

# Asymptotic safety of Yang-Mills/gauge theory in 5 dimensional spacetime

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# Contents

## 1. Motivations

- Why gauge theory in 5d?

## 2. $SU(N)$ Yang-Mills theory in $R^4 \times S^1$ .

- Aharonov-Bohm phases and Hosotani mechanism

## 3. Flow of gauge coupling

- Fixed point and critical exponent

# Motivations

## Why 5d gauge theory?

- Phenomenological side
  - A model of Gauge-Higgs unification  $A_M^a = (A_\mu^a, H^a)$
- Theoretical side
  - Perturbatively non-renormalizable
  - Non-perturbative Renormalizability: Asymptotic safety
  - Towards asymptotically safe gauge theory

# YM theory in compactified spacetime

- Phase structure (due to the Hosotani mechanism)
  - Spontaneous gauge symmetry breaking
  - Order of phase transitions
- Dynamical change of dimension of the system.
  - Running gauge coupling from 4D to 5D.

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# Model

- Consider a Yang-Mills theory:

$$S_{\text{YM}} = \frac{1}{2g^2} \int d^5x \operatorname{tr} [F_{MN} F^{MN}]$$

$$F_{MN}^a = \partial_M A_N^a - \partial_N A_M^a + f^{abc} A_M^b A_N^c$$

- Compactification:  $\mathbb{R}^4 \times S^1$

$$x_M = (x_\mu, x_5)$$



# Compactification

- Kaluza-Klein (KK) expansion  $(p_\mu, \Omega_n)$

$$A_M(x, x_5) = \frac{1}{\sqrt{2\pi R}} \sum_{n=-\infty}^{\infty} \int \frac{d^4 p}{(2\pi)^4} A_M^{(n)}(p) e^{ip \cdot x + i\Omega_n x_5}$$

- KK modes  $\Omega_n = \frac{n}{R}$

# Aharonov-Bohm phases

- A background configuration of  $A_M = A_M^a T^a$

$$\bar{A}_\mu = 0 \quad (M = \mu = 0, \dots, 3)$$

$$\bar{A}_5 = \frac{1}{2\pi R} \begin{pmatrix} \theta_1 & & \\ & \ddots & \\ & & \theta_N \end{pmatrix}, \quad \sum_{i=1}^N \theta_i = 0 \quad (M = 5)$$

- $\theta_i$ : Aharonov-Bohm (AB) phases



# For finite AB phases

- Covariant derivative

$$\begin{aligned}\bar{D}_M^2 A_M &= (\partial_\mu^2 + \bar{D}_5^2) A_M = [\partial_\mu^2 + (\partial_5 + i\bar{A}_5)^2] A_M \\ &= \left[ \partial_\mu^2 + \frac{1}{R^2} \left( n - \frac{\theta_i - \theta_j}{2\pi} \right)^2 \right] A_M\end{aligned}$$

mass

- The gauge field (zero mode) obtain finite masses.

$$m_{A^{(0)}}^2 = \frac{1}{R^2} \left( \frac{\theta_i - \theta_j}{2\pi} \right)^2 \quad n = 0$$

# Hosotani mechanism

Y. Hosotani, Phys. Lett. B 126, 309 (1983)  
 Phys. Lett. B 129, 193 (1983)  
 Annals Phys. 190, 233 (1989)

- Due to the non-trivial configuration of AB phases, the gauge symmetry is spontaneously broken.
- e.g.  $SU(3)$

$\mathcal{H}_{\text{sym}}/\text{Phase}$	config.	$\theta_1$	$\theta_2$	$\theta_3$
$SU(3)/\text{confined}$	$X$	—	—	—
	$A_1$	0	0	0
$SU(3)/\text{deconfined}$	$A_2$	$\frac{2}{3}\pi$	$\frac{2}{3}\pi$	$\frac{2}{3}\pi$
	$A_3$	$-\frac{2}{3}\pi$	$-\frac{2}{3}\pi$	$-\frac{2}{3}\pi$
$SU(2) \times U(1)/\text{split}$	$B_1$	$\alpha$	$\alpha$	$-2\alpha$
	$B_2$	$\alpha$	$-2\alpha$	$\alpha$
	$B_3$	$-2\alpha$	$\alpha$	$\alpha$
$U(1) \times U(1)/\text{reconfined}$	$C_1$	$\beta_1$	$\beta_2$	$-\beta_1 - \beta_2$
	$C_2$	$\beta_1$	$-\beta_1 - \beta_2$	$\beta_2$
	$C_3$	$-\beta_1 - \beta_2$	$\beta_1$	$\beta_2$

# Physical quantities

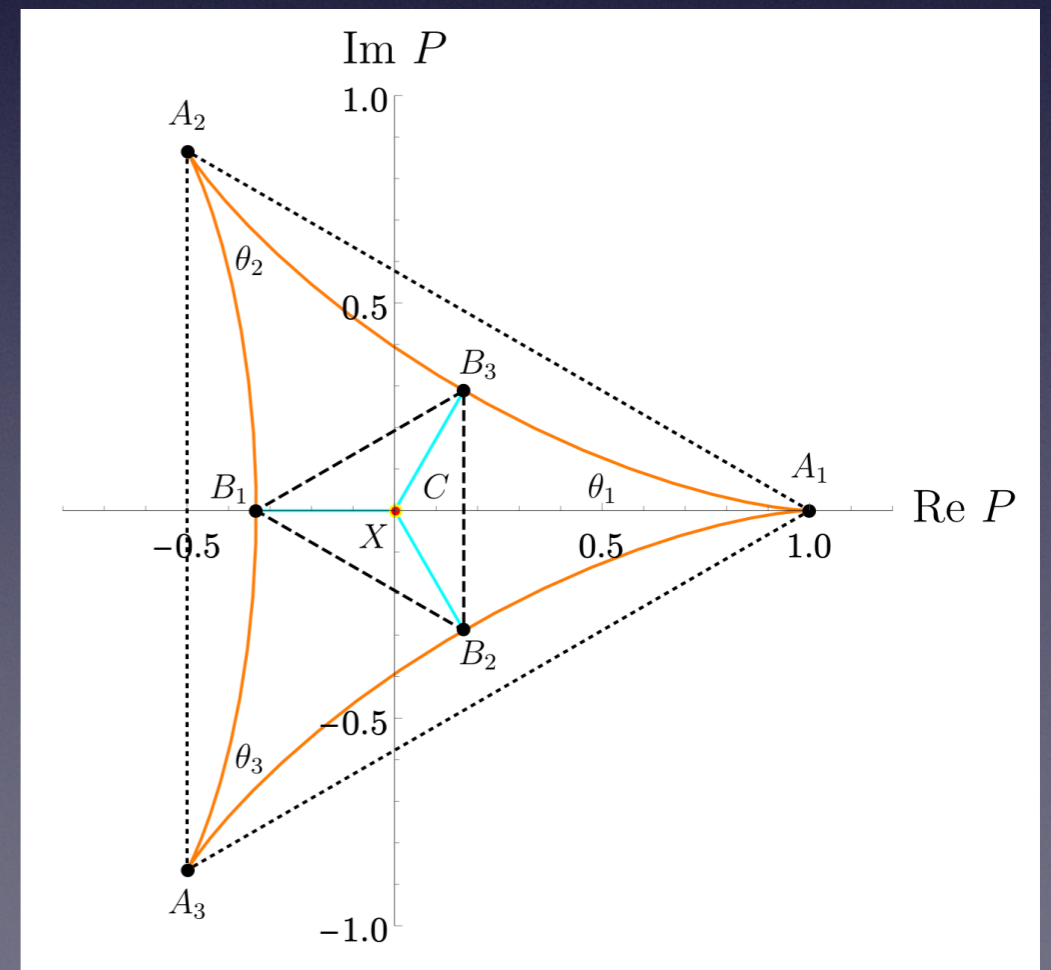
- Wilson line  $W(x) = \mathcal{P} \exp \left\{ -i \int_0^{2\pi R} dx_5 \bar{A}_5(x, x_5) \right\} \sim \begin{pmatrix} e^{i\theta_1} & & \\ & e^{i\theta_2} & \\ & & e^{i\theta_3} \end{pmatrix}$
- Broken generators:  $[W, T^a] \neq 0$  SU(3)

- Polyakov loop  $P = \frac{1}{N} \text{tr} W$

- e.g. SU(3)

$$P = \frac{1}{3} (e^{i\theta_1} + e^{i\theta_2} + e^{i\theta_3})$$

- Confinement  $P = 0$



# Flow equation of AB phases

- Potential of AB phases

$$\mathcal{I}_N(\bar{R}; \theta_H) = \pi \bar{R} \sum_{i,j=1}^N \frac{\sinh(2\pi \bar{R})}{\cosh(2\pi \bar{R}) - \cos(\theta_H)}$$

- Pure YM

$$\partial_t V(\theta_H) = \underbrace{\frac{5k^4}{2(4\pi)^2} \left(1 - \frac{\eta_g}{6}\right) \mathcal{I}_N(\bar{R}; \theta_i - \theta_j)}_{\text{gauge fields}} - \underbrace{\frac{k^4}{(4\pi)^2} \left(1 - \frac{\eta_{gh}}{6}\right) \mathcal{I}_N(\bar{R}; \theta_i - \theta_j)}_{\text{ghost fields}}$$

- Inclusion of fundamental and adjoint fermions

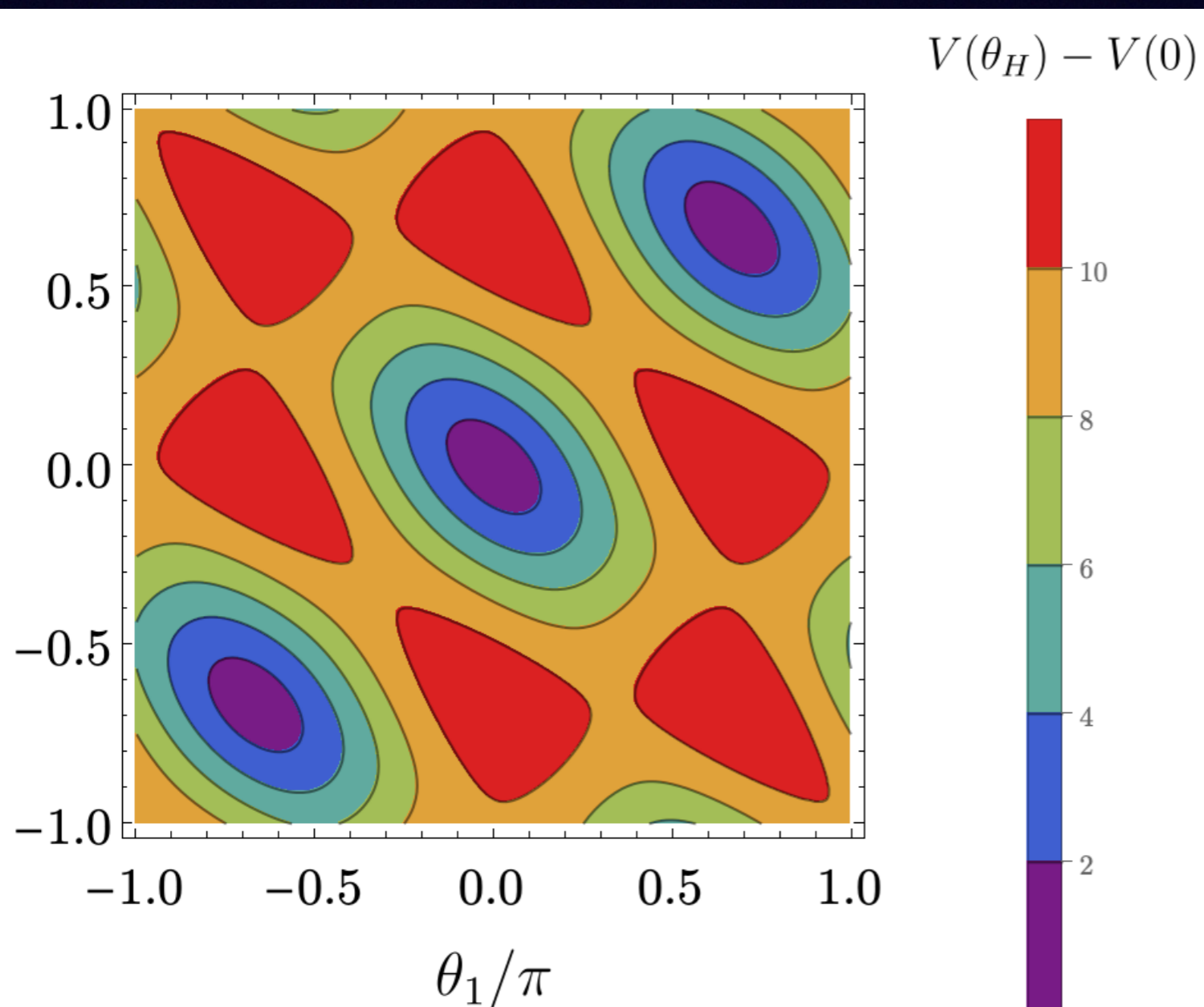
- fundamental  $-\frac{4N_f k^4}{(4\pi)^2} \left(1 - \frac{\eta_f}{6}\right) \mathcal{I}_N(\bar{R}; \theta_i)$

- adjoint  $-\frac{4N_{ad} k^4}{(4\pi)^2} \left(1 - \frac{\eta_{ad}}{6}\right) \mathcal{I}_N(\bar{R}; \theta_i - \theta_j)$

# Solving the flow equation

- SU(3) case
  - two independent AB phases  $\theta_1, \theta_2$   $\theta_3 = -\theta_1 - \theta_2$
- Set  $V(\theta_H) = 0$  at  $k = \Lambda$  and neglect  $\eta$ 
  - No background at classical level  $\bar{A}_M = 0$
- Solve until  $k = 0$  to obtain  $V(\theta_H)$  in IR.

# Pure gauge theory ( $N_f=0$ , $N_{ad}=0$ )



Minima at

$$(\theta_1, \theta_2, \theta_3) = \begin{cases} (0, 0, 0) \\ (\pm \frac{2}{3}\pi, \pm \frac{2}{3}\pi, \pm \frac{2}{3}\pi) \end{cases}$$



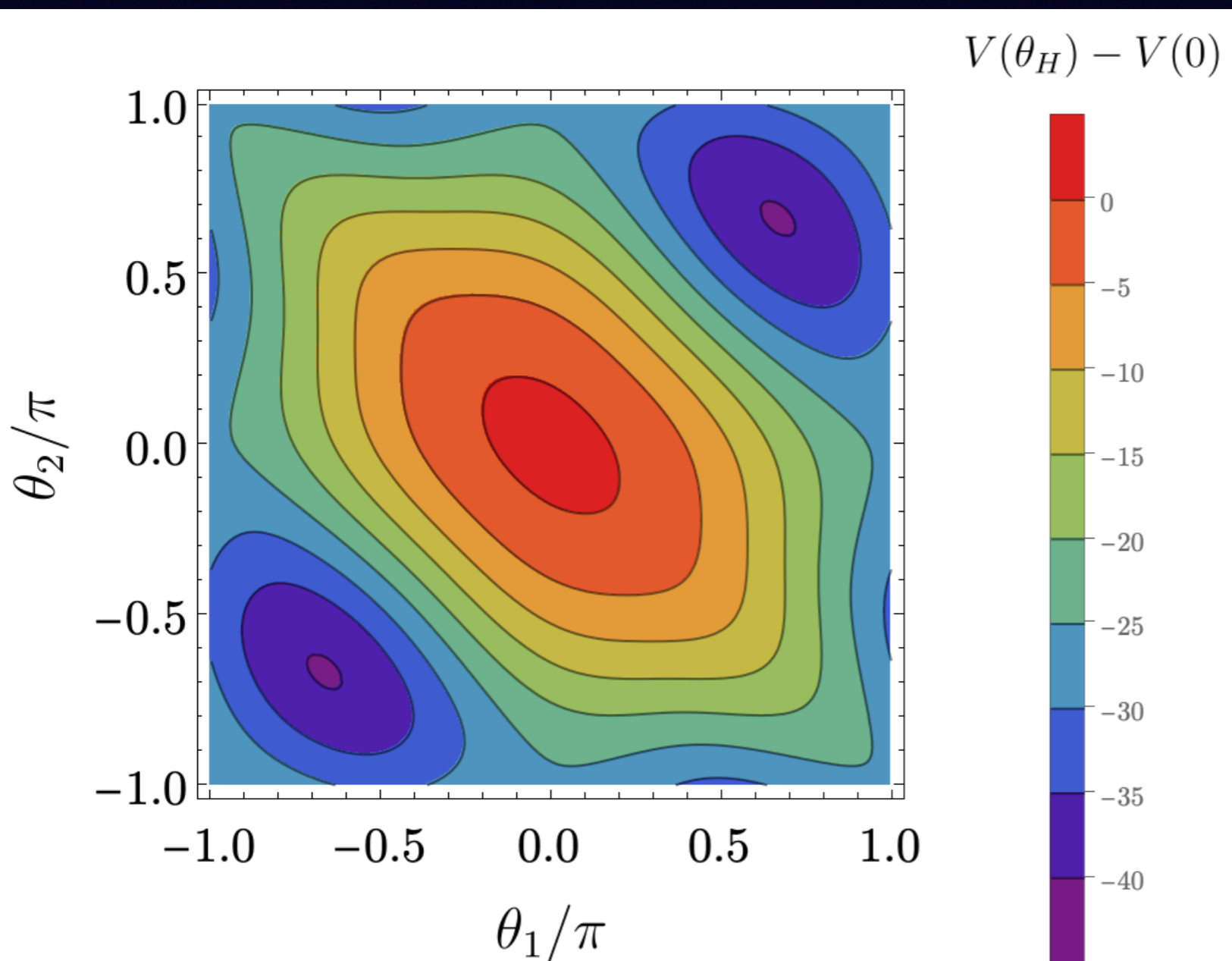
$$P \neq 0$$

deconfinement

$$SU(3)$$

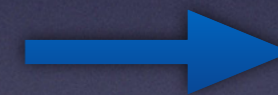
is unbroken.

# Including fundamental fermion ( $N_f=1, N_{ad}=0$ )



Minima at

$$(\theta_1, \theta_2, \theta_3) = (\pm \frac{2}{3}\pi, \pm \frac{2}{3}\pi, \pm \frac{2}{3}\pi)$$



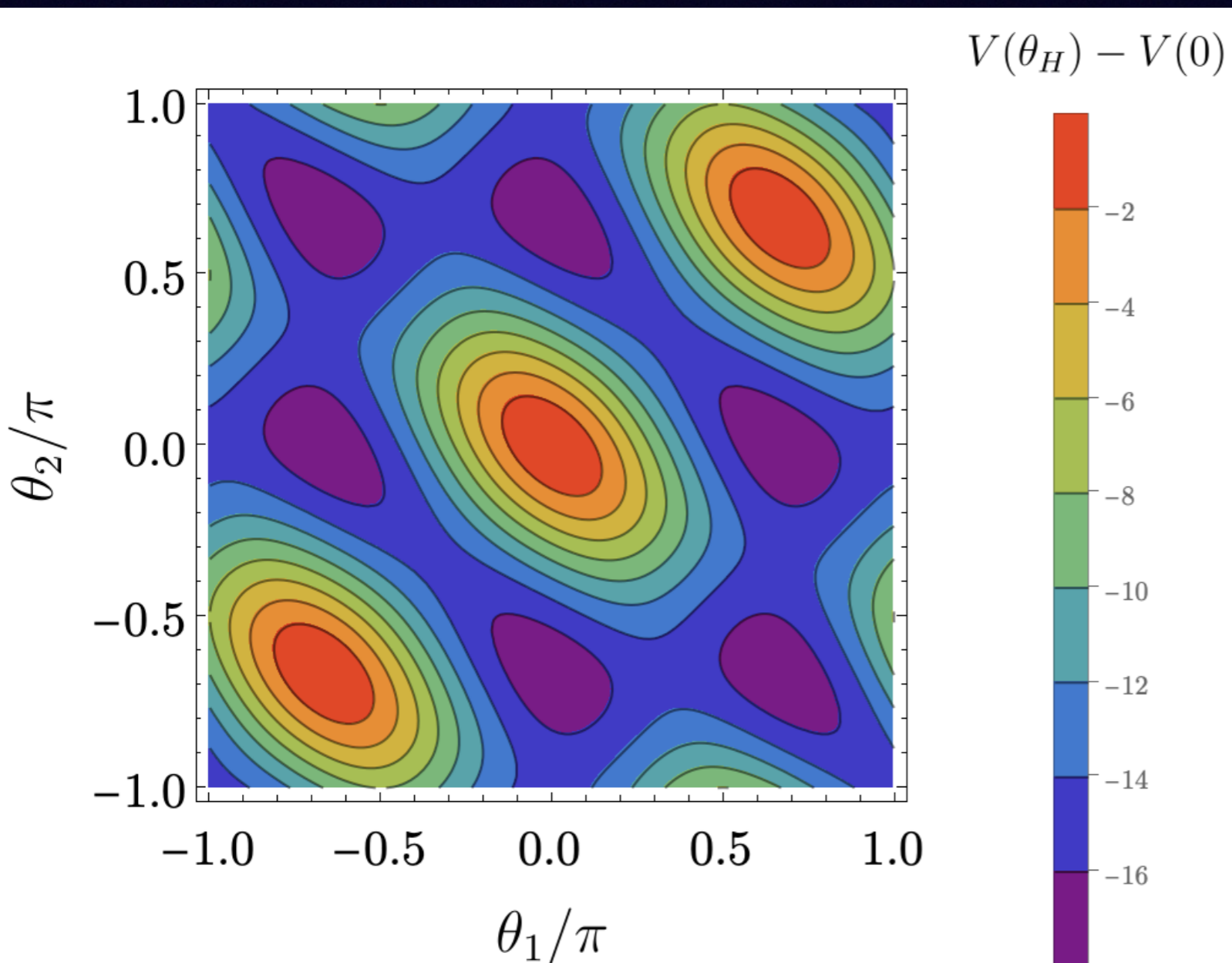
$$P \neq 0$$

deconfinement

$$SU(3)$$

is unbroken.

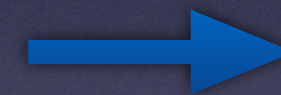
# Including adjoint **massless** fermion ( $N_f=0$ , $N_{ad}=1$ )



Minima at

$$(\theta_1, \theta_2, \theta_3) = \left(0, \frac{2}{3}\pi, -\frac{2}{3}\pi\right)$$

and perm.



$$P = 0$$

confinement

$$SU(3)$$

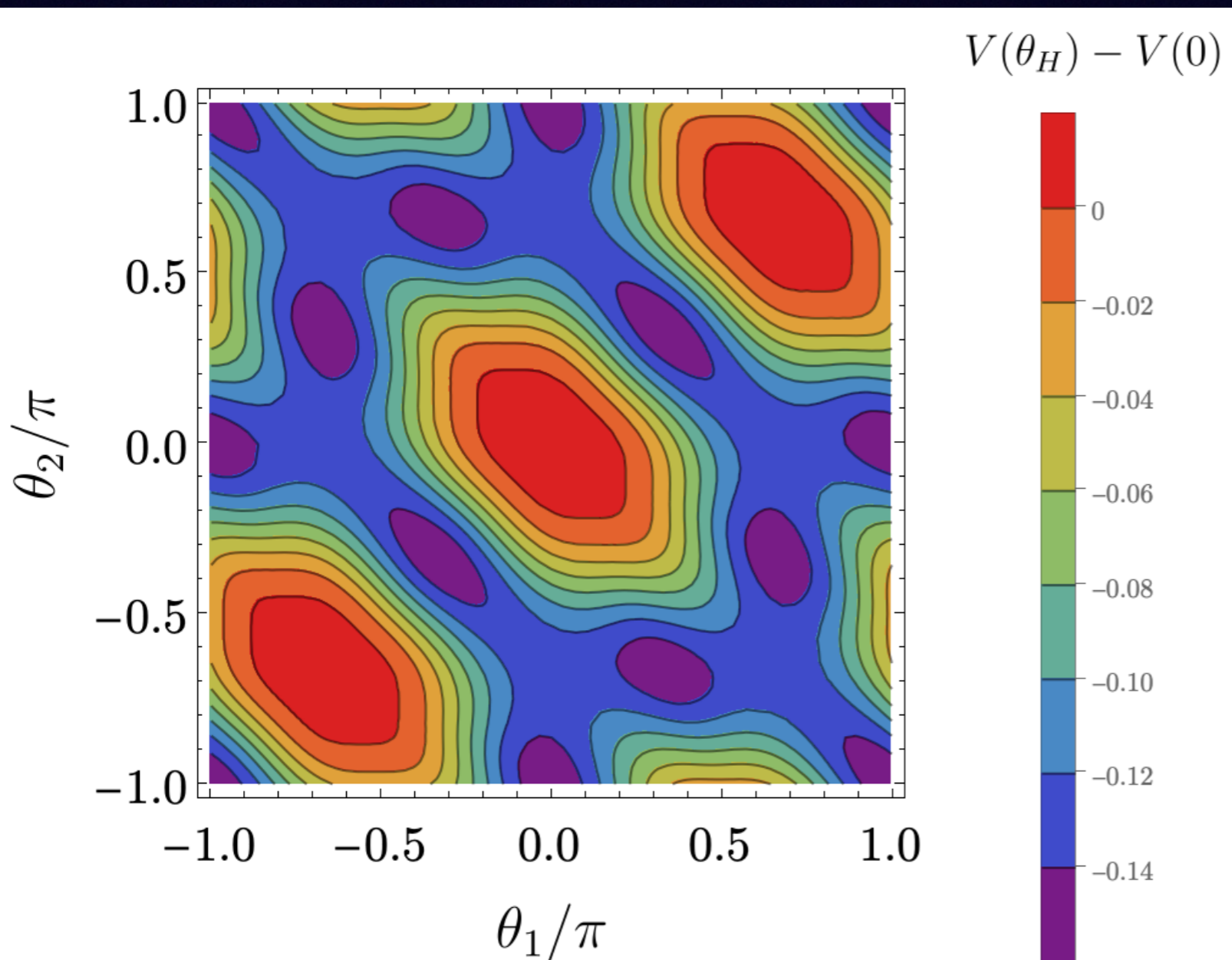


$$U(1) \times U(1)$$



# Including adjoint **massive** fermion ( $N_f=0, N_{ad}=1$ )

$$m_{ad}/k = 0.67$$

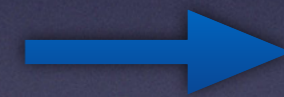


Minima at

$$(\theta_1, \theta_2, \theta_3) = (\pi, \pi, 0)$$

$$(\pm \frac{\pi}{3}, \pm \frac{\pi}{3}, \mp \frac{2}{3}\pi)$$

and perm.



$$P \neq 0$$

deconfinement

$$SU(3)$$



$$SU(2) \times U(1)$$

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# Flow of gauge coupling

- Consider pure YM theory
  - Symmetric vacuum is realized:  $(\theta_1, \theta_2, \theta_3) = (0, 0, 0)$
  - Consider  $\bar{F}_{\mu\nu}\bar{F}^{\mu\nu} \neq 0$   $\bar{A}_5 = 0$
- Using the heat kernel technique

$$\frac{\partial_t Z_k}{2} \frac{1}{g^2} \int d^5x \bar{F}_{MN} \bar{F}^{MN} = \frac{\partial_t Z_k}{2} \frac{2\pi R}{g^2} \int d^4x \bar{F}_{\mu\nu} \bar{F}^{\mu\nu}$$
$$\frac{1}{g_{4D}^2}$$

# Refs. on gauge coupling running in higher dim.

- $4+\varepsilon$  expansion (one-loop): M. E. Peskin, *Phys.Lett.B* 94 (1980) 161-165  
see D.I.Kazakov, *JHEP* 03 (2003) 020
- higher dim. YM theory by fRG: H. Gies, *Phys.Rev.D* 68 (2003) 085015
- $4+\varepsilon$  expansion (four-loop): T.R. Morris , *JHEP* 01 (2005) 002

# Flow of gauge coupling

- Contributions to beta function

$$\frac{\partial_t Z_k}{2} \frac{2\pi R}{g^2} = \frac{N}{2(4\pi)^2} \left[ \underbrace{\frac{20}{3} \left(1 - \frac{\eta_g}{2}\right)}_{A_\mu} - \underbrace{\frac{1}{3} \left(1 - \frac{\eta_g}{2}\right)}_{A_5} + \underbrace{\frac{2}{3} \left(1 - \frac{\eta_{\text{gh}}}{2}\right)}_{\text{ghosts}} \right] \frac{1}{N^2} \mathcal{I}_N(\bar{R}; \theta_H = 0)$$

- Running gauge coupling

$$\tilde{g}^2 = \frac{Z_k^{-1} g^2}{2\pi R} \frac{\mathcal{I}_N(\bar{R}; 0)}{N^2} = Z_k^{-1} g_{4\text{D}}^2 \frac{\mathcal{I}_N(\bar{R}; 0)}{N^2}$$

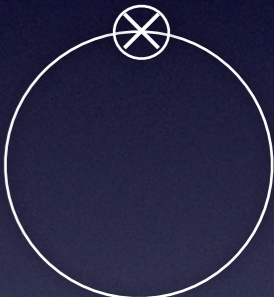
- The scale derivative acts on  $Z_k^{-1}$  and  $\mathcal{I}_N(\bar{R}; 0)$ .

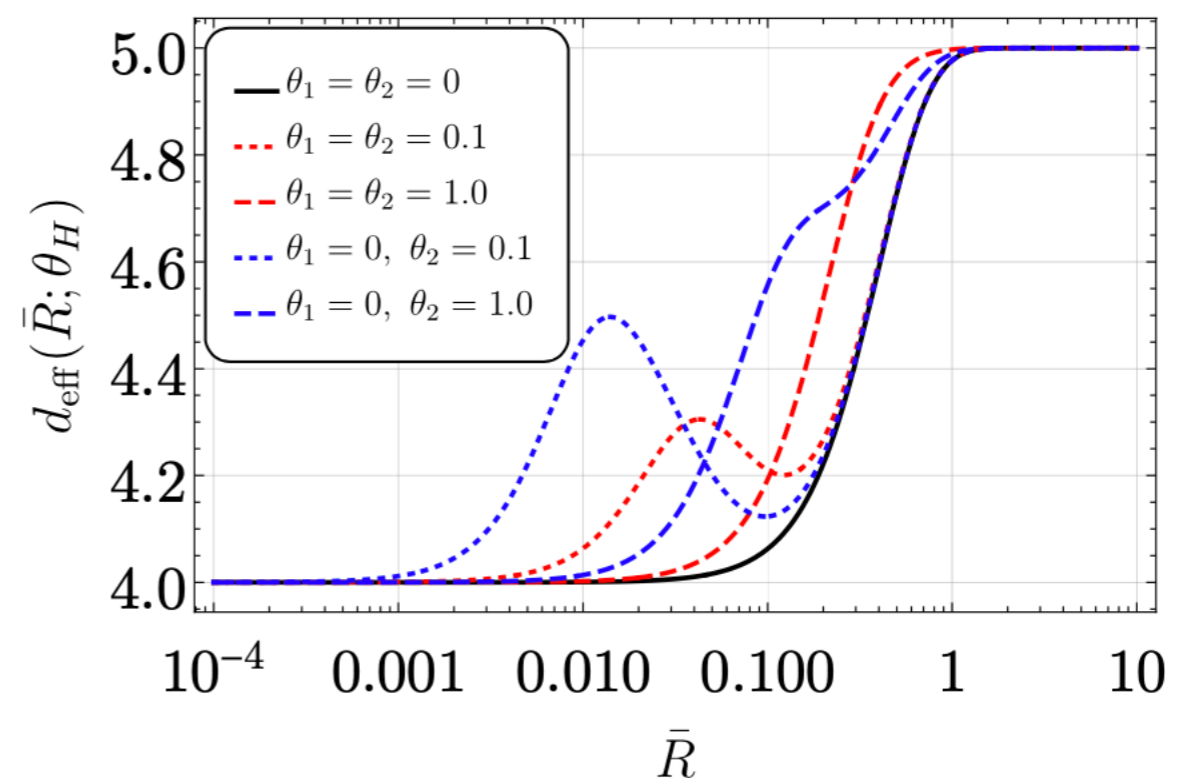
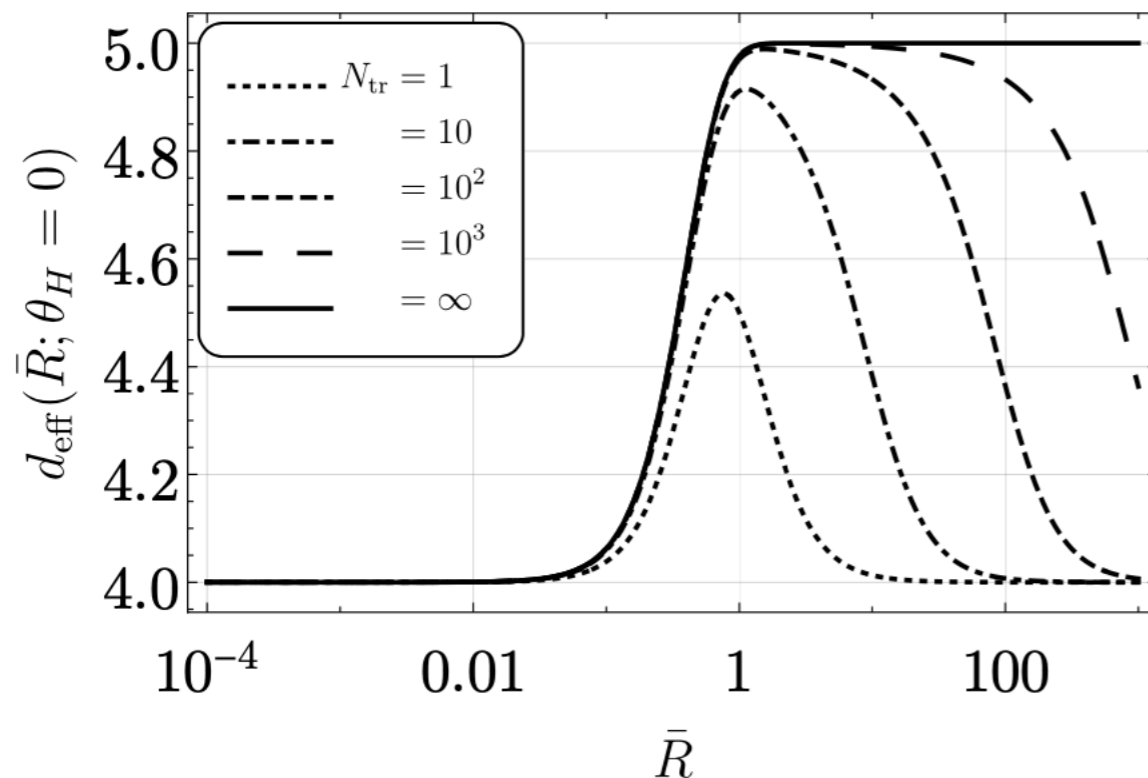
$$\partial_t \tilde{g}^2 = (d_{\text{eff}}(\bar{R}; 0) - 4 + \eta_g) \tilde{g}^2$$

# Effective dimension

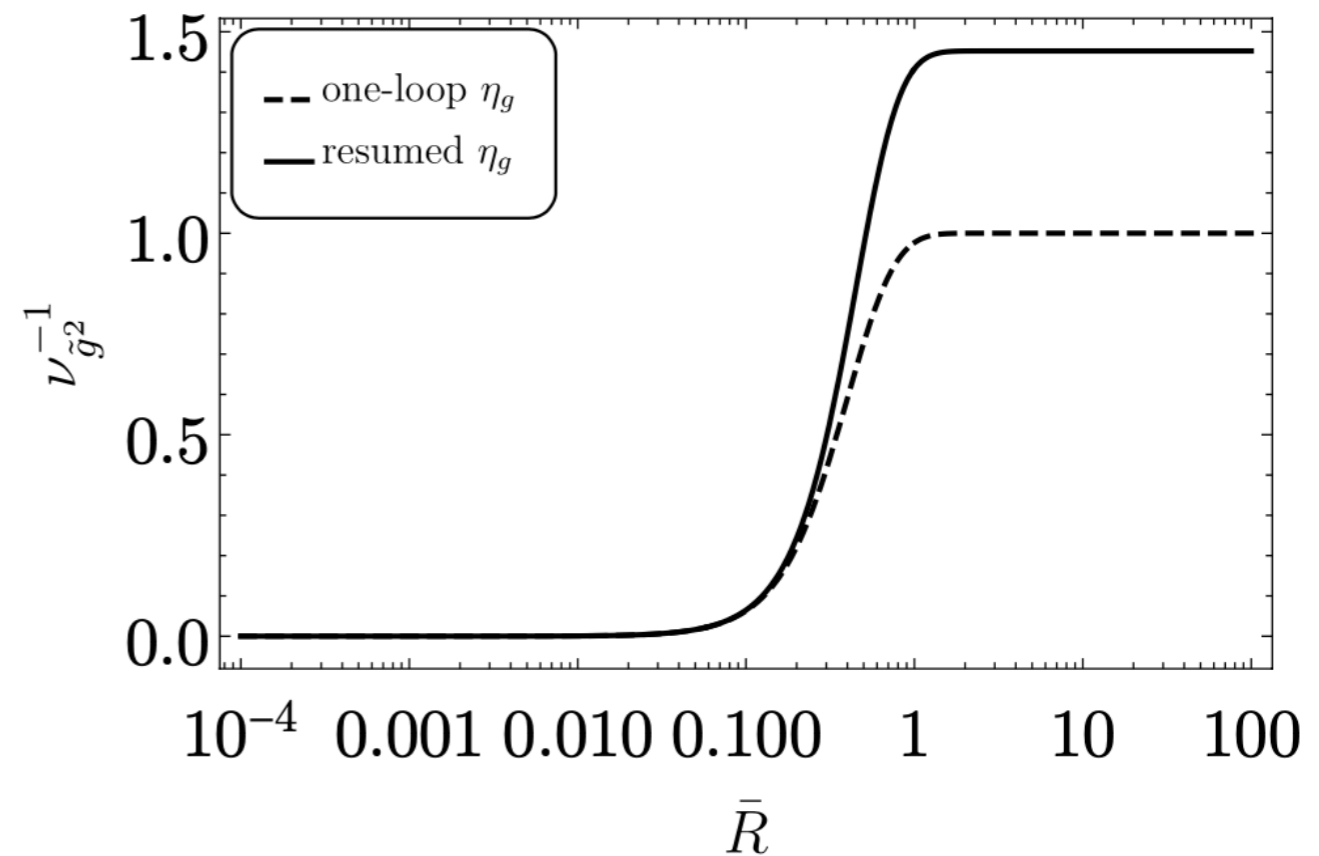
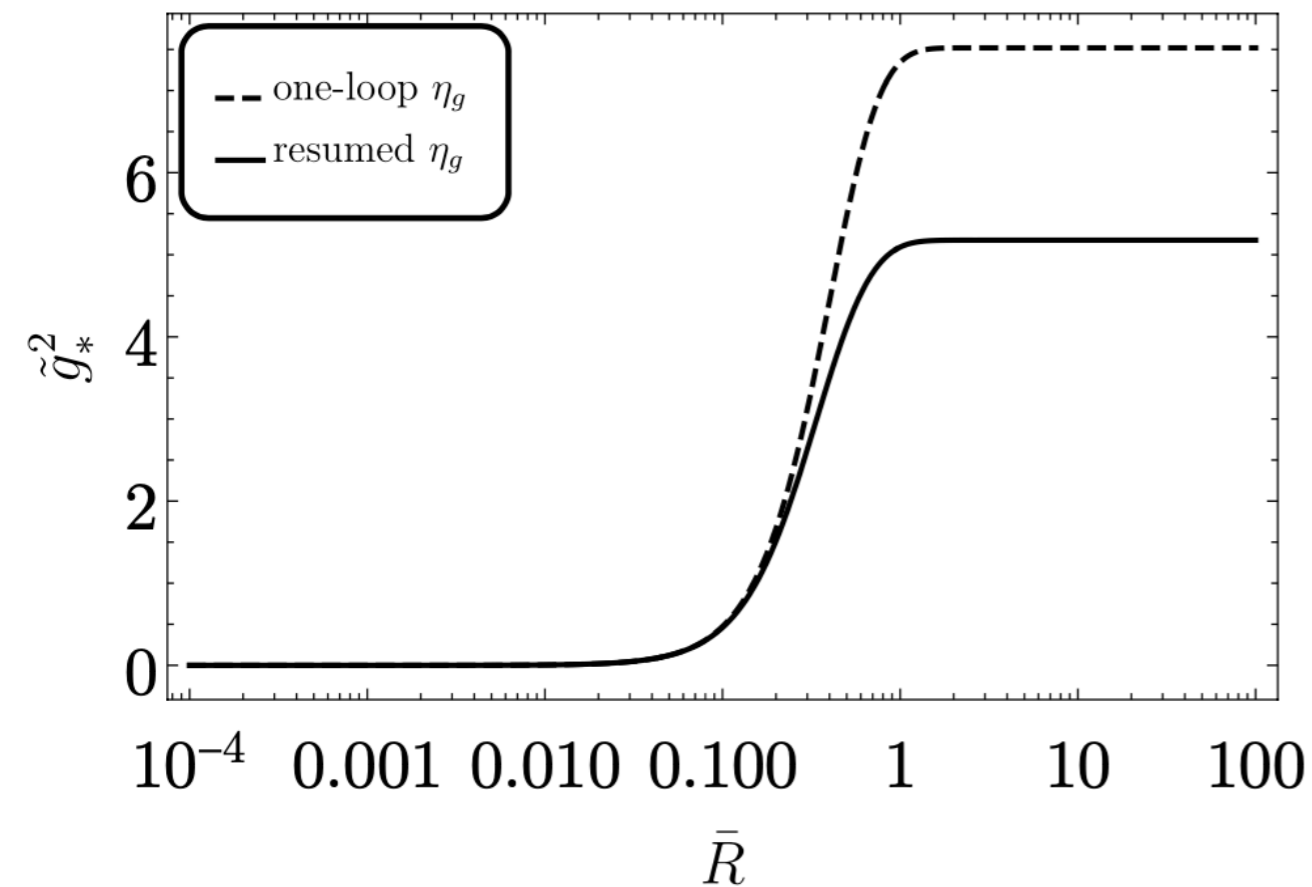
- The threshold function contains the dynamical dimension in the system.

$$d_{\text{eff}}(\bar{R}; \theta_H) = 4 + \frac{d \log \mathcal{I}_N(\bar{R}; \theta_H)}{d \log \bar{R}}$$

$$\mathcal{I}_N(\bar{R}; \theta_H) = \text{Im} \oint_{\bar{R}} \frac{1}{z} dz$$




There exists a UV Fixed point at which gauge coupling is relevant.

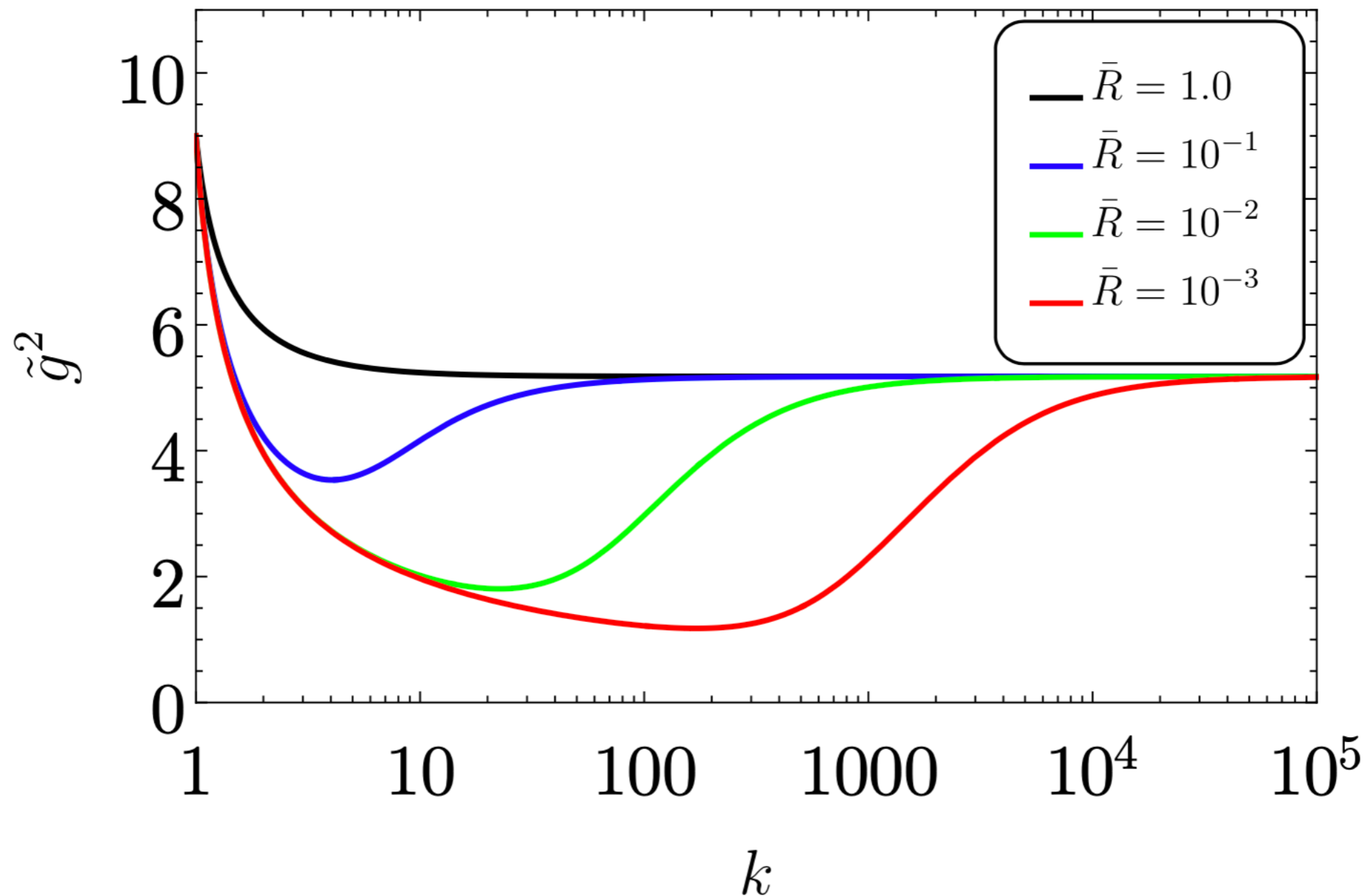


$$\partial_t \tilde{g}^2 = (d_{\text{eff}}(\bar{R}; 0) - 4 + \eta_g) \tilde{g}^2$$

$$\eta_g = \begin{cases} -\frac{\frac{21}{(4\pi)^2} \tilde{g}^2}{1 - \frac{57}{6(4\pi)^2} \tilde{g}^2} & \text{(resumed)} \\ -\frac{21}{(4\pi)^2} \tilde{g}^2 & \text{(one-loop)} \end{cases}$$

# Flow of gauge coupling in pure YM theory

$$\partial_t \tilde{g}^2 = (d_{\text{eff}}(\bar{R}; 0) - 4 + \eta_g) \tilde{g}^2$$





# Summary

- 5 dimensional gauge theory
  - Hosotani mechanism
    - nontrivial background field is induced.
  - Phase structure
  - Non-trivial fixed point
- fRG captures well the dynamics of 5d YM theory.
- Phase diagram (future work)