

# Second order chiral phase transition in three flavor QCD?

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# Outline

Introduction

Ginzburg–Landau analysis of the chiral transition

FRG and the chiral invariant expansion

Fixed points and stability

Summary

- QCD Lagrangian:

$$\mathcal{L} = -\frac{1}{4} G_{\mu\nu}^a G^{\mu\nu a} + \bar{q}_i (i\gamma^\mu (D_\mu)_{ij} - m\delta_{ij}) q_j$$

→  $SU(3)$  gauge symmetry

→  $U_L(N_f) \times U_R(N_f)$  global (approx.) chiral symmetry

→ anomalous breaking of  $U_A(1)$  axial symmetry

- At low temperatures: spontaneous breaking

$SU_L(N_f) \times SU_R(N_f) \rightarrow SU_V(N_f)$

→ what is the order of the transition?

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→ what is the order of the transition?

- Ginzburg-Landau paradigm for second order (or weakly first order) transitions:

i.) there exists a local order parameter  $\Phi$

ii.) the UV free energy ( $\mathcal{F}_\Lambda$ ) can be expanded in terms of  $\Phi$

iii.)  $\mathcal{F}_\Lambda$  has to reflect all symmetries

# Ginzburg–Landau analysis of the chiral transition

- GL theory for the chiral transition:
  - gauge degrees of freedom are integrated out
  - the emerging order parameter ( $\Phi$ ) is a  $N_f \times N_f$  matrix
  - it reflects chiral symmetry:  $\Phi \rightarrow L\Phi R^\dagger$
- The **most general UV free energy** functional (no anomaly):

$$\Gamma_\Lambda = \int_x \left[ m^2 \text{Tr}(\Phi^\dagger \Phi) + g_1 (\text{Tr}(\Phi^\dagger \Phi))^2 + g_2 \text{Tr}(\Phi^\dagger \Phi \Phi^\dagger \Phi) + \dots \right. \\ \left. + \text{Tr}(\partial_i \Phi^\dagger \partial_i \Phi) + \dots \right]$$

- Anomaly → Kobayashi–Maskawa–'t Hooft determinant:  
 $\sim \det \Phi^\dagger + \det \Phi$

# Ginzburg–Landau analysis of the chiral transition

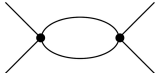
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- Anomaly → Kobayashi–Maskawa–'t Hooft determinant:  
 $\sim \det \Phi^\dagger + \det \Phi$
- Note: expansion of the full free energy is not allowed!
  - at  $T_C$  **long wavelength fluctuations are important**
  - renormalization group is needed

# Ginzburg–Landau analysis of the chiral transition

- Pisarski & Wilczek analysis of the Ginzburg–Landau theory <sup>1</sup>:
  - one-loop calculation of the  $\beta$  functions (no anomaly)
  - counterterms for  $g_1, g_2$ :

$$\delta g_1, \delta g_2 \sim \text{diagram}$$


- Results ( $\epsilon$  expansion,  $\epsilon = 4 - d$ ):

$$\beta_{g_1} = -\epsilon g_1 + \frac{N_f^2 + 4}{4\pi^2} g_1^2 + \frac{N_f}{\pi^2} g_1 g_2 + \frac{3g_2^2}{4\pi^2}$$
$$\beta_{g_2} = -\epsilon g_2 + \frac{3}{2\pi^2} g_1 g_2 + \frac{N_f}{2\pi^2} g_2^2$$

- No infrared stable fixed point if  $N_f > \sqrt{3}$ 
  - ⇒ **2nd order transition cannot occur!**
- Inclusion of the anomaly:
  - $N_f = 2$ : second order transition with  $O(4)$  exponents
  - $N_f = 3$ : first order transition

<sup>1</sup>R. D. Pisarski and F. Wilczek, Phys. Rev. D **29**, 338 (1984) 

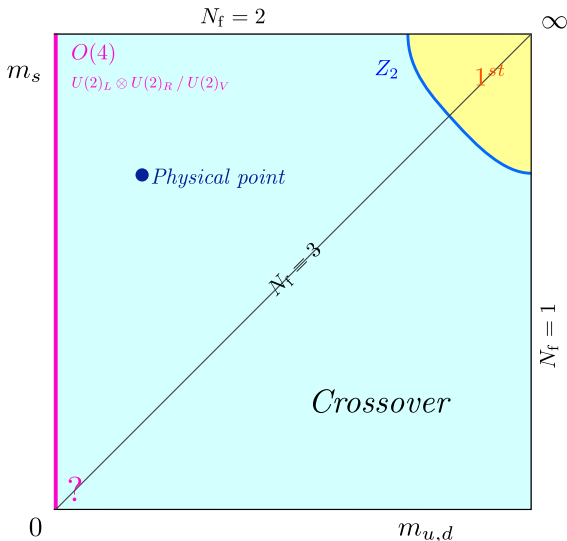






# Ginzburg–Landau analysis of the chiral transition

New, conjectured Columbia plot: [figure taken from F. Cuteri et. al, JHEP11, 141 (2021)]



# Ginzburg–Landau analysis of the chiral transition

- Potential problems with the Pisarski & Wilczek analysis:
  - it uses the field theoretical RG  $\implies$  valid only close to the Gaussian fixed point
  - in  $d = 3$  there are more (perturbatively) renormalizable operators!
  - $\epsilon$  expansion is not reliable
- Example: **superconducting phase transition**
  - Abelian Higgs model:  $\epsilon$  expansion **predicts** a **first order** transition
  - Monte Carlo simulations showed that the transition can be of second order
  - IR fixed point is inaccessible in the  $\epsilon$  expansion, FRG is needed! <sup>2</sup>

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<sup>2</sup>GF & T. Hatsuda, Phys. Rev. D**93**, 121701 (2016).

GF & T. Hatsuda, Phys. Rev. D**96**, 056018 (2017).

- **Flow equation:**

$$\partial_k \Gamma_k = \text{Tr} \int \int (\Gamma_k^{(2)} + R_k)^{-1} \partial_k R_k$$

- **Local potential approximation** (LPA - no wavefunction renormalization):

$$\Gamma_k[\Phi] = \int_x \left( \text{Tr} (\partial_i \Phi^\dagger \partial_i \Phi) + V_k(\Phi) \right)$$

- **Optimal flow** of the effective potential:

$$R_k(q) = (k^2 - q^2) \Theta(k^2 - q^2)$$
$$\partial_k V_k = \frac{k^4}{6\pi^2} \text{Tr} (k^2 + V_k^{(2)})^{-1}$$

→ we are focusing on  $N_f = 3 \Rightarrow$  rhs is very complicated!

# Fixed points and stability

- How to build up the most general Ginzburg–Landau potential for three flavors in  $d = 3$  in terms of renormalizable operators?
- **Independent** invariant tensors are needed ( $N_f = 3!$ ):

$$l_1 = \text{Tr}(\Phi^\dagger\Phi), \quad l_2 = \text{Tr}(\Phi^\dagger\Phi - \text{Tr}(\Phi^\dagger\Phi)/3)^2$$

$$l_3 = \text{Tr}(\Phi^\dagger\Phi - \text{Tr}(\Phi^\dagger\Phi)/3)^3$$

→  $l_4, l_5, \dots$  are **not independent**

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→  $l_4, l_5, \dots$  are **not independent**

- $U_A(1)$  breaking terms:

$$l_{\text{det}} = \det \Phi^\dagger + \det \Phi, \quad \tilde{l}_{\text{det}} = \det \Phi^\dagger - \det \Phi$$

→  $\tilde{l}_{\text{det}}^2$  could work but it is **not independent**

$$\rightarrow \tilde{l}_{\text{det}}^2 = l_{\text{det}}^2 + 4l_1^3/27 - 2l_1l_2/3 + 4l_3/3$$

$$\rightarrow \det \Phi^\dagger \cdot \det \Phi = (l_{\text{det}}^2 - \tilde{l}_{\text{det}}^2)/4$$

# Fixed points and stability

- The most general Ginburg–Landau potential (9 couplings!):

$$V_k[\Phi] = m_k^2 l_1 + a_k l_{\text{det}} + g_{1,k} l_1^2 + g_{2,k} l_2 \\ + b_k l_1 l_{\text{det}} + \lambda_{1,k} l_1^3 + \lambda_{2,k} l_1 l_2 + a_{2,k} l_{\text{det}}^2 + g_{3,k} l_3 + \mathcal{O}(\phi^7)$$

- Optimized flow for  $V_k$ :  $\partial_k V_k = \frac{k^4}{6\pi^2} \text{Tr}(k^2 + V_k^{(2)})^{-1}$

# Fixed points and stability

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- Optimized flow for  $V_k$ :  $\partial_k V_k = \frac{k^4}{6\pi^2} \text{Tr}(k^2 + V_k^{(2)})^{-1}$
- Left hand side:

$$\partial_k V_k = \partial_k m_k^2 l_1 + \partial_k a_k l_{\det} + \partial_k g_{1,k} l_1^2 + \partial_k g_{2,k} l_2 \\ + \partial_k b_k l_1 l_{\det} + \partial_k \lambda_{1,k} l_1^3 + \partial_k \lambda_{2,k} l_1 l_2 + \partial_k a_{2,k} l_{\det}^2 + \partial_k g_{3,k} l_3$$

- Right hand side? Need to be compatible with the lhs!
  - $\Phi = \sum_{a=0}^8 \phi^a T^a \equiv \sum_{a=0}^8 (s^a + i\pi^a) T^a$
  - $V_k^{(2)}$  depends on the fields, not the invariants!
  - $(k^2 + V_k^{(2)})$ :  $18 \times 18$  matrix, in practice cannot be inverted



# Fixed points and stability

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$$V_k[\Phi] = m_k^2 l_1 + a_k l_{\det} + g_{1,k} l_1^2 + g_{2,k} l_2 + b_k l_1 l_{\det} + \lambda_{1,k} l_1^3 + \lambda_{2,k} l_1 l_2 + a_{2,k} l_{\det}^2 + g_{3,k} l_3 + \mathcal{O}(\phi^7)$$

- Trick: **we need flows of couplings**,  $\Phi$  is not important!

→ free to choose  $\Phi$  at each level of the expansion

→ requirement:  $(k^2 + V_k^{(2)})$  is easily invertable

→ e.g.  $\Phi = s_0 T^0 \Rightarrow l_1 = s_0^2/2, l_{\det} = s_0^3/3\sqrt{6}, \dots$

# Fixed points and stability

- The most general Ginzburg–Landau potential (9 couplings!):

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- Trick: **we need flows of couplings**,  $\Phi$  is not important!
  - free to choose  $\Phi$  at each level of the expansion
  - requirement:  $(k^2 + V_k^{(2)})$  is easily invertable
  - e.g.  $\Phi = s_0 T^0 \Rightarrow l_1 = s_0^2/2, l_{\text{det}} = s_0^3/3\sqrt{6}, \dots$
- Problem: invariants need to be disentangled from each order
  - $\mathcal{O}(\phi^2)$ : 1 invariant  $l_1$
  - $\mathcal{O}(\phi^3)$ : 1 invariant  $l_{\text{det}}$
  - $\mathcal{O}(\phi^4)$ : 2 invariants  $l_1^2, l_2$
  - $\mathcal{O}(\phi^5)$ : 1 invariants  $l_1 l_{\text{det}}$
  - $\mathcal{O}(\phi^6)$ : 4 invariants  $l_1^3, l_1 l_2, l_{\text{det}}^2, l_3$

# Fixed points and stability

$$\begin{aligned}
 \beta_{m_2} &\equiv k\partial_k \bar{m}_k^2 = -2\bar{m}_k^2 - \frac{4}{9\pi^2} \frac{15\bar{g}_{1,k} + 4\bar{g}_{2,k}}{(1 + \bar{m}_k^2)^2} + \frac{4}{3\pi^2} \frac{\bar{a}_k^2}{(1 + \bar{m}_k^2)^3}, \\
 \beta_a &\equiv k\partial_k \bar{a}_k = -\frac{3\bar{a}_k}{2} - \frac{4}{\pi^2} \frac{\bar{b}_k}{(1 + \bar{m}_k^2)^2} + \frac{4}{3\pi^2} \frac{\bar{a}_k(3\bar{g}_{1,k} - 4\bar{g}_{2,k})}{(1 + \bar{m}_k^2)^3}, \\
 \beta_{g_1} &\equiv k\partial_k \bar{g}_{1,k} = -\bar{g}_{1,k} - \frac{1}{9\pi^2} \frac{2\bar{a}_{2,k} + 99\bar{\lambda}_{1,k} + 16\bar{\lambda}_{2,k}}{(1 + \bar{m}_k^2)^2} + \frac{4}{27\pi^2} \frac{24\bar{a}_k\bar{b}_k + 117\bar{g}_{1,k}^2 + 48\bar{g}_{1,k}\bar{g}_{2,k} + 16\bar{g}_{2,k}^2}{(1 + \bar{m}_k^2)^3} \\
 &\quad - \frac{16}{9\pi^2} \frac{\bar{a}_k^2(6\bar{g}_{1,k} + \bar{g}_{2,k})}{(1 + \bar{m}_k^2)^4} + \frac{8}{9\pi^2} \frac{\bar{a}_k^4}{(1 + \bar{m}_k^2)^5}, \\
 \beta_{g_2} &\equiv k\partial_k \bar{g}_{2,k} = -\bar{g}_{2,k} + \frac{1}{3\pi^2} \frac{\bar{a}_{2,k} - 5\bar{g}_{3,k} - 13\bar{\lambda}_{2,k}}{(1 + \bar{m}_k^2)^2} - \frac{4}{3\pi^2} \frac{\bar{a}_k\bar{b}_k - 6\bar{g}_{1,k}\bar{g}_{2,k} - 4\bar{g}_{2,k}^2}{(1 + \bar{m}_k^2)^3} + \frac{4}{3\pi^2} \frac{\bar{a}_k^3(3\bar{g}_{1,k} + 5\bar{g}_{2,k})}{(1 + \bar{m}_k^2)^4} \\
 &\quad + \frac{2}{3\pi^2} \frac{\bar{a}_k^4}{(1 + \bar{m}_k^2)^5}, \\
 \beta_b &\equiv k\partial_k \bar{b}_k = -\frac{\bar{b}_k}{2} + \frac{4}{9\pi^2} \frac{\bar{b}_k(66\bar{g}_{1,k} - 4\bar{g}_{2,k}) + 3\bar{a}_k(5\bar{a}_{2,k} + 9\bar{\lambda}_{1,k} - 4\bar{\lambda}_{2,k})}{(1 + \bar{m}_k^2)^3} \\
 &\quad + \frac{8}{3\pi^2} \frac{-3\bar{a}_k^2\bar{b}_k - 18\bar{a}_k\bar{g}_{1,k}^2 + 12\bar{a}_k\bar{g}_{1,k}\bar{g}_{2,k} + 4\bar{a}_k\bar{g}_{2,k}^2}{(1 + \bar{m}_k^2)^4} + \frac{32}{9\pi^2} \frac{\bar{a}_k^3(3\bar{g}_{1,k} - \bar{g}_{2,k})}{(1 + \bar{m}_k^2)^5}, \\
 \beta_{\lambda_1} &\equiv k\partial_k \bar{\lambda}_{1,k} = \frac{8}{27\pi^2} \frac{9\bar{b}_k^2 + 3\bar{a}_{2,k}\bar{g}_{1,k} + 24\bar{g}_{1,k}(9\bar{\lambda}_{1,k} + \bar{\lambda}_{2,k}) + 4\bar{g}_{2,k}(9\bar{\lambda}_{1,k} + 4\bar{\lambda}_{2,k})}{(1 + \bar{m}_k^2)^3} \\
 &\quad - \frac{4}{81\pi^2} \frac{72\bar{a}_k\bar{b}_k(9\bar{g}_{1,k} + \bar{g}_{2,k}) + 4(297\bar{g}_{1,k}^3 + 108\bar{g}_{1,k}^2\bar{g}_{2,k} + 72\bar{g}_{1,k}\bar{g}_{2,k}^2 + 16\bar{g}_{2,k}^3) + 9\bar{a}_k^2(2\bar{a}_{2,k} + 45\bar{\lambda}_{1,k} + 4\bar{\lambda}_{2,k})}{(1 + \bar{m}_k^2)^4} \\
 &\quad + \frac{32}{81\pi^2} \frac{\bar{a}_k^2(15\bar{a}_k\bar{b}_k + 171\bar{g}_{1,k}^2 + 36\bar{g}_{1,k}\bar{g}_{2,k} + 8\bar{g}_{2,k}^2)}{(1 + \bar{m}_k^2)^5} - \frac{80}{81\pi^2} \frac{\bar{a}_k^4(15\bar{g}_{1,k} + \bar{g}_{2,k})}{(1 + \bar{m}_k^2)^6} + \frac{8}{9\pi^2} \frac{\bar{a}_k^6}{(1 + \bar{m}_k^2)^7}, \\
 \beta_{\lambda_2} &\equiv k\partial_k \bar{\lambda}_{2,k} = \frac{2}{9\pi^2} \frac{2\bar{g}_{2,k}(25\bar{g}_{3,k} + 54\bar{\lambda}_{1,k} + 44\bar{\lambda}_{2,k} - 2\bar{a}_{2,k}) - 9\bar{b}_k^2 - 6\bar{g}_{1,k}(\bar{a}_{2,k} - 5\bar{g}_{3,k} - 28\bar{\lambda}_{2,k})}{(1 + \bar{m}_k^2)^3} \\
 &\quad + \frac{1}{3\pi^2} \frac{36\bar{a}_k\bar{b}_k(2\bar{g}_{1,k} + \bar{g}_{2,k}) - 8\bar{g}_{2,k}(36\bar{g}_{1,k}^2 + 21\bar{g}_{1,k}\bar{g}_{2,k} + 7\bar{g}_{2,k}^2) + \bar{a}_k^2(6\bar{a}_{2,k} + 5\bar{g}_{3,k} + 36\bar{\lambda}_{1,k})}{(1 + \bar{m}_k^2)^4} \\
 &\quad + \frac{8}{27\pi^2} \frac{9\bar{a}_k^3\bar{b}_k + 180\bar{a}_k^2\bar{g}_{1,k}^2 + 132\bar{a}_k^2\bar{g}_{1,k}\bar{g}_{2,k} + 26\bar{a}_k^2\bar{g}_{2,k}^2}{(1 + \bar{m}_k^2)^5} + \frac{20}{9\pi^2} \frac{\bar{a}_k^4(3\bar{g}_{1,k} + 2\bar{g}_{2,k})}{(1 + \bar{m}_k^2)^6}, \\
 \beta_{a_2} &\equiv k\partial_k \bar{a}_{2,k} = \frac{4}{3\pi^2} \frac{6\bar{b}_k^2 + 15\bar{a}_{2,k}\bar{g}_{1,k} - 8\bar{a}_{2,k}\bar{g}_{2,k}}{(1 + \bar{m}_k^2)^3} + \frac{16}{\pi^2} \frac{\bar{a}_k\bar{b}_k(\bar{g}_{2,k} - 3\bar{g}_{1,k})}{(1 + \bar{m}_k^2)^4} + \frac{16}{3\pi^2} \frac{\bar{a}_k^2(9\bar{g}_{1,k}^2 + 2\bar{g}_{2,k})}{(1 + \bar{m}_k^2)^5}, \\
 \beta_{g_3} &\equiv k\partial_k \bar{g}_{3,k} = \frac{4}{3\pi^2} \frac{15\bar{g}_{1,k}\bar{g}_{3,k} + \bar{g}_{2,k}(2\bar{a}_{2,k} + \bar{g}_{3,k} + 12\bar{\lambda}_{2,k})}{(1 + \bar{m}_k^2)^3} \\
 &\quad + \frac{1}{\pi^2} \frac{4\bar{a}_k\bar{b}_k\bar{g}_{2,k} + 8\bar{g}_{2,k}(\bar{g}_{2,k} - 9\bar{g}_{1,k}) + \bar{a}_k^2(\bar{g}_{3,k} + 8\bar{\lambda}_{2,k} - 2\bar{a}_{2,k})}{(1 + \bar{m}_k^2)^4} + \frac{16}{9\pi^2} \frac{3\bar{a}_k^3\bar{b}_k + 2\bar{a}_k^2\bar{g}_{2,k}(7\bar{g}_{2,k} - 12\bar{g}_{1,k})}{(1 + \bar{m}_k^2)^5} \\
 &\quad + \frac{20}{9\pi^2} \frac{\bar{a}_k^4(5\bar{g}_{2,k} - 6\bar{g}_{1,k})}{(1 + \bar{m}_k^2)^6} + \frac{2}{\pi^2} \frac{\bar{a}_k^6}{(1 + \bar{m}_k^2)^7}.
 \end{aligned}$$

# Fixed points and stability

- **Fixed points:**  $\beta_i = 0 \forall i$
- **First step:** solve for the marginal couplings  
→  $\beta_{\lambda_1} = \beta_{\lambda_2} = \beta_{a_2} = \beta_{g_3} \equiv 0$   
→  $\lambda_1, \lambda_2, a_2, g_3$  are plugged into the remaining  $\beta$  functions
- **Second step:** solve for the relevant couplings
- **Third step:** check stability matrix  $\partial\beta_i/\partial\omega_j$   
( $\{\omega_j\} : m^2, g_1, g_2, a, b$ )

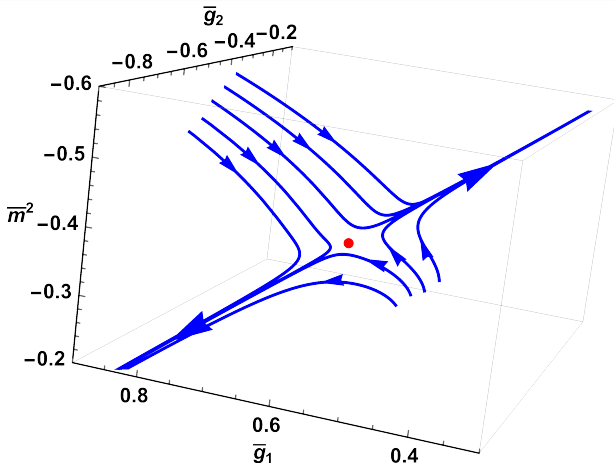
$m^2$	$g_1$	$g_2$	$a$	$b$	# of RD
0	0	0	0	0	5
-0.31496	0.43763	0	0	0	3
-0.38262	0.59726	-0.62042	0	0	2
-0.01786	0.09163	-0.14148	-0.11900	0.39087	4

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- No fixed point with **one relevant direction**  
→ first order transition?
- BUT the third one has a **block diagonal stability** matrix:  
→  $(m^2, g_1, g_2) \oplus (a, b)$
- Both  $a$  and  $b$  are related to the  $U_A(1)$  anomaly!  
→ without anomaly the **no. of relevant directions is 1 !**

# Fixed points and stability



- If the  $U_A(1)$  symmetry is recovered at  $T_c$ , the transition is of second order!
- Temperature eigenvalue leads to  $\nu \approx 0.83$

# Fixed points and stability

- Lessons from the  $\epsilon$ -expansion:
  - if the  $U_A(1)$  symmetry is recovered at  $T_c$ :  
first order transition for  $N_f = 2, 3$
  - if the anomaly is present at  $T_c$ :  
second order for  $N_f = 2$ , first order for  $N_f = 3$

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- Lessons from the FRG directly in  $d = 3$  for  $N_f = 3$ :
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second order
  - if the anomaly is present at  $T_c$ :  
first order



# Fixed points and stability

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second order for  $N_f = 2$ , first order for  $N_f = 3$
- Lessons from the FRG directly in  $d = 3$  for  $N_f = 3$ :
  - if the  $U_A(1)$  symmetry is recovered at  $T_c$ :  
second order
  - if the anomaly is present at  $T_c$ :  
first order
- Increasing evidence of a second order transition for  $N_f = 3$ :
  - F. Cuteri, O. Philipsen, A. Sciarra, JHEP **11**, 141 (2021)
  - L. Dini et al., Phys. Rev. D**105**, 034510 (2022)
- If the transition is of second order, RG hints that the  $U_A(1)$  axial symmetry is recovered at  $T_c$ !

- Order of the chiral transition for  $N_f = 3$  flavors
  - common wisdom: first order irrespectively of the anomaly
  - based on perturbation theory and the  $\epsilon$  expansion
- Reanalysis of the Ginzburg–Landau theory
  - in  $d = 3$  there are 9 renormalizable operators
  - 2 new fixed points in the system (LPA)
  - without the anomaly, one of them describes a second order transition

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- Reanalysis of the Ginzburg–Landau theory
  - in  $d = 3$  there are 9 renormalizable operators
  - 2 new fixed points in the system (LPA)
  - without the anomaly, one of them describes a second order transition
- Questions to be asked:
  - transition order for  $N_f \neq 3$  ?
  - improvement of the RG truncation?  
(wavefunction renormalization, higher derivative terms)