vec{k}| \ll T$.
- ▶ From the influence functional we get $\langle T_{\mu\nu} T_{\rho\sigma} \dots \rangle$

The only example ever: harmonic oscillators

Let us evaluate the influence functional for:

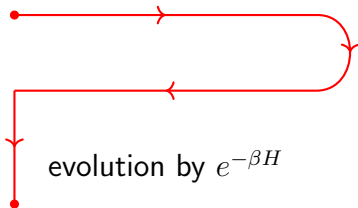
$$H = \frac{p^2}{2M} + V(x) + \frac{1}{2} \sum_k \left(\frac{P_k^2}{m} + m\omega_k^2 R_k \right) + x \sum_k \lambda_k R_k$$

we then evaluate

$$e^{iF[x_R, x_L]} = \int_{R', Q'}^R DQ_R DQ_L e^{\frac{i}{2} m \sum_k \int dt' (\dot{Q}_R^2 - \omega_k^2 Q_R^2 + \lambda_k x_R Q_R)} - (R \rightarrow L)$$
$$\times \langle R' | \rho_B(0) | Q' \rangle$$
$$\underbrace{\langle R' | e^{-\beta H} | Q' \rangle = K(R'; -i\beta | Q'; 0)}$$

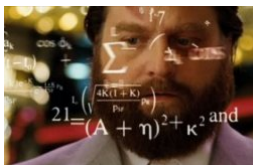
Diagrammatically

$$e^{iF[x_R, x_L]} =$$



with a lot of insertions along the Lorentzian segments.

Doing the path integral



Final answer:

$$e^{iF[x_R, x_L]} = \exp \left[- \int d\tau ds (x_R(\tau) - x_L(\tau)) \right. \\ \left. \times (\alpha(\tau - s)x_R(s) - \alpha^*(\tau - s)x_L(s)) \right]$$

where

$$\alpha(t) = \sum_k \frac{\lambda_k}{2m\omega_k} \left[e^{-i\omega_k t} + \frac{e^{-i\omega_k t} + e^{i\omega_k t}}{e^{\beta\omega_k} - 1} \right]$$

It is better to write all this as:

$$e^{iF[x_a, x_d]} = \exp \left[- \int d\tau ds (\alpha_R(\tau - s)x_d(\tau)x_d(s) + 2i\alpha_I(\tau - s)x_d(\tau)x_a(s)) \right]$$

where

$$x_a \equiv \frac{1}{2} (x_R + x_L) , \quad x_d \equiv x_R - x_L$$

This term is important: KMS

$$\alpha_R(\tau) = \text{Re} (\alpha(\tau)) = \sum_k \frac{\lambda_k^2}{2m\omega_k} \coth \left(\frac{\omega_k \beta}{2} \right) \cos (\omega_k t)$$

$$\alpha_I(\tau) = \text{Im} (\alpha(\tau)) = \sum_k \frac{\lambda_k^2}{2m\omega_k} \sin (\omega_k t)$$

What it all means? Recovering Brownian motion

Ok... we got a big expression for the propagator of A :

$$J(x, y; t|x'y'; 0) = \int Dx_R Dx_L e^{iS[x_R] - iS[x_L] - i \int x_d \alpha_I x_a - \int x_d \alpha_R x_d}$$

How can we interpretate it?

Compare with expectation: system under random external force

$$J(x, y; t|x'y'; 0) = \int Dx_R Dx_L DF p(F) e^{iS[x_R] - iS[x_L] + i \int x_d F(t)}$$

Distribution of random force (take it gaussian)

Just as before, we change perspective

$$Z_F[x_d] = \int DF p(F) e^{i \int x_d F}$$

Generating functional of correlators, $\langle F(t_1)F(t_2) \dots \rangle$

For gaussian distributions $p(F) \propto e^{-F^2/A}$:

$$Z_F[x_d] = e^{-\int d\tau ds x_d(\tau) A(\tau-s) x_d(s)}$$

where $\langle F(\tau)F(s) \rangle = A(\tau - s)$.

We can compare the two expressions:

$$\begin{array}{l}
 \text{Pheno.} \\
 \downarrow \\
 J(x, y; t | x' y'; 0) = \int Dx_R Dx_L e^{iS[x_R] - iS[x_L] - \int x_d A x_d} \\
 \\
 J(x, y; t | x' y'; 0) = \int Dx_R Dx_L e^{iS[x_R] - iS[x_L] - i \int x_d \alpha_I x_a - \int x_d \alpha_R x_d} \\
 \uparrow \\
 \text{Micro.}
 \end{array}$$

Real exponentials

Additional part: micro. dynamics

and identify:

$$\langle F(t) F(0) \rangle = \alpha_R(t)$$

In the thermodynamic limit

$$\alpha_R(t) \underset{\omega \ll T}{\sim} \frac{T}{m} \sum_k \frac{\lambda_k^2}{\omega_k^2} \cos \omega_k t \underset{\text{Large volume}}{\sim} \frac{T}{m} \int_0^\infty \rho(\omega) \frac{\lambda(\omega)^2}{\omega^2} \cos \omega t$$

With the right choice of $\rho(\omega)$:

$$\alpha_R(t) \sim \frac{2\eta T}{\pi} \frac{\sin \Omega t}{t} \sim 2\eta T \delta(t)$$

We recover Langevin from micro. dynamics!

A closer look: what about α_I

Once again, take the view of the environment:

$$\begin{aligned} Z[x_a, x_d] &= \left\langle e^{i \int (Q_R x_R - Q_L x_L)} \right\rangle_{\beta} = \left\langle e^{i \int (Q_d x_a + Q_a x_d)} \right\rangle_{\beta} \\ &= e^{-i \int x_d \alpha_I x_a - \int x_d \alpha_R x_d} \end{aligned}$$

and taking functional derivatives:

$$\begin{aligned} \langle Q_a(t) Q_a(0) \rangle &= \frac{1}{2} \langle \{Q(t), Q(0)\} \rangle = \frac{1}{i} \frac{\delta}{\delta x_d} \frac{1}{i} \frac{\delta}{\delta x_d} Z[x_a, x_d] = \alpha_R(t) \\ \langle Q_a(t) Q_d(0) \rangle &= \langle [Q(t), Q(0)] \rangle = \frac{1}{i} \frac{\delta}{\delta x_a} \frac{1}{i} \frac{\delta}{\delta x_d} Z[x_a, x_d] = i\alpha_I(t) \end{aligned}$$

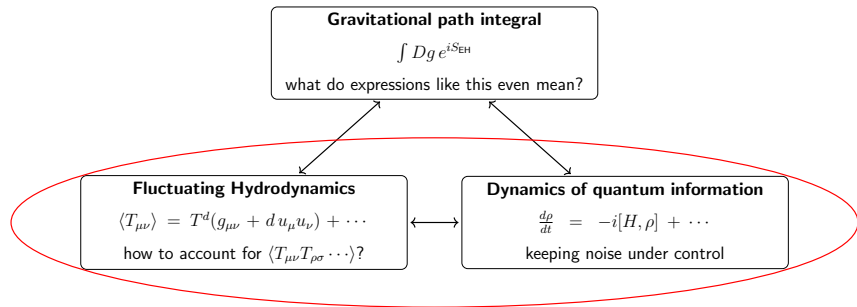
Fluctuating force

Retarded response

Lessons learned

- ▶ Influence functional: key object in open quantum systems.
- ▶ The $x_d x_d$ coefficient captures the fluctuations (they average to the semi-classical force in Langevin).
- ▶ The $x_a x_d$ coefficient gives the response of the environment (dissipation, when conserved).
- ▶ Notice, there is no $x_a x_a$ term (unitarity).

Taking stock



Open quantum systems

The gravitational path integral

Old story: gravitational systems can be seen as thermal:

$$\int_{\mathcal{M} \times S^1_\beta} Dg e^{-S_E} \sim \sum_{g^* | \propto \mathcal{M} \times S^1_\beta} e^{-S(g^*)} \sim \text{Tr} (e^{-\beta H_{\text{bdy.}}})$$

Mind the limits

Sum over solutions

“Newer” story: the holographic GKPW dictionary

$$\left\langle e^{-\int J(x)\mathcal{O}(x)} \right\rangle_{\text{CFT}} = \int_{\text{AdS}} Dg D\phi e^{-S_E} \sim e^{-S^{\text{on-shell}}[\phi \rightarrow J]}$$

Unpacking GKPW

The generating functional has two pieces of data

$$Z_{\text{CFT}}[J] = \left\langle e^{-\int J(x) \mathcal{O}(x)} \right\rangle_{\rho}$$

The diagram shows the equation $Z_{\text{CFT}}[J] = \left\langle e^{-\int J(x) \mathcal{O}(x)} \right\rangle_{\rho}$. A red line labeled "Sources" is positioned above the $J(x)$ term, with a red arrow pointing down to it. A blue line labeled "State" is positioned below the subscript ρ , with a blue arrow pointing up to it.

This has to be encoded in the dynamics on asymptotically AdS spacetimes

$$ds^2 = \frac{dr^2}{r^2} + r^2 g_{\mu\nu}(r, x) dx^\mu dx^\nu$$

Generic behavior in AdS_{d+1} :

First identification: leading behavior = source

$$\Phi(r, x) = r^{d-\Delta} J(x) (1 + \dots) + r^{-\Delta} \langle \mathcal{O}(x) \rangle_\rho (1 + \dots)$$

Second identification: sub-leading behavior = Exp. Val.

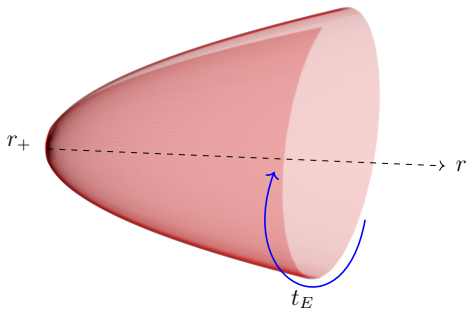
- ▶ The leading behavior is universal \rightarrow sources are fixed external inputs.
- ▶ The sub-leading behavior depends on the interior geometry \rightarrow correlations are dynamical responses (holographic reconstruction).

A special case: the thermal state

- ▶ **Observation:** In QFT, $Z(\beta) = \text{Tr} e^{-\beta H}$ can be obtained as a path integral over $\mathbb{R}^{d-1} \times S^1_\beta$.
- ▶ **GKPW:** Sum over geometries with boundary condition $\mathbb{R}^{d-1} \times S^1_\beta$.

Dominant saddle: Euclidean cigar (“black hole”):

$$ds^2 = r^2 f(r) dt_E^2 + \frac{dr^2}{r^2 f(r)} + r^2 d\vec{x}_{d-1}^2, \quad f(r) = 1 - \frac{r_+^d}{r^d}$$



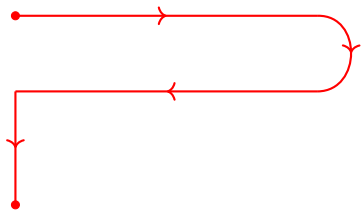
The Lorentzian GKPW dictionary

- ▶ GKPW was only formulated for Euclidean geometries.
- ▶ Long history of Lorentzian generalizations [Herzog, Son, Starinets, Skenderis, van Rees...].
- ▶ **Main obstacle:** Additional data due to time-ordering (causality).
- ▶ **Solution:** Lesson from before, the Schwinger-Keldysh contour.

The LHS: what do we want to compute?

From our previous study, the real-time generating functional is:

$$Z[J_R, J_L] = \left\langle P e^{i \int_{\mathbb{R}^{d-1} \times C_{SK}} (J_R \mathcal{O}_R - J_L \mathcal{O}_L)} \right\rangle_{\beta} =$$



The gravitational SK (grSK) geometry

Generalized GKPW prescription:

$$\begin{aligned} \left\langle P e^{i \int_{\mathbb{R}^{d-1} \times \mathcal{C}_{SK}} (J_R \mathcal{O}_R - J_L \mathcal{O}_L)} \right\rangle_{\beta} &= \int_{g|_{\text{bdy.}} \sim \mathbb{R}^{d-1} \times \mathcal{C}_{SK}} Dg e^{iS} \\ &= e^{iS[g_*]} \end{aligned}$$

where g_* is a solution of Einstein's equations with bdy. condition $\mathbb{R}^{d-1} \times \mathcal{C}_{SK}$.

Constructing the solution

- ▶ Euclidean insight: dominant saddle in the imaginary-time

section is $ds_E^2 = r^2 f(r) dt_E^2 + \frac{dr^2}{r^2 f(r)} + r^2 d\vec{x}_{d-1}^2$.

- ▶ Dominant solution in Lorentzian segments:

$$ds_{\pm}^2 = -r^2 f(r) dt_{\pm}^2 + \frac{dr^2}{r^2 f(r)} + r^2 d\vec{x}_{d-1}^2.$$

- ▶ Building new solutions by copy-paste (poor's man solution):

$$[g_{\mu\nu}^{(i)} - g_{\mu\nu}^{(j)}]_{\Sigma} = 0, \quad [K_{\mu\nu}^{(i)} - K_{\mu\nu}^{(j)}]_{\Sigma} = 0.$$

- ▶ **Easy part:** pasting to the Euclidean segment.

Simply take Σ to be the surface $t_E = 0 \sim 0 + \beta$, and

$$t_+ \sim 0, t_- = 0 + i\beta.$$

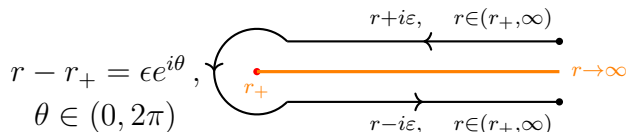
- ▶ **Tricky part:** pasting of Lorentzian segments across their horizon.

The CGL prescription

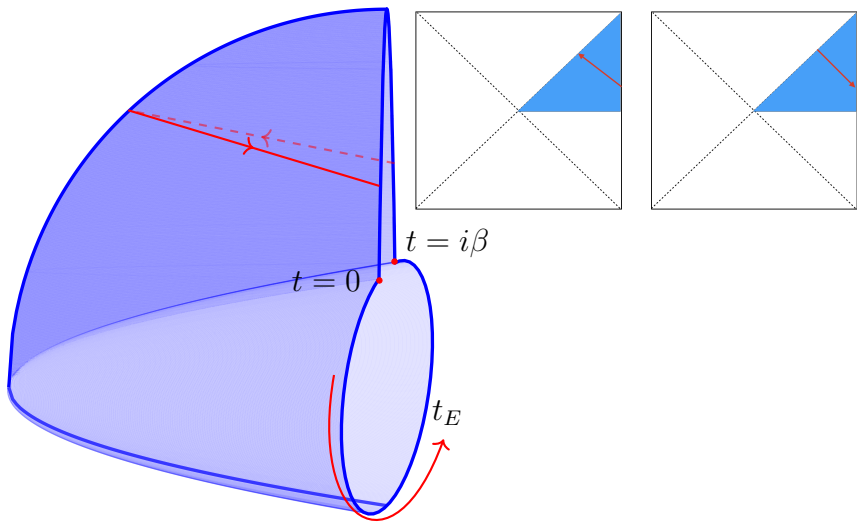
To paste the Lorentzian segments, make r complex [Glorioso, Crossley,

Liu]

$$ds^2 = -r^2 f dv^2 + 2dvdr + r^2 d\vec{x}^2$$



We then restrict $r \in \mathbb{C}$ to live on the Hankel contour above.



Credit: Heroic tikz work by Tom Angrick

All in one patch: Mock tortoise coordinate

It is convenient to introduce the *mock tortoise coordinate* [Jana, Loganayagam, Rangamani]:

$$ds^2 = -r^2 f dv^2 + i\beta r^2 f dv d\zeta + r^2 d\vec{x}^2 ,$$

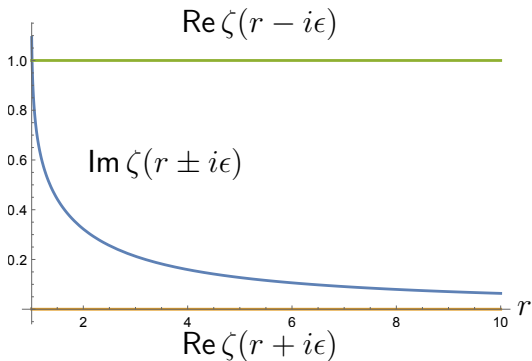
$$\frac{d\zeta}{dr} = \frac{2}{i\beta} \frac{1}{r^2 f(r)} ,$$

Convenience prefactor

Good ol' tortoise coordinate

Main property: $\zeta(r) \propto \log(r - r_+)$, logarithmic branch cut!

The prefactors have been chosen such that



In particular

$$\lim_{r \rightarrow \infty + i\epsilon} \zeta(r) = 0, \quad \lim_{r \rightarrow \infty - i\epsilon} \zeta(r) = 1.$$

Scalar dynamics in grSK

To test the prescription, consider

$$S = -\frac{1}{2} \int d^{d+1}x \sqrt{-g} g^{AB} \partial_A \Phi \partial_B \Phi$$

For $r \rightarrow \infty \pm i\epsilon$, nothing really changes

$$\Phi(r, k) \sim J_{R/L}(k) (1 + \dots) + \frac{\phi_{R/L}^{(1)}(k)}{r^d} (1 + \dots), \quad k = (\omega, \vec{k})$$

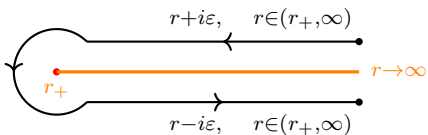
F.T.: $e^{-i\omega v + i\vec{k} \cdot \vec{x}}$
↓

But for $r \rightarrow r_+$

Regular at horizon

$$\Phi(r, k) \sim A_{R/L}(k) (1 + \dots) + B_{R/L}(k)(r - r_+)^{\frac{i\beta\omega}{2\pi}} (1 + \dots)$$

Discontinuous around horizon



Across the horizon $r - r_+ \rightarrow (r - r_+)e^{2\pi i}$.

Continuity of the full solution then requires:

$$A_R(k) = A_L(k), \quad B_R(k) = B_L(k)e^{-\beta\omega}.$$

It is convenient to write linear combinations, a fully regular solution:

$$\begin{aligned} \Phi_{\text{in}}(r, k) &\equiv \Phi_R(r, k) - e^{-\beta\omega}\Phi_L(r, k) \\ &\sim \begin{cases} A(k)(1 + \dots) & r \rightarrow r_+ \\ -(1 + n_\omega)J_R(k) + n_\omega J_L(1 + \dots) & r \rightarrow \infty + i\epsilon \end{cases} \end{aligned}$$

and a fully irregular one:

$$\Phi_{\text{out}}(r, k) \equiv \Phi_R(r, k) - \Phi_L(r, k)$$
$$\sim \begin{cases} B(k) (r - r_+)^{\frac{i\beta\omega}{2\pi}} (1 + \dots) & r \rightarrow r_+ \\ -n_\omega (J_R(k) - J_L(k)) (1 + \dots) & r \rightarrow \infty + i\epsilon \end{cases}$$

where $n_\omega = \frac{1}{e^{\beta\omega} - 1}$ is the familiar Boltzmann factor. Notice a

different linear combination produces:

$$J_a = \frac{1}{2} (J_R + J_L), \quad J_d = J_R - J_L.$$

Solving the EoM

With the boundary conditions sorted out, we can solve

$$-\frac{1}{\sqrt{-g}}\partial_A(\sqrt{-g}g^{AB}\partial_B\Phi) = 0,$$

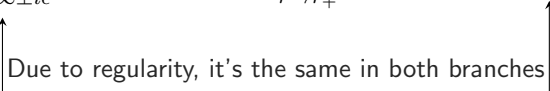
or in Fourier domain

$$\frac{1}{r^{d-1}}\mathbb{D}_+(r^{d-1}\mathbb{D}_+\Phi(r, k)) + (\omega^2 - k^2 f)\Phi(r, k) = 0.$$

where $\mathbb{D}_+ = r^2 f \frac{d}{dr} - i\omega$ is motivated by time-reversal.


We define the *ingoing propagator* $G^{\text{in}}(r, k)$ as a solution satisfying:

$$\lim_{r \rightarrow \infty \pm i\epsilon} G^{\text{in}}(r, k) = 1, \quad \lim_{r \rightarrow r_+} G^{\text{in}}(r, k) = \text{regular}$$



Using time-reversal symmetry, $v \rightarrow i\beta\zeta - v$, the *outgoing propagator* is

$$G^{\text{out}}(r, \omega, \vec{k}) = e^{-\beta\omega\zeta} G^{\text{in}}(r, -\omega, \vec{k})$$



The general solution to the EoM in grSK geometry is

$$\begin{aligned}\Phi(\zeta, \omega, k) = & \left(J_a + \left(n_\omega + \frac{1}{2} \right) J_d \right) G^{\text{in}}(r, \omega, \vec{k}) \\ & - n_\omega J_d e^{\beta\omega(1-\zeta)} G^{\text{in}}(r, -\omega, k)\end{aligned}$$

Notice, we *only* need to compute $G^{\text{in}}(r, \omega, k)$!

A quick check:


$$\lim_{\zeta \rightarrow 0} \Phi(\zeta, \omega, k) = \left(J_a + \left(n_\omega + \frac{1}{2} \right) J_d \right) - n_\omega e^{\beta\omega} J_d = J_L$$

$$\lim_{\zeta \rightarrow 1} \Phi(\zeta, \omega, k) = \left(J_a + \left(n_\omega + \frac{1}{2} \right) J_d \right) - n_\omega J_d = J_R$$

Evaluating the on-shell action

With the solution on hand, we evaluate:

$$\begin{aligned} S &= \text{EoM} - \int d^{d+1}x \partial_A (\sqrt{-g} g^{AB} \Phi \partial_B \Phi) \\ &= \frac{1}{2} \int_k \Phi(r, k) \Pi(r, -k) \Big|_{\zeta=0}^{\zeta=1} \end{aligned}$$

There are two boundaries 

where

$$\Pi(r, k) \equiv -\sqrt{-g} g^{rB} \partial_B \Phi = -r^{d-1} \mathbb{D}_+ \Phi$$

Defining

$$K^{\text{in}}(\omega, k) = - \lim_{r \rightarrow \infty} (r^{d-1} \mathbb{D}_+ G^{\text{in}}(r, \omega, k) + \text{c.t.})$$

We find

$$S_{\text{on-shell}} = -\frac{1}{2} \int_k J_d^\dagger(k) K^{\text{in}}(\omega, k) \left(J_a(k) + \left(n_\omega + \frac{1}{2} \right) J_d(k) \right) + \text{c.c.}$$

which, by GKPW, gives us the generating functional of connected correlators:

$$iW[J_a, J_d] = \log Z[J_a, J_d] \sim iS_{\text{on-shell}}[J_a, J_d]$$

A reassuring answer

We can compare:

$$S_{\text{on-shell}} = -\frac{1}{2} \int_k J_d^\dagger(k) K^{\text{in}}(\omega, k) \left(J_a(k) + \left(n_\omega + \frac{1}{2} \right) J_d(k) \right) + \text{c.c.}$$

with the harmonic oscillator answer

$$\log Z[x_a, x_d] = -i \int x_d \alpha_I x_a - \int x_d \alpha_R x_d$$

It is the same structure!

- From the H.O. analysis, the $J_d^\dagger J_d$ coefficient gives the average force:

$$\langle \mathcal{O}(-k)\mathcal{O}(k) \rangle^{\text{Kel.}} = -\frac{1}{2} \coth\left(\frac{\beta\omega}{2}\right) \text{Im}K^{\text{in}}(\omega, \vec{k})$$

Same factor we found in the H.O. analysis!

- While the $J_d^\dagger J_a$ coefficient gives the retarded response:

$$\langle \mathcal{O}(-k)\mathcal{O}(k) \rangle^{\text{Ret.}} = iK^{\text{in}}(\omega, \vec{k})$$

- ▶ The two results are related:

$$\langle \mathcal{O}(-k)\mathcal{O}(k) \rangle^{\text{Kel.}} = \frac{1}{2} \coth\left(\frac{\beta\omega}{2}\right) \text{Re} \langle \mathcal{O}(-k)\mathcal{O}(k) \rangle^{\text{Ret.}}$$

which is the fluctuation-dissipation theorem.

- ▶ Notice there is no $J_a^\dagger J_a$ term, as expected from unitarity.
- ▶ Our gravitational calculation agrees completely with the QM expectation.

All together: Holographic open quantum systems

$$S = S_A + S_B + \int d^d x J(x) \mathcal{O}(x)$$

Observer/measuring system

Holographic system, for example $\mathcal{N} = 4$ SYM

Influence functional:

Fancy-pants harmonic oscillator

$$\left\langle e^{i \int (J_R \mathcal{O}_R - J_L \mathcal{O}_L)} \right\rangle_{\beta}^{\mathcal{N}=4 \text{ SYM}} = \int Dg D\Phi e^{iS[g, \Phi]} \sim e^{iS_{\text{on-shell}}[J_R, J_L]} \Big|_{\text{grSK}}$$

The real deal: metric fluctuations

Going beyond toy scalars:

$$\begin{aligned} \left\langle e^{i \int (J_R \mathcal{O}_R - J_L \mathcal{O}_L)} \right\rangle_{\beta}^{\mathcal{N}=4 \text{ SYM}} &\sim e^{i S_{\text{on-shell}}} \text{ (metric fluctuations)} \\ &= e^{i S_{\text{on-shell}}} \int D h e^{i S^{(2)}[h] + i S^{(3)}[h] + \dots} \end{aligned}$$

where $g = g_{\text{grSK}} + h$, with $|h| \ll 1$, and $S^{(n)}[h]$ come from expanding the Einstein-Hilbert action.

Humble beginnings: gaussian fluctuations

Start with only

$$Z_2[h] = \int Dh e^{iS^{(2)}[h]} \xrightarrow{\text{Gaussian integration}} e^{iS_{\text{on-shell}}^{(2)}[h|_{\text{bdy.}}]}$$

Generic behavior:

$$h_{AB}(r, x) dx^A dx^B \sim \frac{dr^2}{r^2} + r^2 \left(\underbrace{\gamma_{\mu\nu}(x)}_{\text{Source}} + \frac{\langle T_{\mu\nu}(x) \rangle}{r^d} \right) dx^\mu dx^\nu + \dots$$

One-point function

Metric fluctuations as open quantum systems

Comparing with the scalar results:

Generating functional of stress tensor correlators

$$\left\langle e^{i \int (\gamma_{\mu\nu}^R T_R^{\mu\nu} - \gamma_{\mu\nu}^L T_L^{\mu\nu})} \right\rangle_{\beta}^{\mathcal{N}=4 \text{ SYM}} = e^{i S_{\text{on-shell}}^{(2)}[h|_{\text{bdy.}} \sim \gamma]} + \dots$$

↑
Perturbation around grSK geometry

Connection with Hydrodynamics: $\langle T_{\mu\nu} T_{\rho\sigma} \dots \rangle$ in the limit

$$\omega, |\vec{k}| \ll T.$$

Handling metric fluctuations: designer scalars

$$g = g_{\text{grSK}} + h$$



$SO(d-2)$ harmonic decomposition

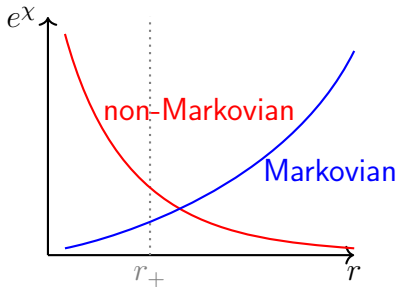
Diff. invariance

Constraint equations

$$S_{\mathcal{M}} = -\frac{1}{2} \int d^{d+1}x \sqrt{-g} e^{\chi(r)} \nabla_A \Phi_{\mathcal{M}} \nabla^A \Phi_{\mathcal{M}}, \quad \sqrt{-g} e^{\chi(r)} \sim r^{\mathcal{M}}$$

The dilaton makes all the difference

- ▶ Tensors: $e^{\chi(r)} = 1$.
- ▶ Vectors: $e^{\chi(r)} = \frac{1}{r^{2(d-1)}}$,
- ▶ Scalars: $e^{\chi(r)} = \frac{1}{r^{2(d-2)}\Lambda_k^2}$, $\Lambda_k = k^2 + \frac{1}{2}(d-1)r^3 f'$.



Dynamic of designer scalars in SK

- ▶ Let us study the system (scalars are hard):

$$S_{\text{designer}} = -\frac{1}{2} \int d^{d+1}x \sqrt{-g} r^{\mathcal{M}-d+1} \nabla_A \Phi_{\mathcal{M}} \nabla^A \Phi_{\mathcal{M}} + S_{\text{bdy}}.$$

- ▶ The equation of motion is

$$r^{-\mathcal{M}} \mathbb{D}_+ (r^{\mathcal{M}} \mathbb{D}_+ \Phi_{\mathcal{M}}) + (\omega^2 - k^2 f) \Phi_{\mathcal{M}} = 0.$$

- ▶ For $d > 2$, this equation can be solved in a gradient expansion:

$$\frac{\omega}{r_+}, \frac{k}{r_+} \ll 1$$

- ▶ Asymptotic behavior:

$$\Phi_{\mathcal{M}} \sim c_1 + \frac{c_2}{r^{\mathcal{M}+1}}$$

- ▶ For $\mathcal{M} > -1$, we impose Dirichlet conditions ($S_{\text{bdy.}} = 0$).
- ▶ For $\mathcal{M} < -1$, compute the conjugate momentum:

$$\pi_{\mathcal{M}} = -r^{\mathcal{M}} \mathbb{D}_+ \Phi_{\mathcal{M}} \sim \tilde{c}_1 + \tilde{c}_2 r^{\mathcal{M}}$$

- ▶ Quantization is done using Neumann conditions ($S_{\text{bdy.}} = \int d^d x \Phi_{\mathcal{M}} \pi_{\mathcal{M}}$).

Markovian fields (tensors)

Same old scalar:

$$\Phi_{\mathcal{M}}(\zeta, w, \vec{k}) = J_a G_{\mathcal{M}}^{\text{in}} + \left[\left(n_{\beta} + \frac{1}{2} \right) G_{\mathcal{M}}^{\text{in}} - n_{\beta} e^{\beta(\zeta-1)} G_{\mathcal{M}}^{\text{rev}} \right] J_d$$

$$S_{\text{on-shell}}[J_a, J_d] = - \int_k J_d^{\dagger} \mathcal{K}_{\mathcal{M}}^{\text{in}} \left[J_a + \left(n_{\beta} + \frac{1}{2} \right) J_d \right]$$

$$K_{\mathcal{M}}^{\text{in}} = -i\omega + \frac{k^2}{1 - \mathcal{M}} + \Delta_{\mathcal{M}}^{2,0}(r_+) \omega^2 + \dots$$

Note: Correlations are analytic in ω and $|\vec{k}| \Rightarrow$ there are no conserved tensor-like currents!

Non-Markovian fields (vectors and scalars^{*})

Here things are trickier

- ▶ We could compute $\log Z[J_a, J_d] = iS_{\text{on-shell}}[J_a, J_d]$, using Neumann conditions.
- ▶ Alternatively, we could still use Dirichlet

$$\Phi_{\mathcal{M}}(\zeta, w, \vec{k}) = \check{\Phi}_a G_{\mathcal{M}}^{\text{in}} + \left[\left(n_{\beta} + \frac{1}{2} \right) G_{\mathcal{M}}^{\text{in}} - n_{\beta} e^{\beta(\zeta-1)} G_{\mathcal{M}}^{\text{rev}} \right] \check{\Phi}_d$$

fixing the one-point function (very non-kosher).

Old friend: Quantum Effective Action

- ▶ What does it mean to fix the one-point function?
- ▶ Gravity side: changing from Neumann to Dirichlet:

$$\tilde{S} = -\frac{1}{2} \int d^{d+1}x \sqrt{-g} r^{\mathcal{M}-d+1} \nabla_A \Phi_{\mathcal{M}} \nabla^A \Phi_{\mathcal{M}} + \int d^d x \Phi_{\mathcal{M}} \pi_{\mathcal{M}}$$

Original action: Neumann conditions

$$- \int d^d x \Phi_{\mathcal{M}} \pi_{\mathcal{M}}$$

Legendre transform to Dirichlet conditions

- ▶ Field theory side:

$$i\Gamma[\check{\Phi}_a, \check{\Phi}_d] = \log Z[J_a, J_d] - i \int d^d x (J_R \check{\Phi}_R - J_L \check{\Phi}_L)$$

$\xrightarrow{iS_{\text{on-shell}}[J_a, J_d]}$ $\xrightarrow{\int d^d x \Phi_{\mathcal{M}} \pi_{\mathcal{M}} \Big|_{\zeta=0}^{\zeta=1}}$

- ▶ The Legendre transform of the generating functional is the Quantum Effective Action.

$$\begin{aligned} \Gamma[\check{\Phi}_a, \check{\Phi}_d] &= S_{\text{on-shell}}^{\text{Dirichlet}}[\check{\Phi}_a, \check{\Phi}_d] \\ &= - \int_k \check{\Phi}_d^\dagger \mathcal{K}_{-\mathcal{M}}^{\text{in}} \left[\check{\Phi}_a + \left(n_\beta + \frac{1}{2} \right) \check{\Phi}_d \right] \end{aligned}$$

Interpretation: Wilsonian Influence Functional

- ▶ Before, influence functional:

$$e^{iF[x_R, x_L]} = \int_{\rho} DQ_R DQ_L e^{iS_R - iS_L}$$

- ▶ Now, Wilsonian Influence Functional

$$\begin{aligned} e^{iF[x_R, x_L]} &= \int_{\rho} \left(\overbrace{DQ_R^{SL} DQ_L^{SL}}^{\text{Short-Lived modes}} \right) \left(\overbrace{DQ_R^{LL} DQ_L^{LL}}^{\text{Long-Lived modes}} \right) e^{iS_R - iS_L} \\ &= \int_{\rho} (DQ_R^{SL} DQ_L^{SL}) e^{iS_{\text{WIF}}} \end{aligned}$$

LT wrt Long-Lived modes \Rightarrow QEA \Rightarrow Fluid EFT

► In total:

$$\mathcal{S}_{\text{WIF}} \propto - \sum_{\alpha=1}^{N_V} \int_k (\check{\mathcal{P}}_d^\alpha)^\dagger K_{\text{Mom}}^{\text{in}} \left[\check{\mathcal{P}}_a^\alpha + \left(n_\beta + \frac{1}{2} \right) \check{\mathcal{P}}_d^\alpha \right] \\ - \int_k (\check{\mathcal{Z}}_d)^\dagger K_{\text{Sound}}^{\text{in}} \left[\check{\mathcal{Z}}_a + \left(n_\beta + \frac{1}{2} \right) \check{\mathcal{Z}}_d \right]$$

Famous result $\mathcal{D} = \frac{\eta}{e+p} \rightarrow \frac{\eta}{s} = \frac{1}{4\pi}$

$$K_{\text{Mom}}^{\text{in}} = -i\omega + \frac{1}{d} k^2 + \dots$$

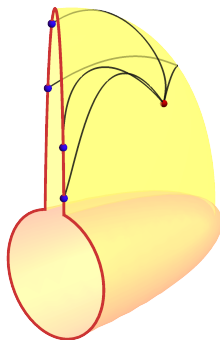
$$K_{\text{Sound}}^{\text{in}} = -\omega^2 + \frac{k^2}{d-1} + \nu_s k^2 \Gamma_s(\omega, k)$$

Hawking sound

Beyond the Gaussian level:

Witten diagrams in SK geometry

What about $\mathcal{S}^{(n)}[h]$ for $n > 1$




The ingredients:

- ▶ Ingoing Bulk-to-Bdy Prop.: $G_{\text{in}}(\zeta, k)$.
- ▶ Outgoing Bulk-to-Bdy Prop.: $G_{\text{out}}(\zeta, k) = e^{-\beta\omega\zeta} G_{\text{in}}(\zeta, \bar{k})$
- ▶ Bulk-to-Bulk Prop:
$$G_{\text{bb}}(\zeta, \zeta'; k) = \mathcal{N}(k) e^{\beta\omega\zeta'} G_{\text{L}}(\zeta_{>}, k) G_{\text{R}}(\zeta'_{<}, k).$$

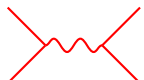
Important: $G_{\text{in}}(\zeta + 1, k) = G_{\text{in}}(\zeta, k)$.

► **Contact diagram:**



$$= \oint d\zeta \mathfrak{L}(\zeta) = \int_{r_H}^{r_c} dr (\mathfrak{L}(\zeta(r) + 1) - \mathfrak{L}(\zeta(r)))$$

► **Exchange diagram**



$$= \oint d\zeta \oint d\zeta' [F_1(\zeta, \zeta')\Theta(\zeta - \zeta') + F_2(\zeta, \zeta')\Theta(\zeta' - \zeta)]$$

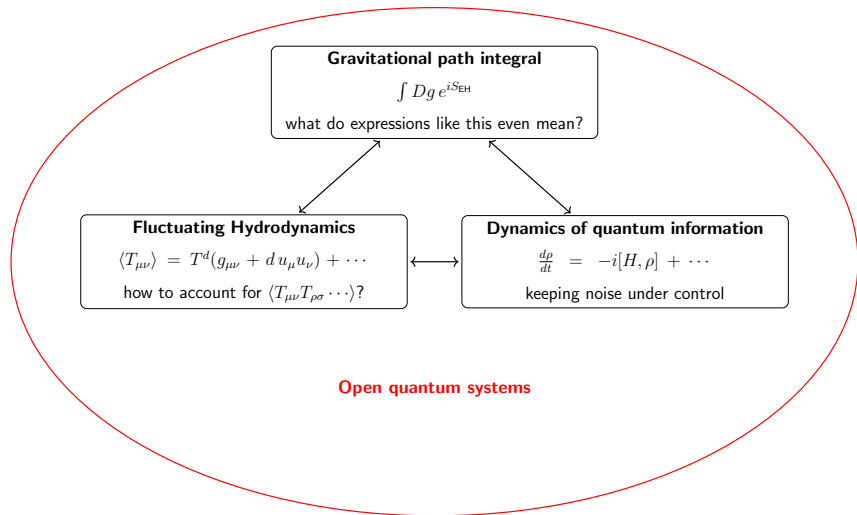
$$= \int_{r_H}^{r_c} dr \int_{r_H}^{r_c} dr' [\mathfrak{F}_1\theta(r - r') + \mathfrak{F}_2\theta(r' - r)]$$

where

$$\mathfrak{F}_1 = F_1(\zeta, \zeta') - F_1(\zeta + 1, \zeta') + F_2(\zeta + 1, \zeta' + 1) - F_2(\zeta, \zeta' + 1),$$

$$\mathfrak{F}_2 = F_1(\zeta + 1, \zeta' + 1) - F_1(\zeta + 1, \zeta') + F_2(\zeta, \zeta') - F_2(\zeta, \zeta' + 1).$$

Taking stock, part II



Work in progress

- ▶ Higher order SK correlators for $d > 2$ [Ammon, Rangamani, Specht, JV].
- ▶ Connections to non-linear fluid actions [Rangamani, JV].
- ▶ Beyond the SK contour: OTOC [Ammon, Germerodt, Sieling, JV].

Final musings

- ▶ More complicated thermal systems: Kerr black holes, superfluids,...
(For charged black holes see [He, Loganayagam, Rangamani, JV])
- ▶ Transition amplitudes: beyond saddle point approximation
(Golden dream: topology changes)
- ▶ Non local-probes: entanglement entropy, reflected entropy, negativity,... [Colin-Ellerin, Dong, Marolf, Rangamani, Wang][Pelleiconi, Sonner][Mezei,JV]

- ▶ Effective actions for chaotic systems [Blake, Lee, Liu][Haehl, Rozali]
- ▶ Gravitational SK contours for more general spacetimes
(see recent work on dS correlators [Di Pietro, Gorbenko, Komatsu][Loganayagam, Shetye]).
- ▶ Is there something to be said in asymptotically flat spacetimes?

Thank You!