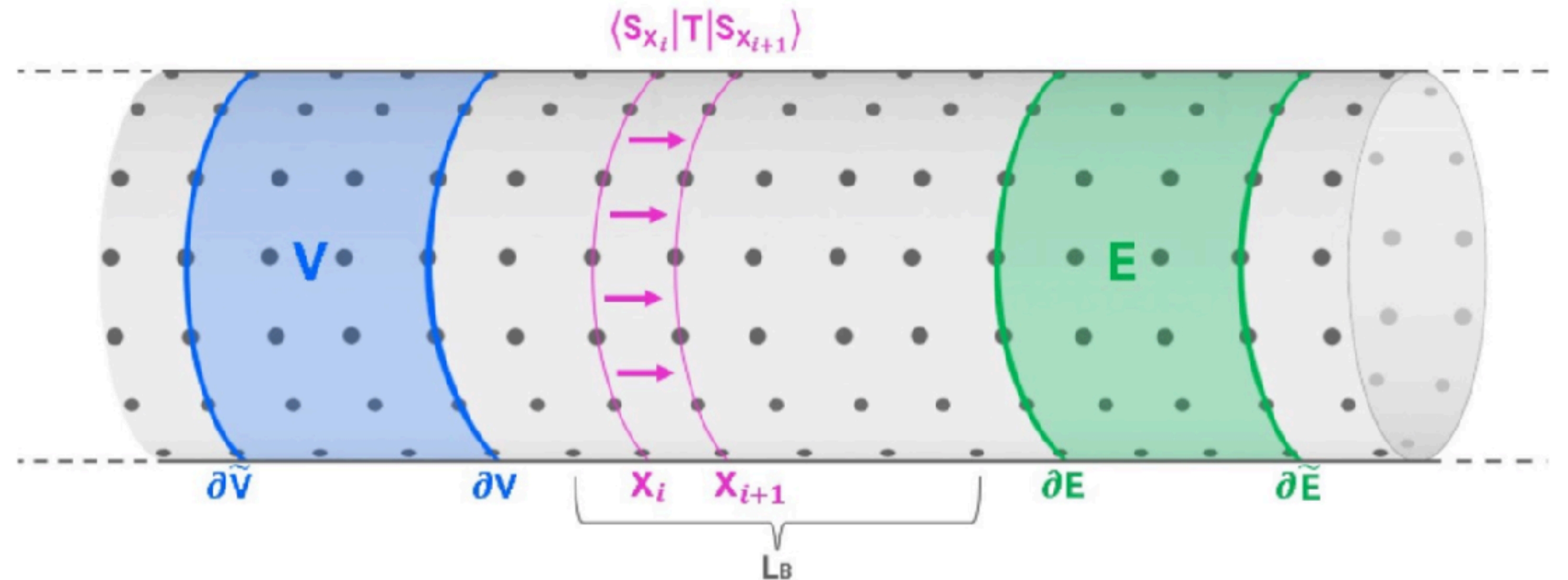


Finding slow variables using Information Bottlenecks

venturing into unsolved models



Maciej Koch-Janusz



Sebastian D. Huber



Patrick Lenggenhager



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University of Zurich^{UZH}

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Maciej Koch Janusz, and ZR, *Nature Physics* **14**, 578-582 (2018)

P. Lenngenhager, D.E. Gokmen, ZR, S.D. Huber and Maciej Koch Janusz,
Phys. Rev. X **10**, 011037 (2020)

Amit Gordon, Aditya Banerjee, Maciej Koch Janusz and ZR,
Physical Review Letters **126** (24), 240601 (2021)

D.E. Gokmen, ZR, S.D. Huber and Maciej Koch Janusz
Physical review letters **127** (24), 240603 (2021)

Snir Gazit



Sounak Biswas

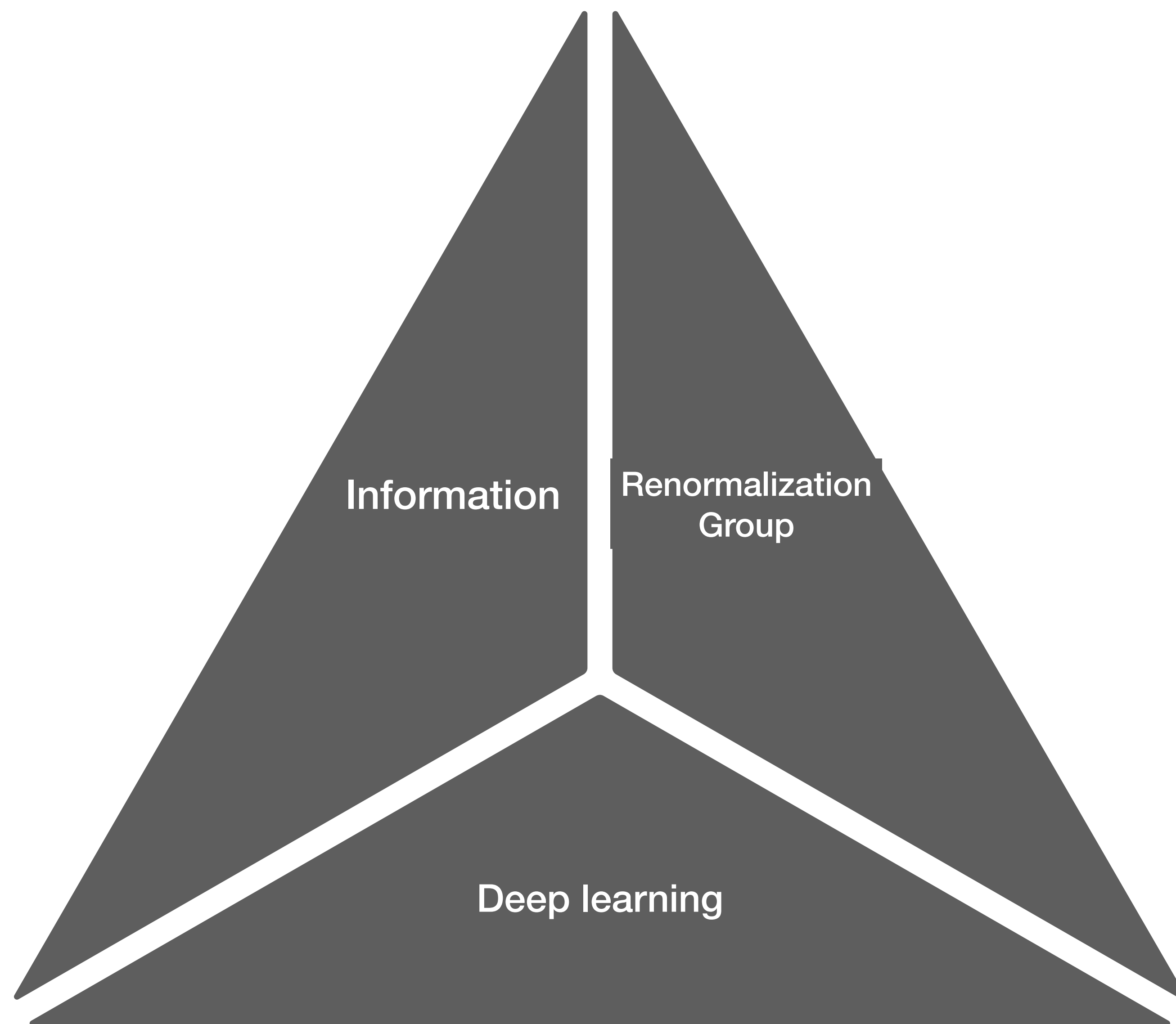


Felix Flicker



Lior Oppenheim





Information

Renormalization
Group

Deep learning

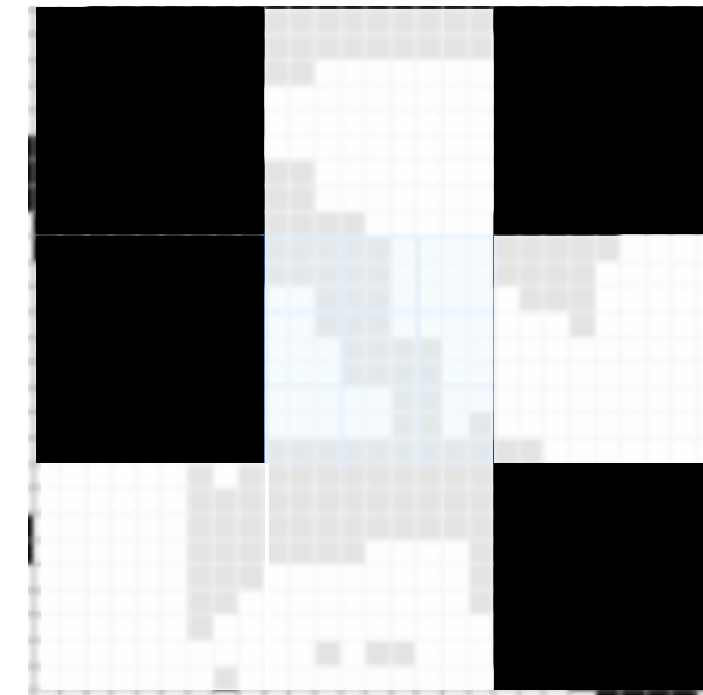
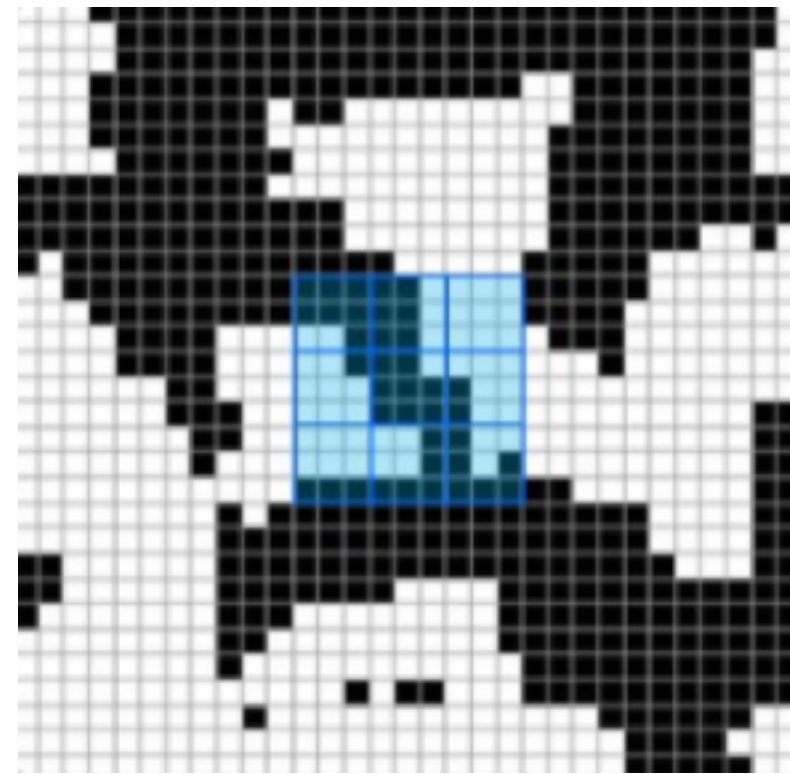
Identification of order-parameters/relevant-operators

Requires craftsmanship, RG is no silver bullet.

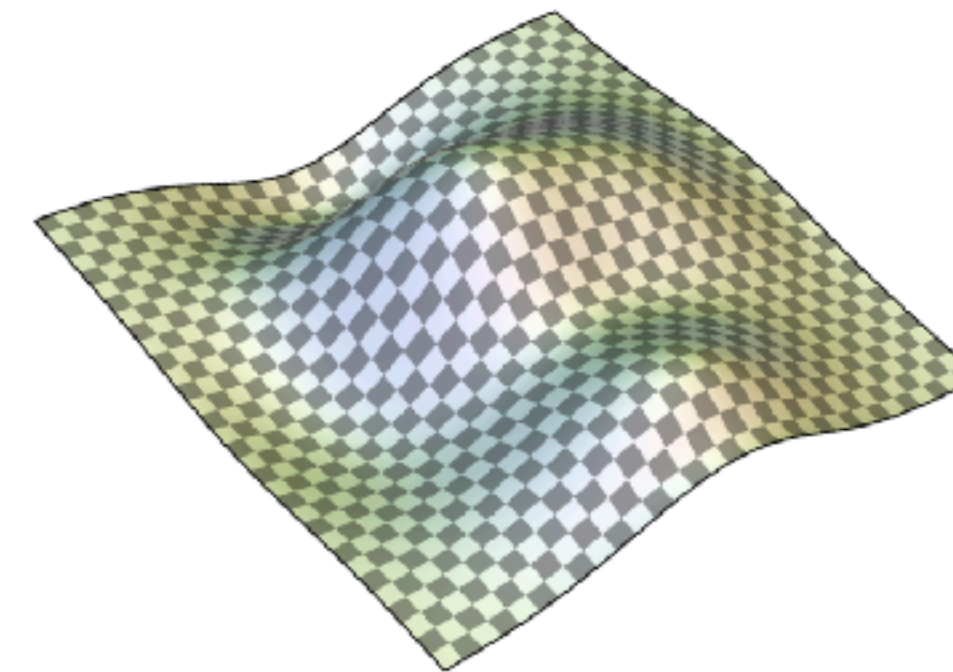
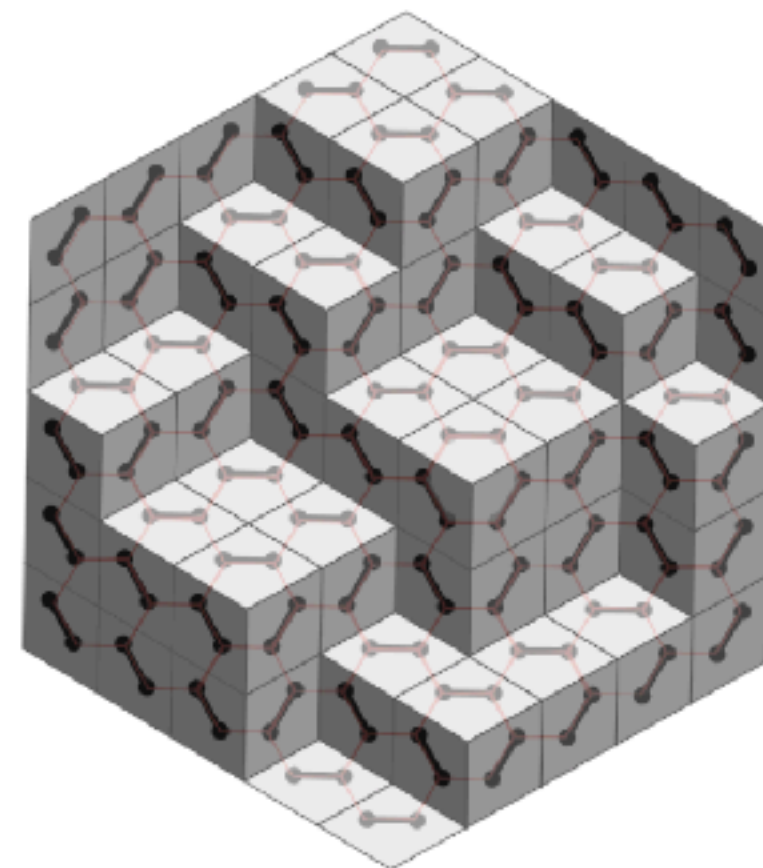
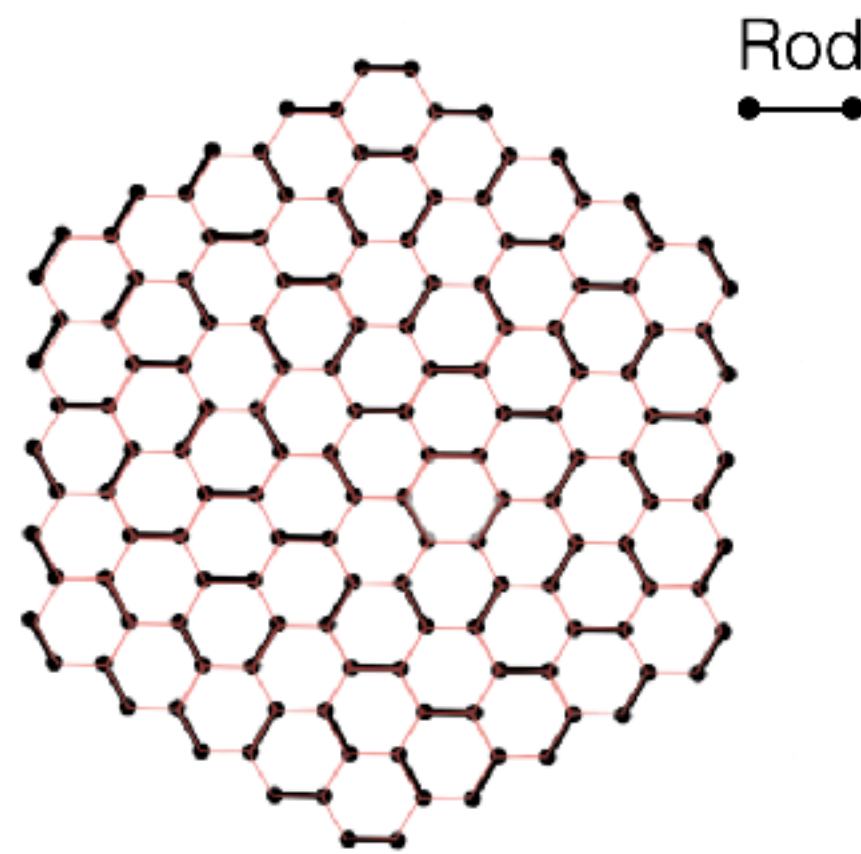
- **When a continuum theory is given:** Do RG: Stable? Good. Unstable? Hmm... Use c-theorems, small-parameters, anomalies, symmetries
- **When only a lattice model is given:** Guess a continuum Lagrangian based on symmetries. Often non-trivial, for instance:
- **Transfer matrix?** Useless for $d > 2$

Two illustrative examples

2d Ising Model



Dimer Model



**Order parameters carry the
relevant information for explaining
low energy experiments**

Enter information theory...

Standard Information theory toolbox in physics

These quantify information “without judgement” or notion of relevancy

Entropy, Von-Neumann entropy, Entanglement spectrum, Von-Neumann Mutual Information

Thermodynamics
Boltzmann Eq.

Central charge,
Topological
degeneracies

Topological
phases of
matter,
DMRG
numerics

C-theorems in
 $d=2,3,4$
[Huerta,Cassini]

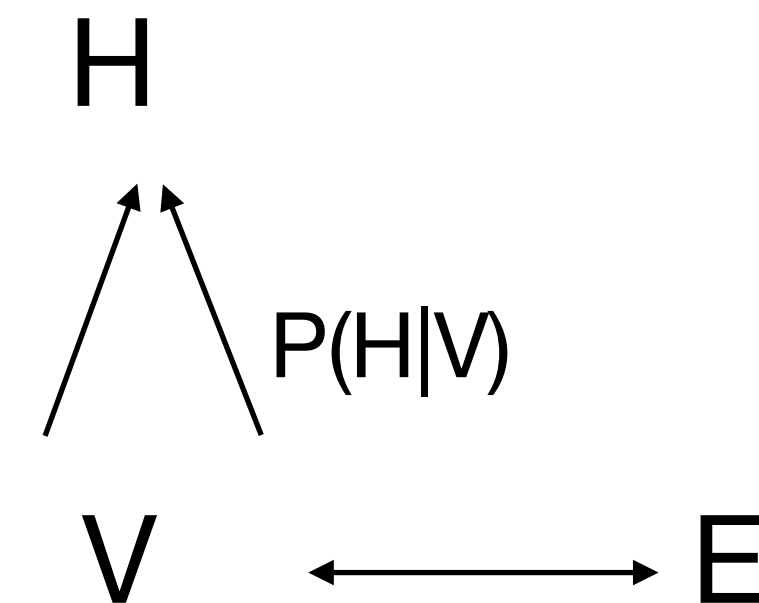
More recently (2000) a way of identifying relevant-information has been proposed - the Information Bottleneck

The Information Bottleneck (IB)

How to define the best lossy compression of “relevant” information

Consider compressing one random variable (V) into another (H) when what you care about is information on a third one (E).

A solution is some conditional probability $P(H|V)$



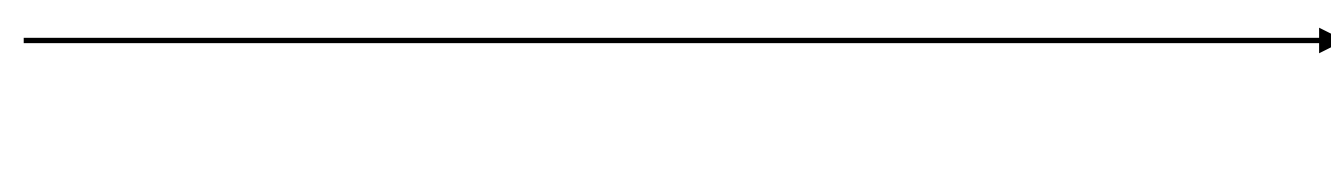
The IB approach seeks $P(H|V)$ that minimizes:

$$\min_{p(H|V)} \mathcal{L}[p(H|V)] = I(H, V) - \beta I(H, E)$$

β is not to be confused with physical temperature!

Strong compression

Strong knowledge on E



β

The Information Bottleneck (IB) - Numerical aspects

Unfortunately, getting the optimal $p(H|V)$ in a generic setting is an NP-hard problem.

On the bright side, the same can be said about the 3d Ising model.

Recent advancements in deep learning make this numerically tractable.

$$\min_{p(H|V)} \mathcal{L}[p(H|V)] = I(H, V) - \beta I(H, E)$$

Strong compression

Strong knowledge on E

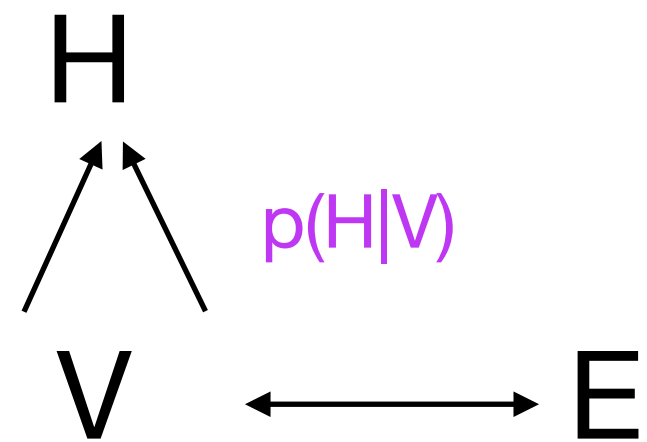


β

IB transitions/bifurcations

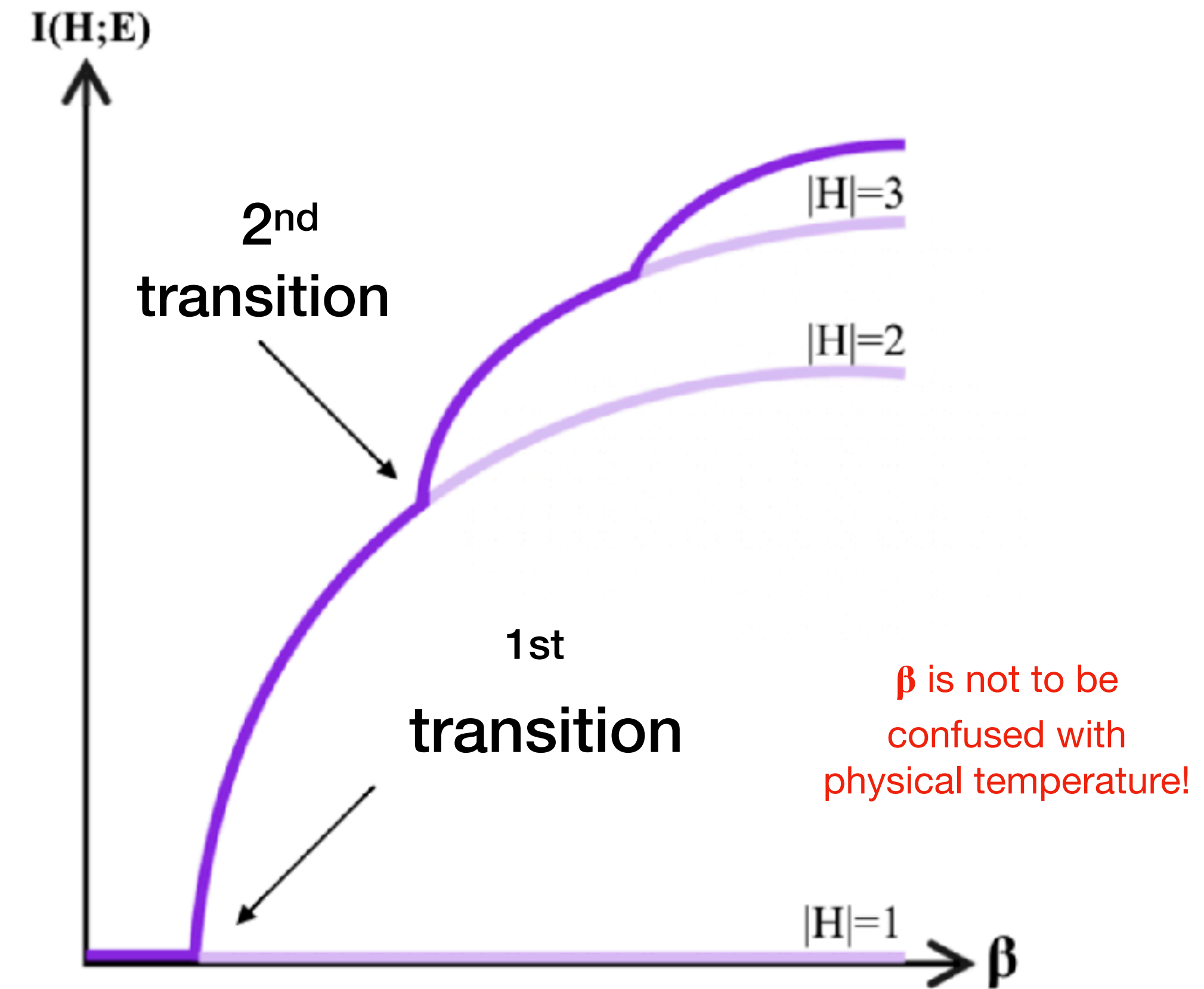
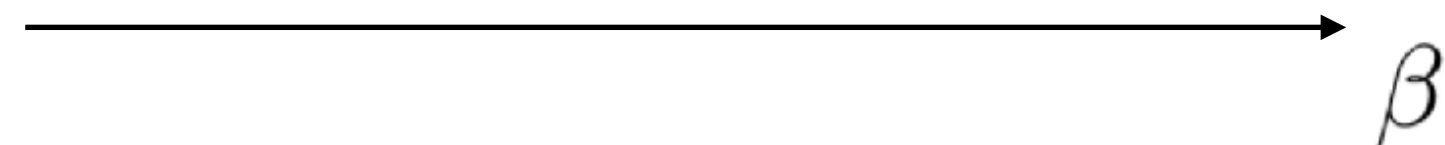
The moment a new feature is tracked

$$\min_{p(H|V)} \mathcal{L}[p(H|V)] = I(H, V) - \beta I(H, E)$$



Strong compression

Strong knowledge on E

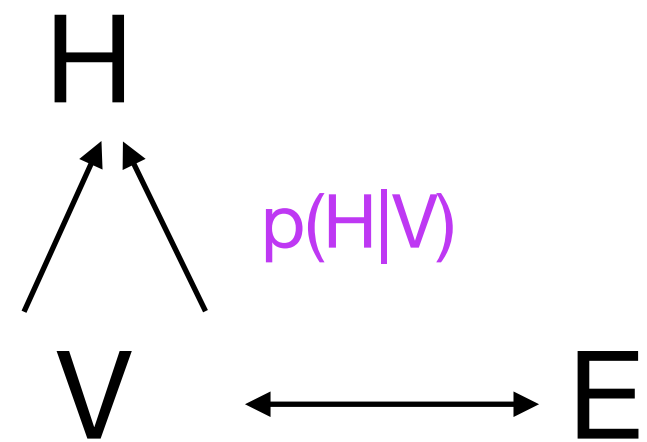


Can be viewed as symmetry breaking transitions of the permutation symmetry of L_{IB} w.r.t. to the elements in H -
Many nice analogies with Landau theory of phase transitions

IB transitions/bifurcations

The moment a new feature is tracked

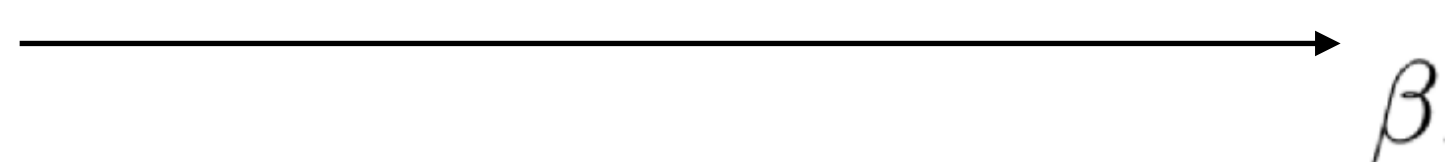
$$\min_{p(H|V)} \mathcal{L}[p(H|V)] = I(H, V) - \beta I(H, E)$$



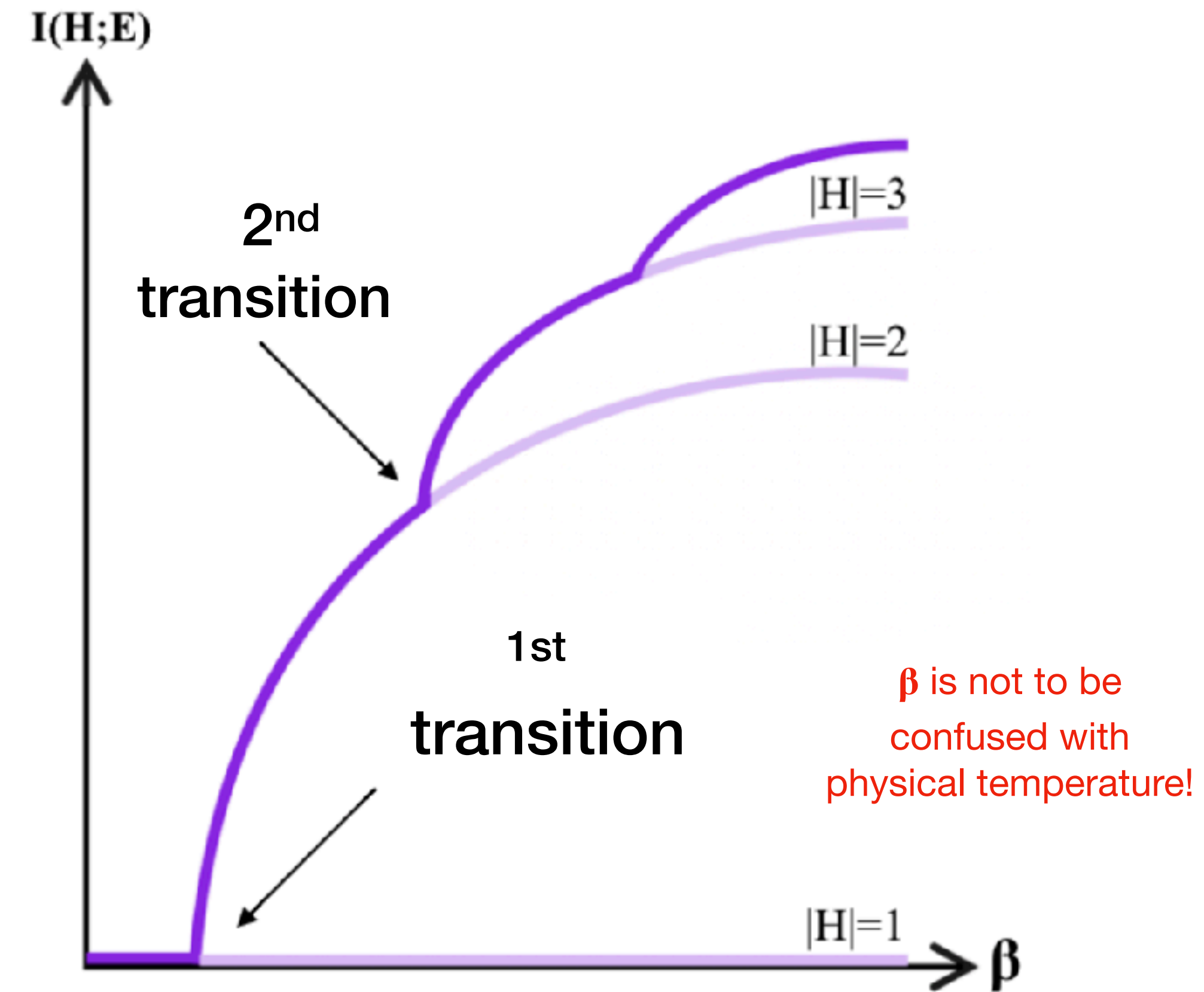
Strong compression

Strong knowledge on E

$|H| \gg 1$



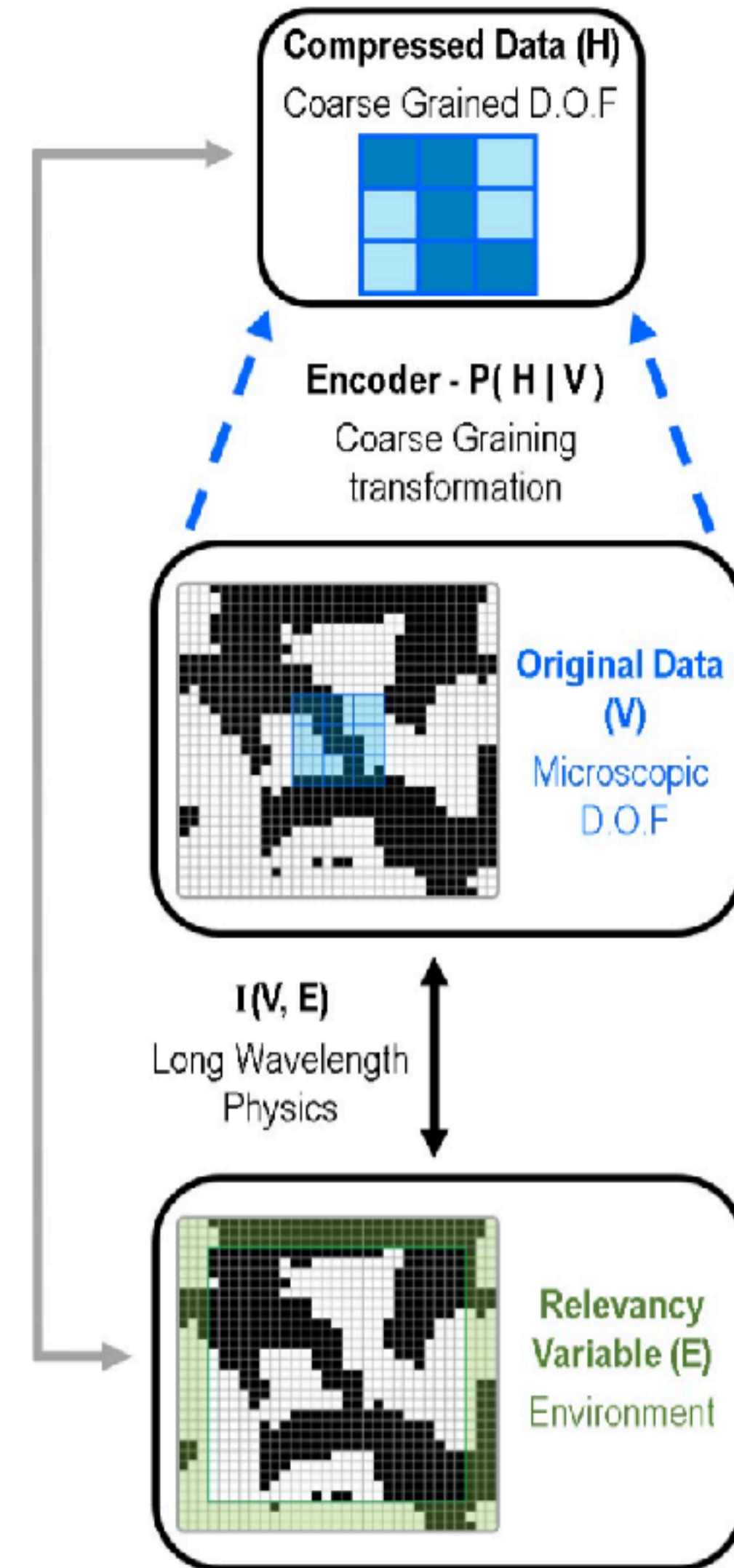
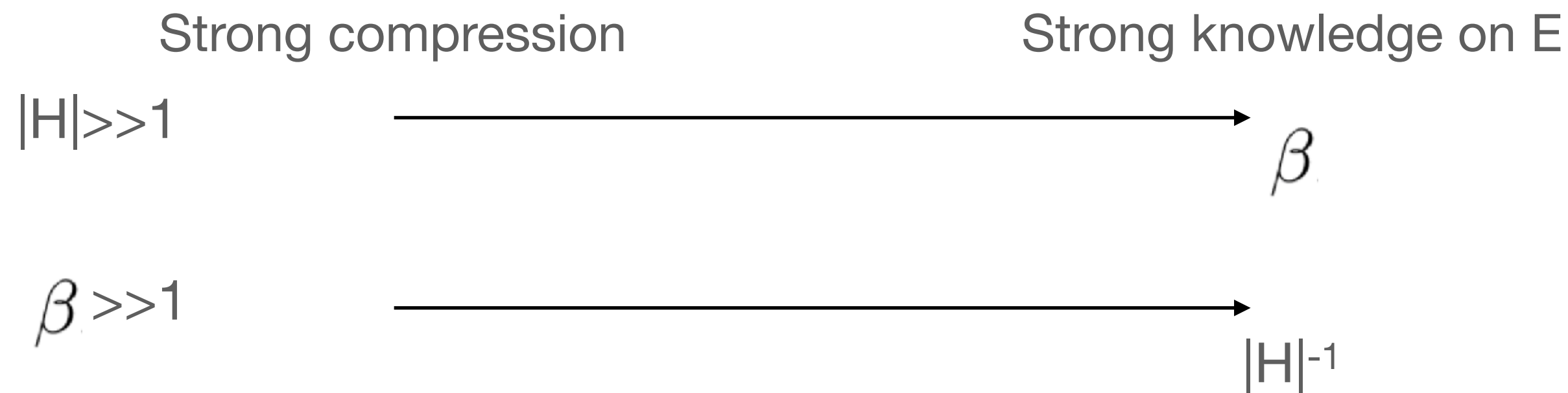
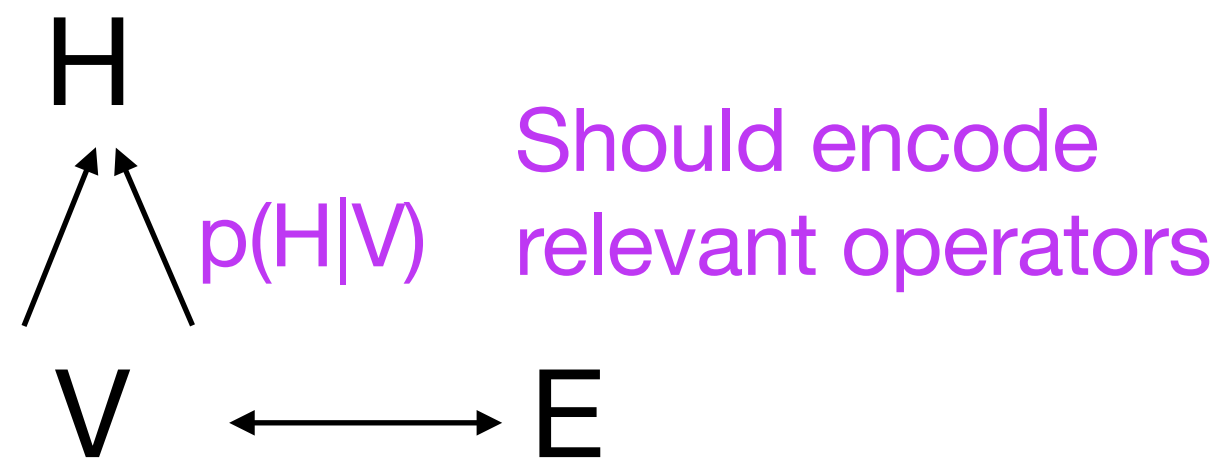
$\beta \gg 1$

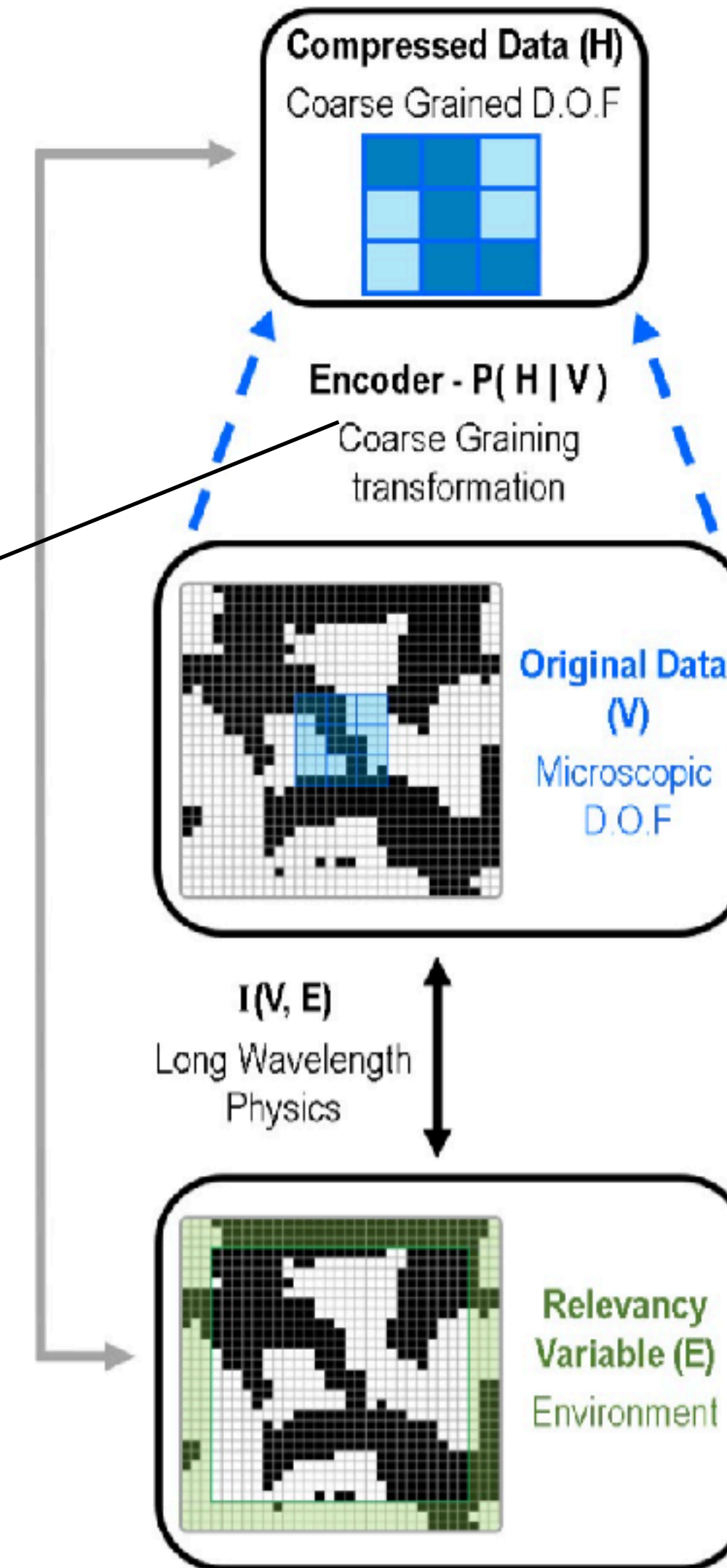
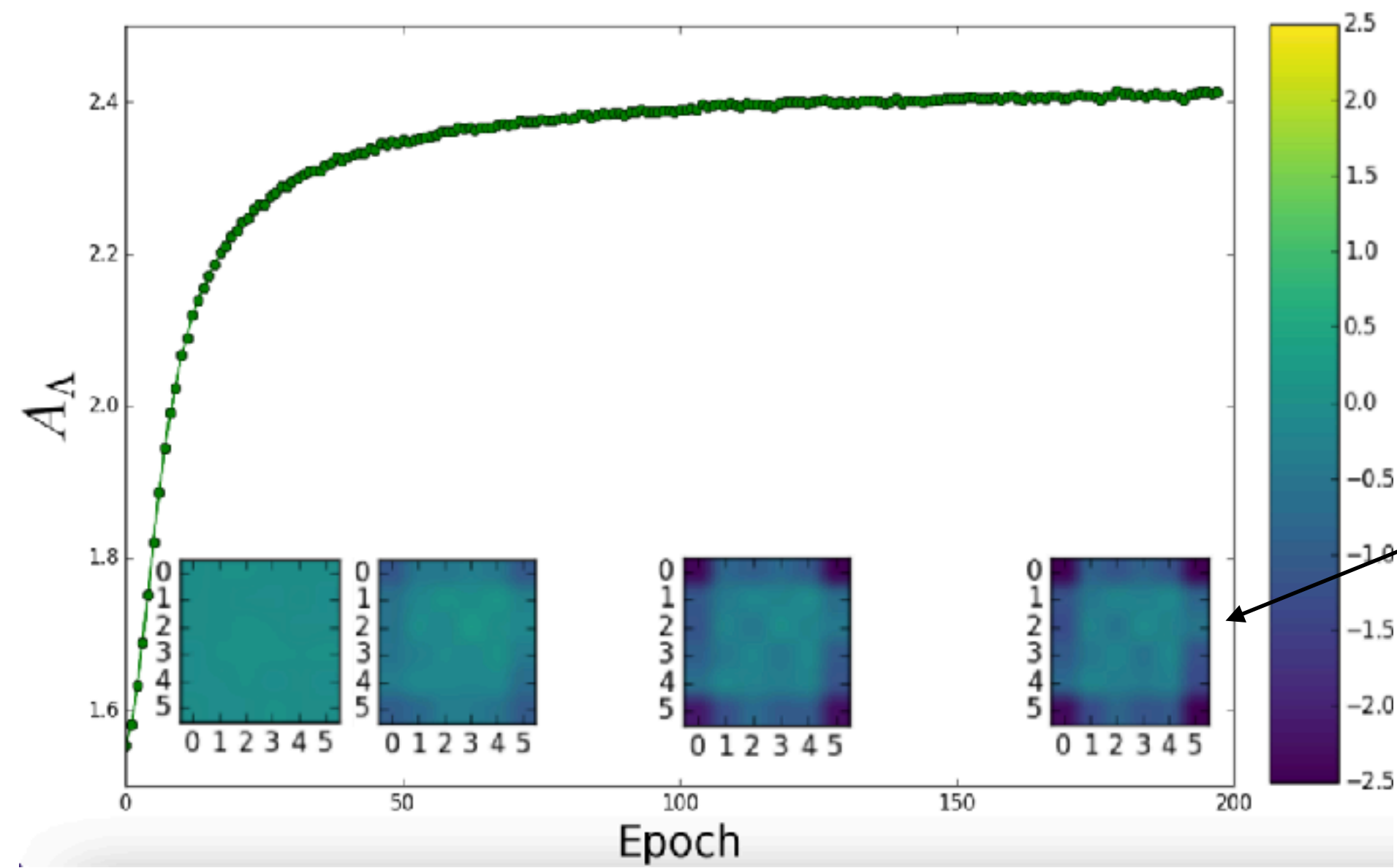


Can be viewed as symmetry breaking transitions of the permutation symmetry of L_{IB} w.r.t. to the elements in H -
 Many nice analogies with Landau theory of phase transitions

Conjectured IB-relevant-operators relation (RSMI)

$$\min_{p(H|V)} \mathcal{L}[p(H|V)] = I(H, V) - \beta I(H, E)$$



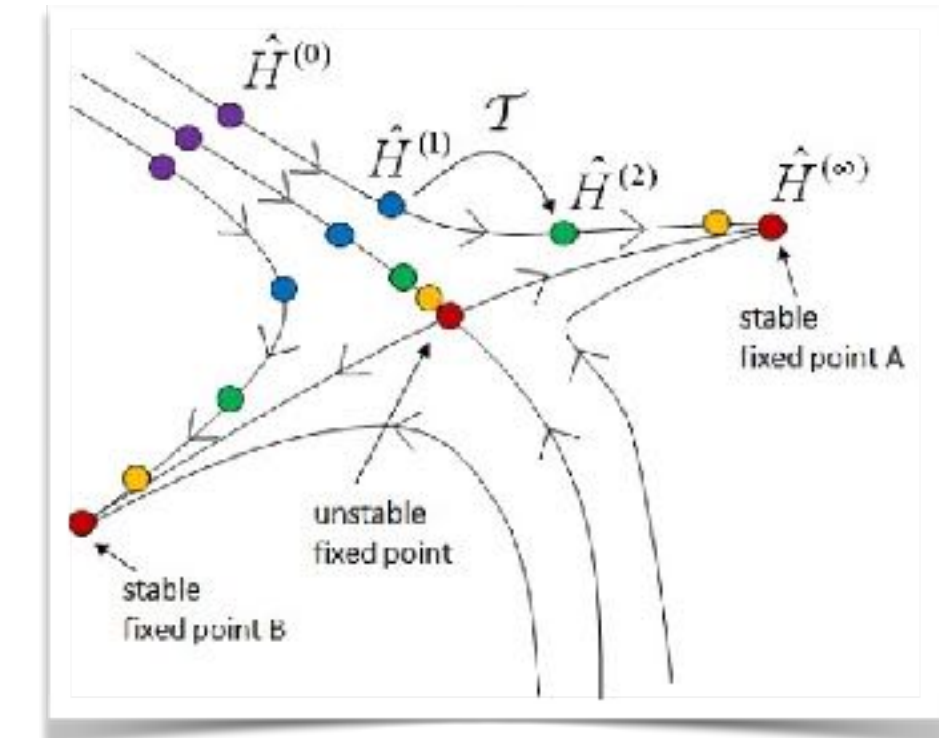


How is this related to field theory?

Equivalent notions of relevance in physics

Renormalisation Group

Adding $\psi(x)$ leads to an increase of its coupling constant under the RG flow



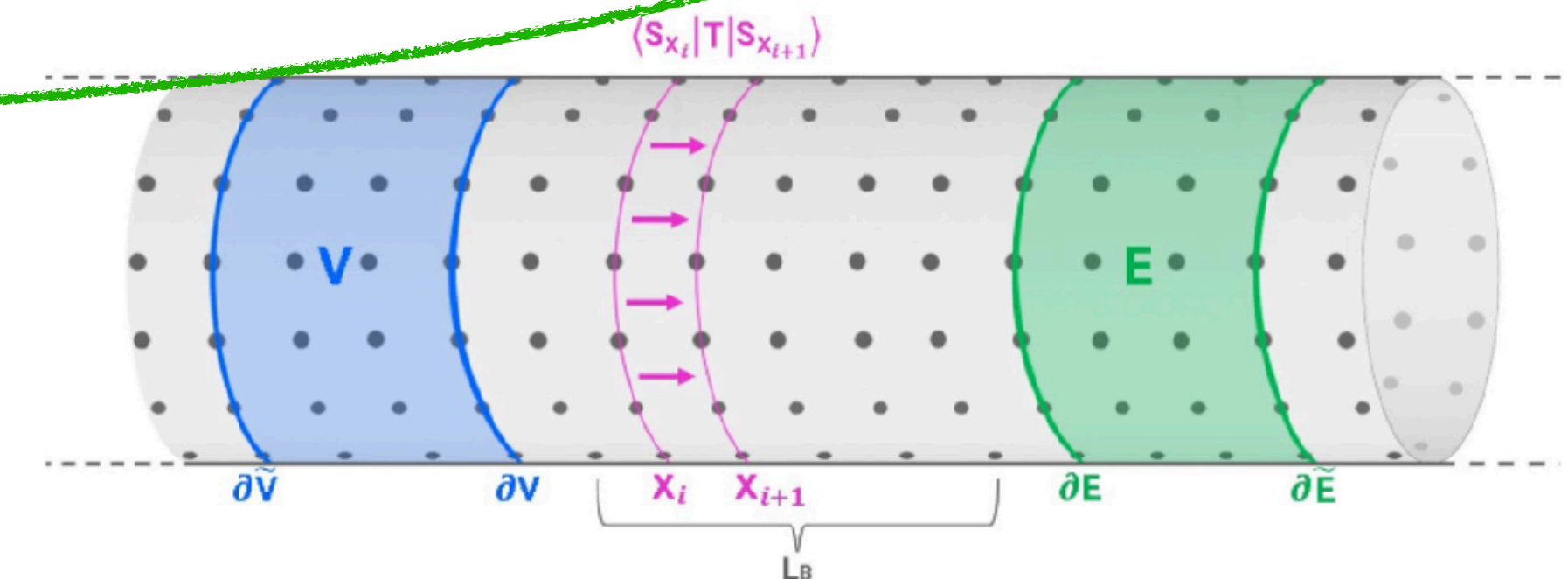
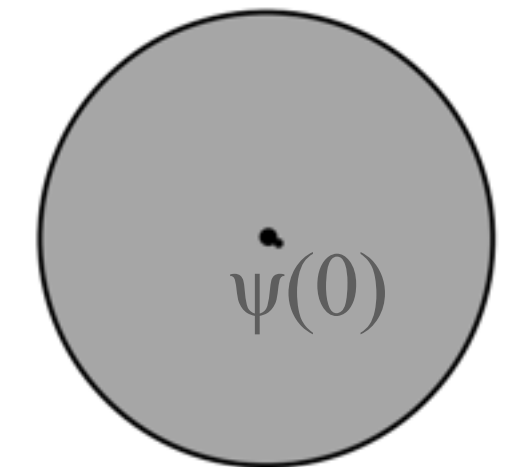
CFT

$\psi(x)$ and $\psi(y)$ correlations decay as a power law with a small exponent (2Δ)

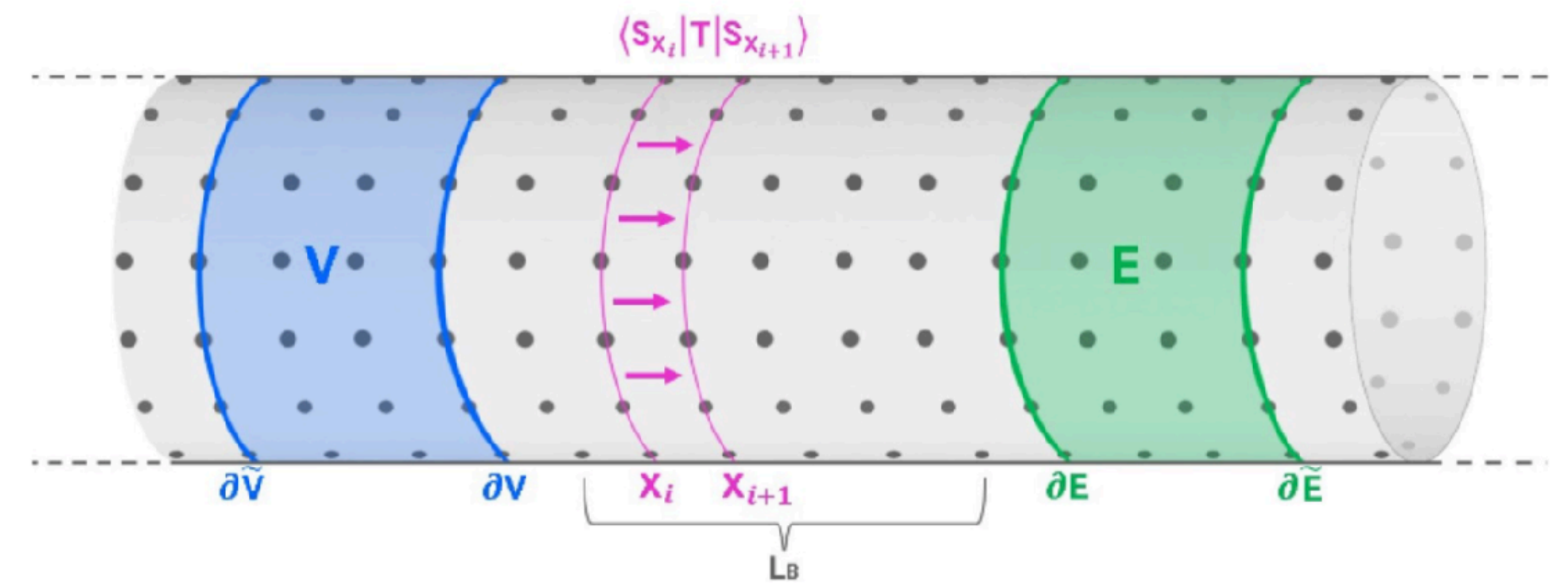
In radial quantisation $\psi(0)$ generates a eigenstate of the scaling operator with large eigenvalue (Δ)

Transfer-matrix (\mathbb{T})

On a cylinder, given $\mathbb{T}|0\rangle = |0\rangle$ is the maximal eig. of \mathbb{T} , $\mathbb{T}|\psi\rangle = \mathbb{T}\psi|0\rangle = e^{-4\pi\Delta/L}|\psi\rangle$



Transfer matrix reminder



- Reflects the Boltzmann-factors, on and in between two consecutive (“time”-)slices
- Yields the partition function via $Z = \text{Tr}[T^L]$
- Element-wise positive (also in many quantum problems)
- Columns sum to 1 in Markov Chain case
- Large eigenvalues/eigenvectors closely related with CFT’s notion of relevance:

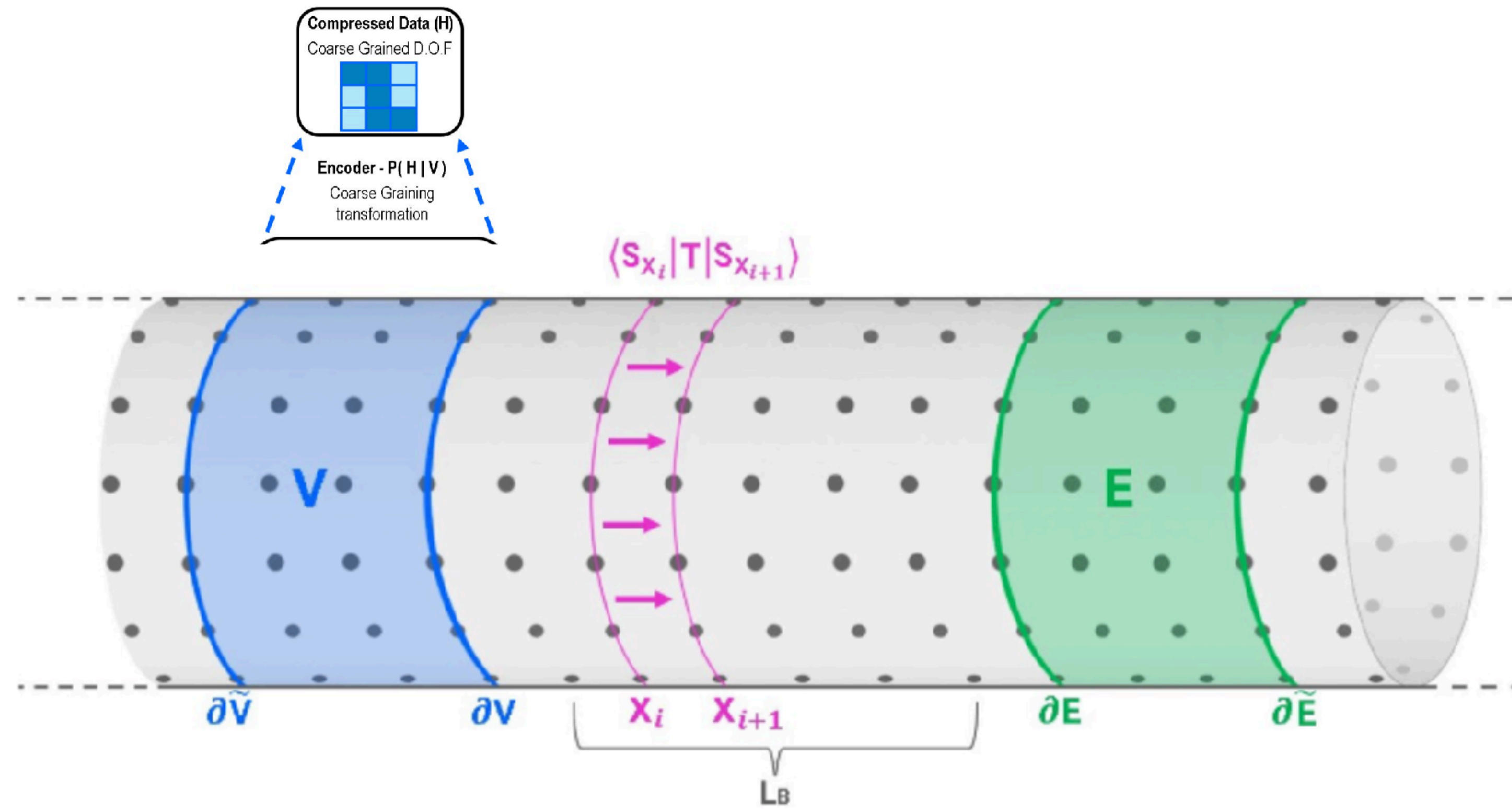
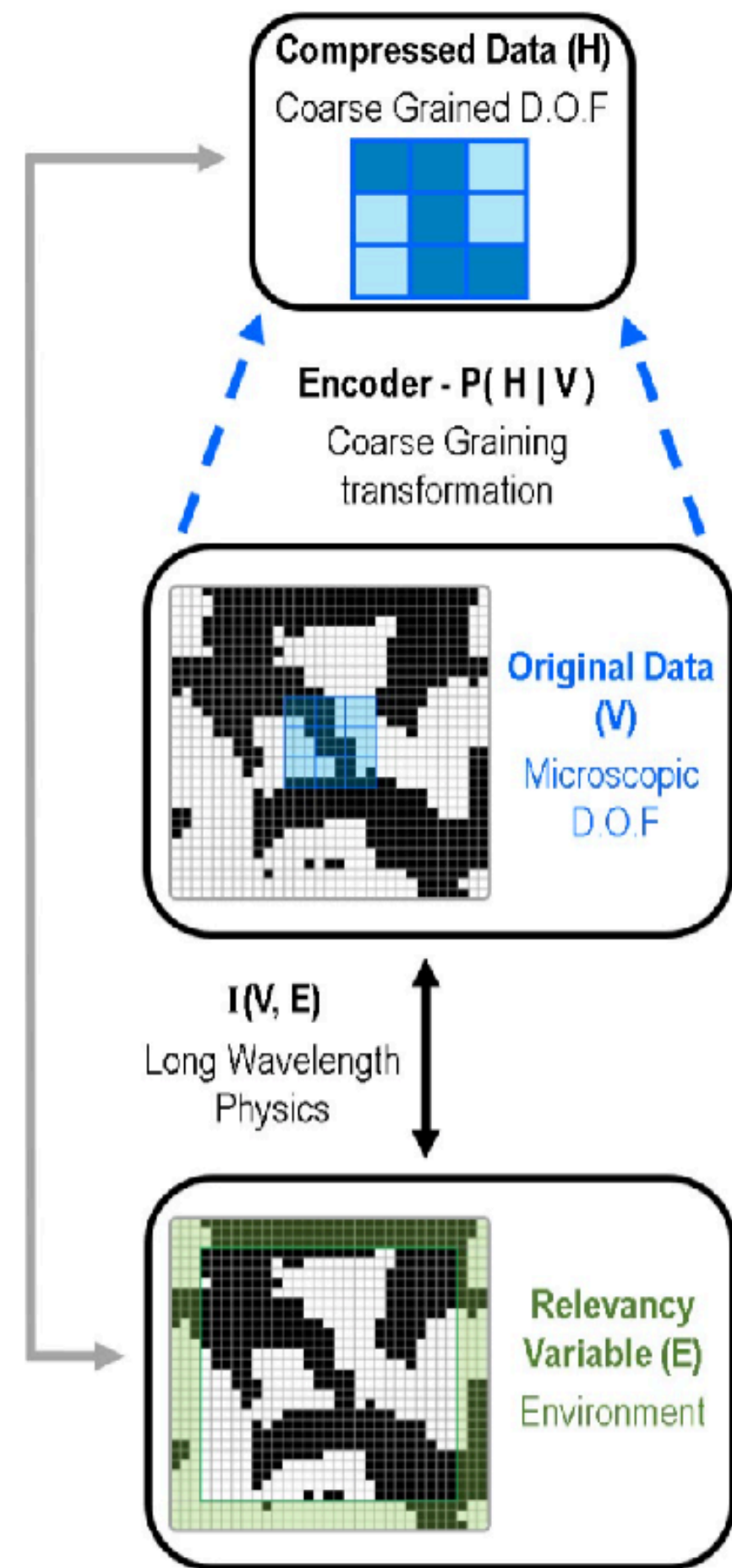
$T|0\rangle = |0\rangle$ is the maximal eig. of T ,

$T|1\rangle = e^{-4\pi\Delta/L}|1\rangle$ is the sub-leading eig. of T ,

$|1\rangle = \Psi |0\rangle$ Ψ is the leading primary operator

Linking IB and transfer matrix

Step 1 - move our IB machinery to the cylinder

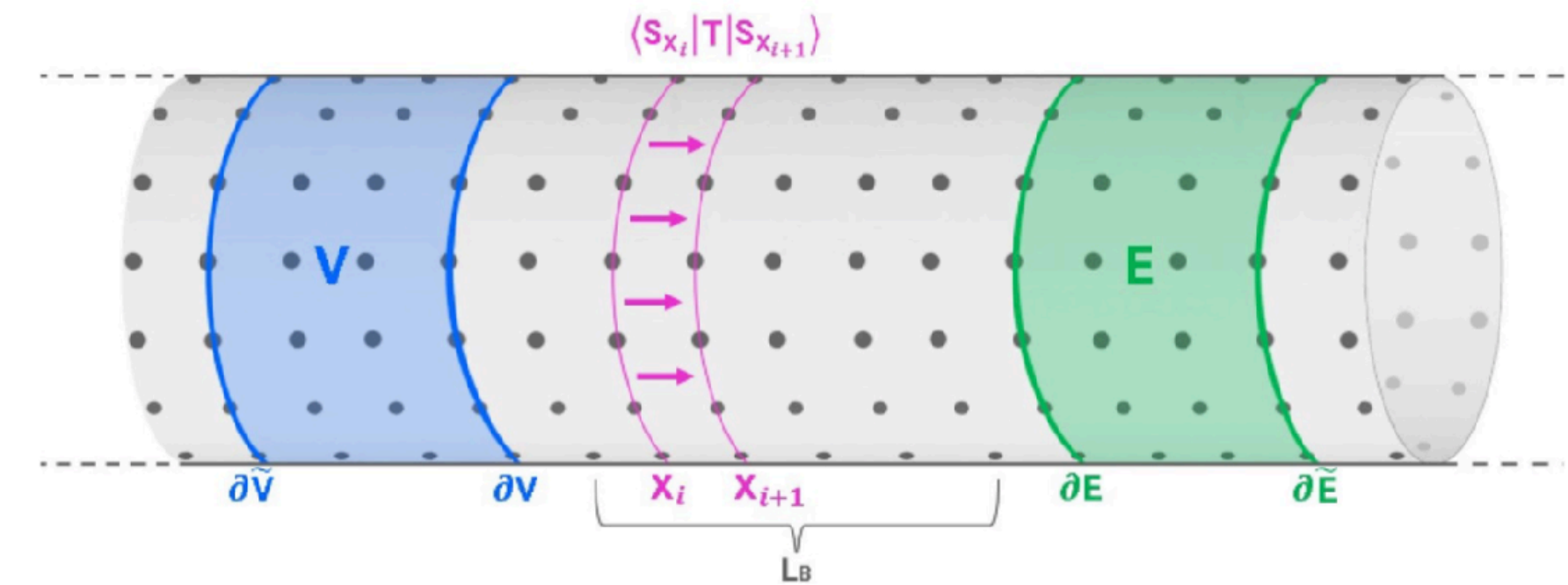


*This geometry is also easier to relate to Markov Chains, with \rightarrow time \rightarrow

Linking IB and Transfer-Matrix

Step 2: Solving IB analytically in terms of transfer-matrix data

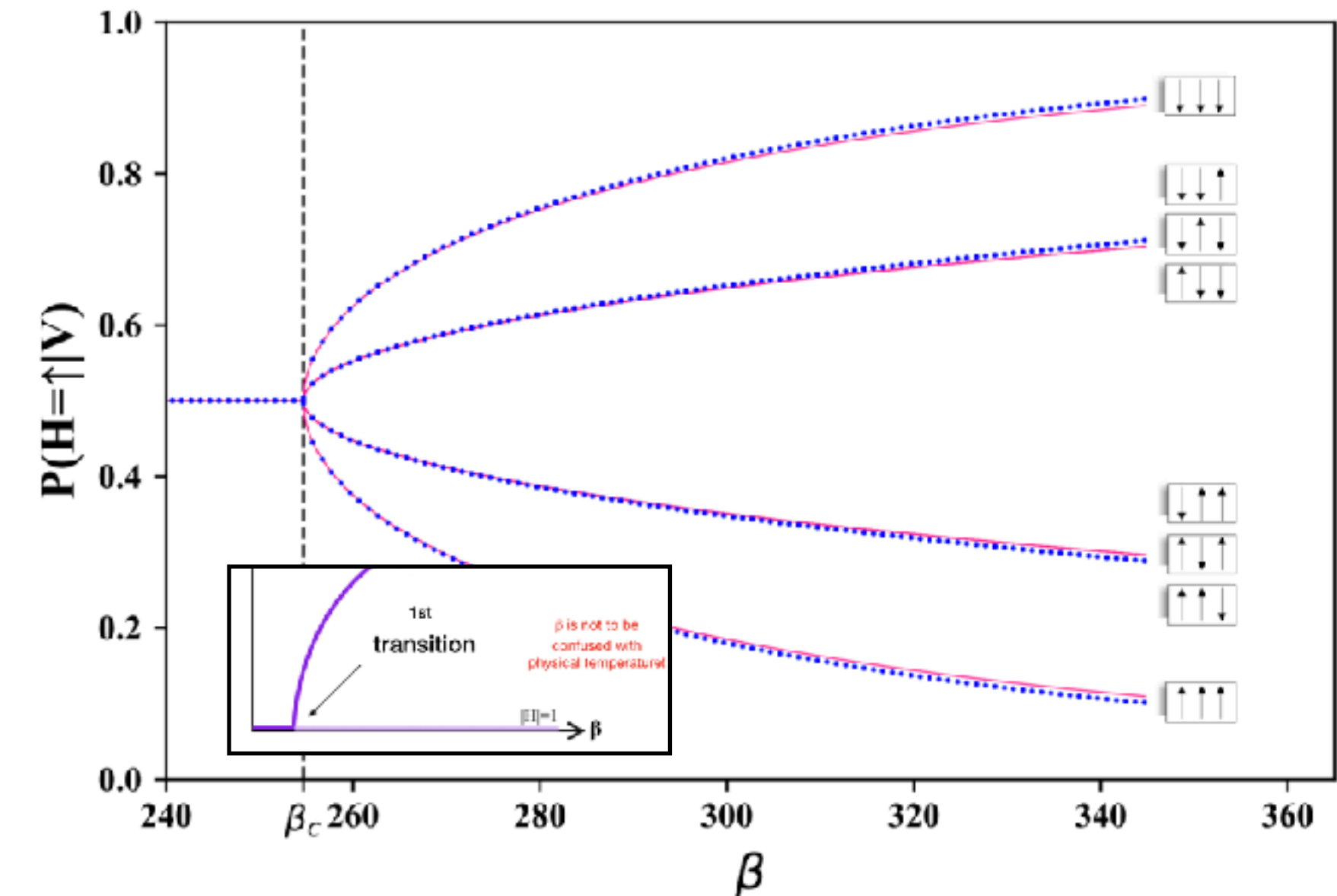
$$r_v = \frac{\langle 1 | \partial V \rangle}{\langle 0 | \partial V \rangle} = \frac{\langle 0 | \psi | \partial V \rangle}{\langle 0 | \partial V \rangle}$$



$$p(h = \pm 1 | v) = \frac{e^{hm(t)r_v}}{2 \cosh(m(t)r_v)}$$

$$m(t) = \sqrt{\frac{3(\beta - \beta_{c1})}{\langle r_x^4 \rangle \beta_{c1}}} \quad \beta_{c1} = e^{8\pi\Delta_1} \frac{L_B}{L}$$

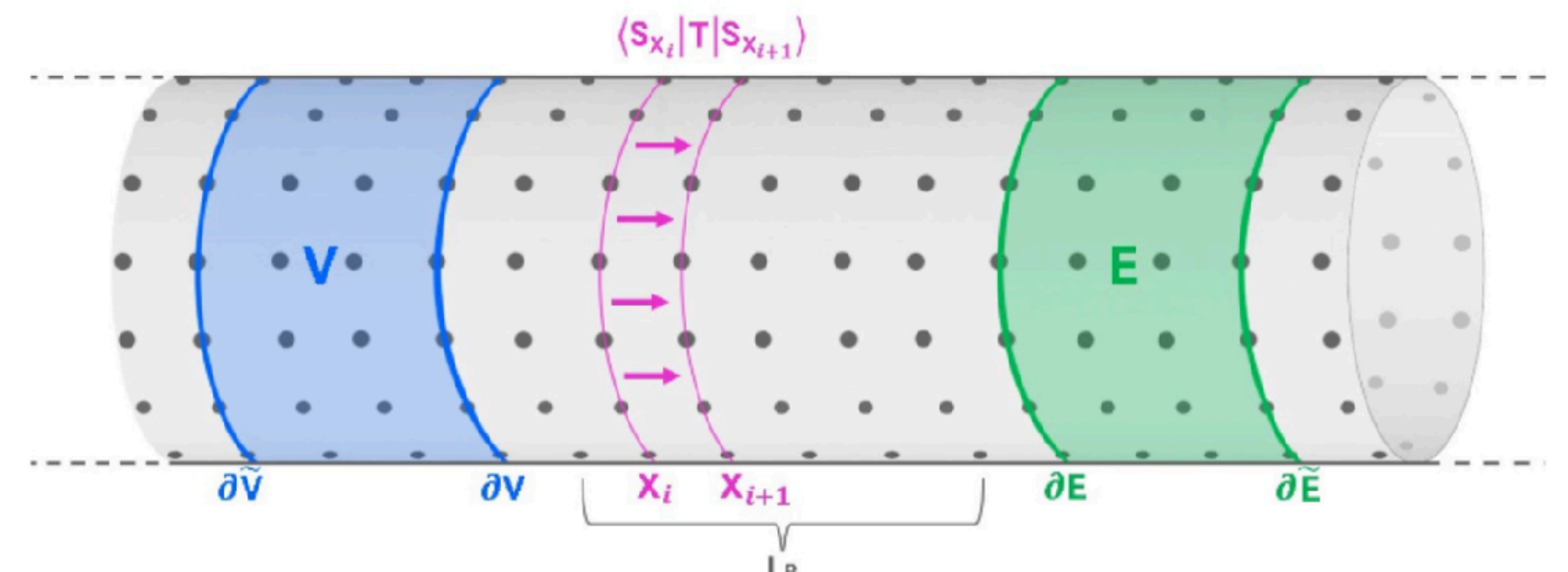
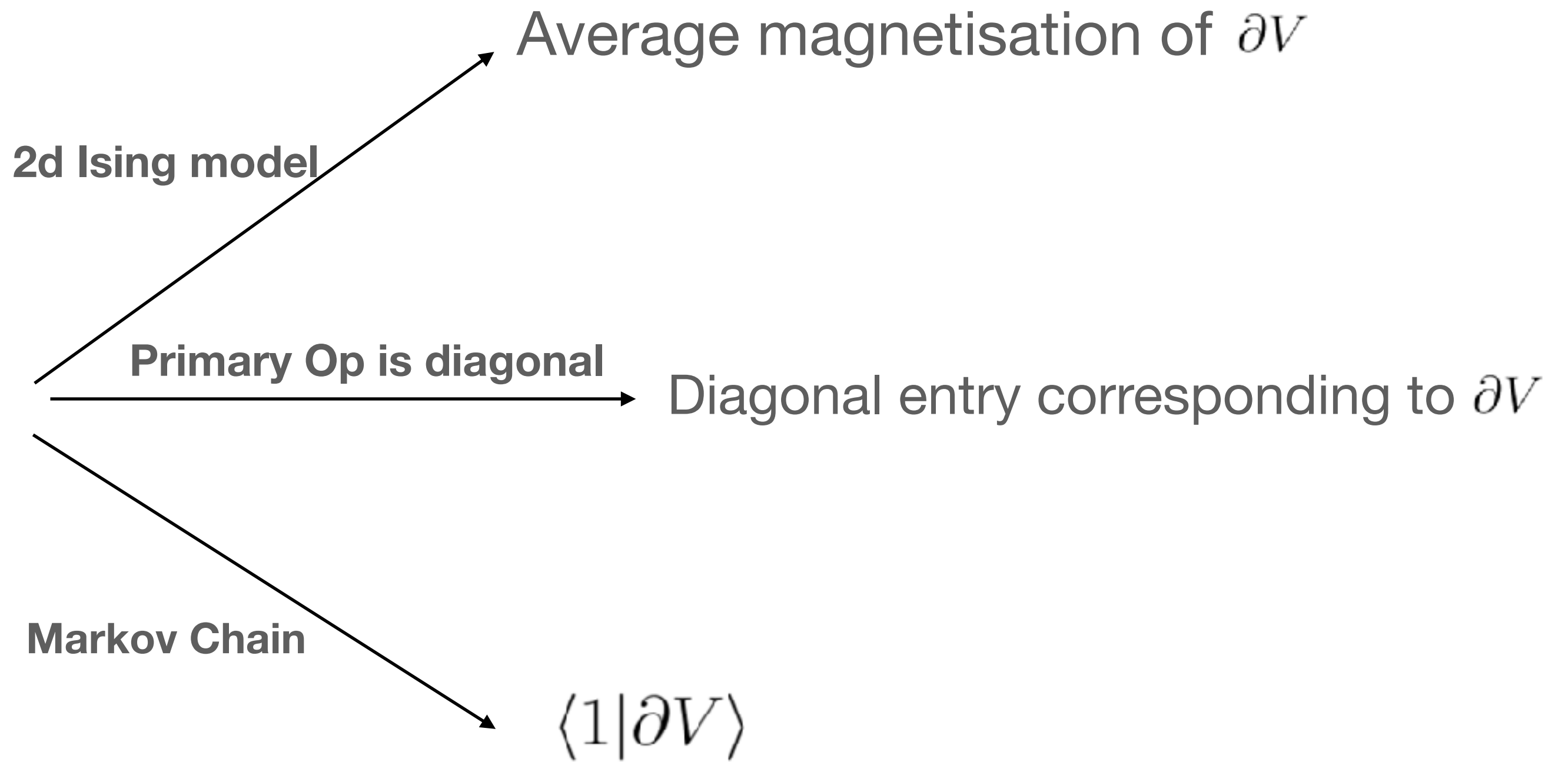
“Critical” Ising on an $L_c=3$ cylinder



A closer look at r_v

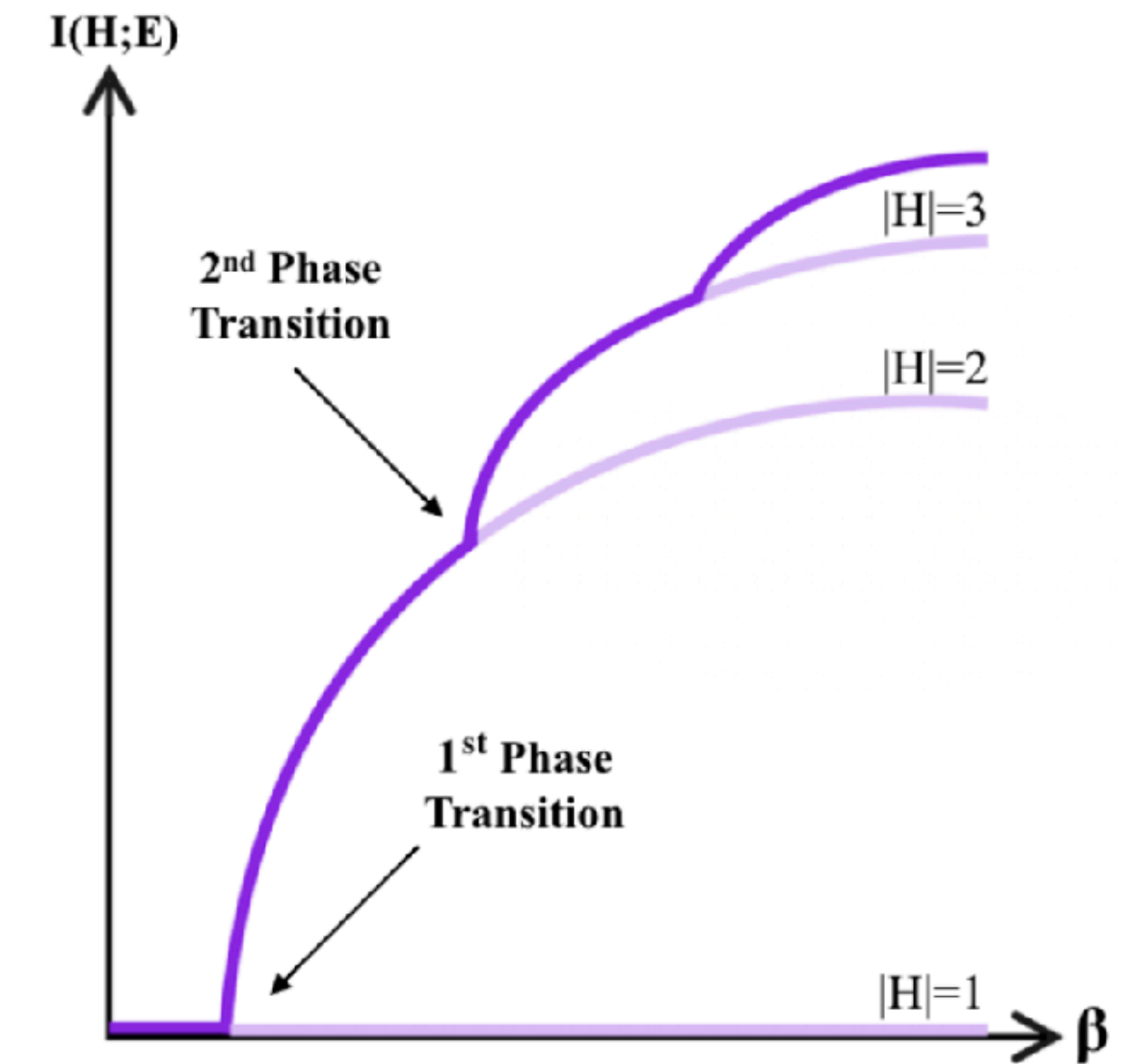
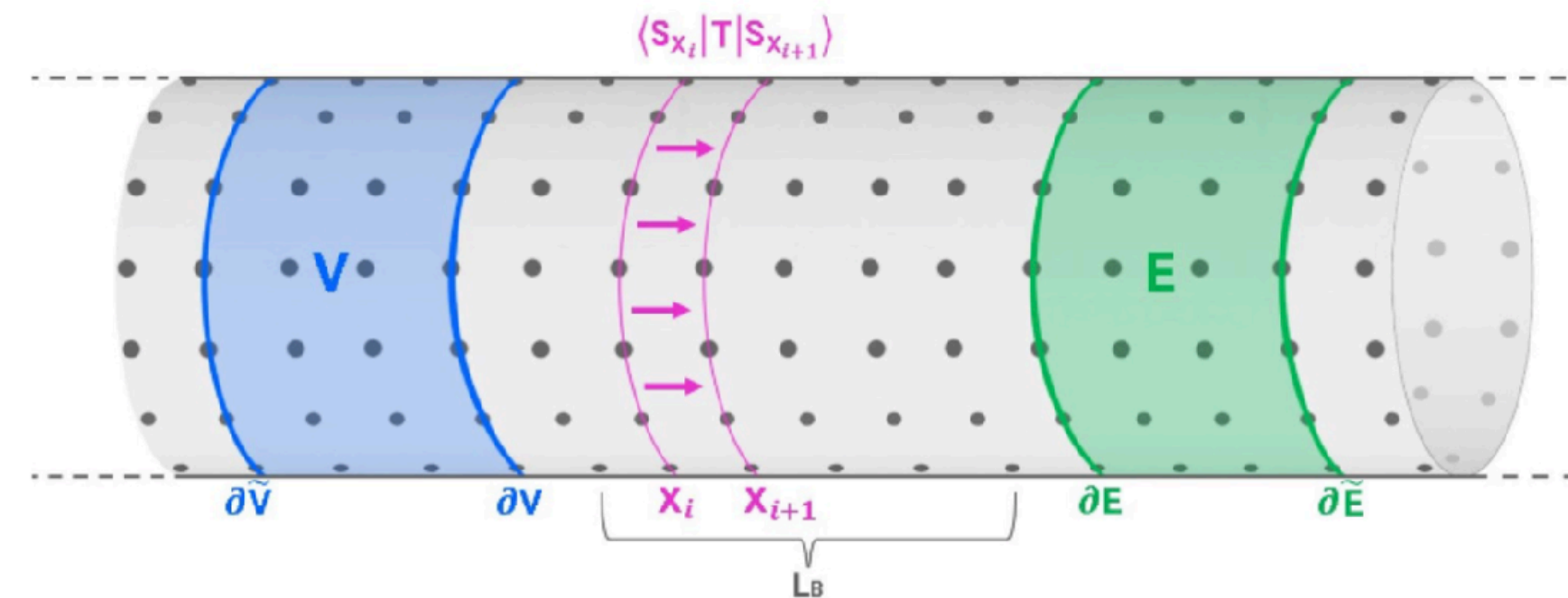
$$r_v = \frac{\langle 1 | \partial V \rangle}{\langle 0 | \partial V \rangle} = \frac{\langle 0 | \psi | \partial V \rangle}{\langle 0 | \partial V \rangle}$$

Average = 0
Var = 1



IB - RG dictionary

If IB is a microscope, how does it optics work?



- The first feature IB tracks is the “normalized” coefficients of the sub-leading eigenvector of the transfer matrix.
- The first critical β gives the scaling dimension of that operator
$$\beta_{c1} = e^{8\pi\Delta_1} \frac{L_B}{L}$$
- In any local theory, where a transfer-matrix can be defined, IB disregards the bulk of V.
- **A means of accessing transfer-matrix eigenvectors (in any dimension) from Monte-Carlo snapshots!**

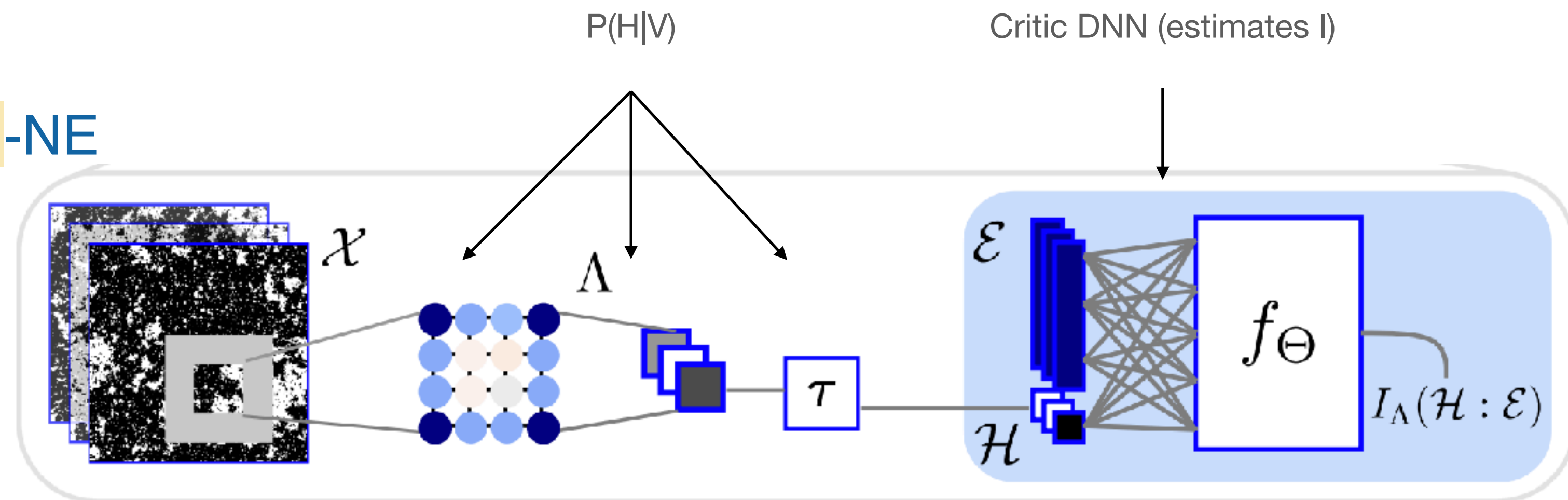
Mid lecture summary

- A concrete dictionary is emerging between information theory notion of relevance (IB) and physics notion of relevance (large-transfer-matrix eigenvectors/relevant-operators)
- Several deep learning based tools allow controlled numerical solutions of IB

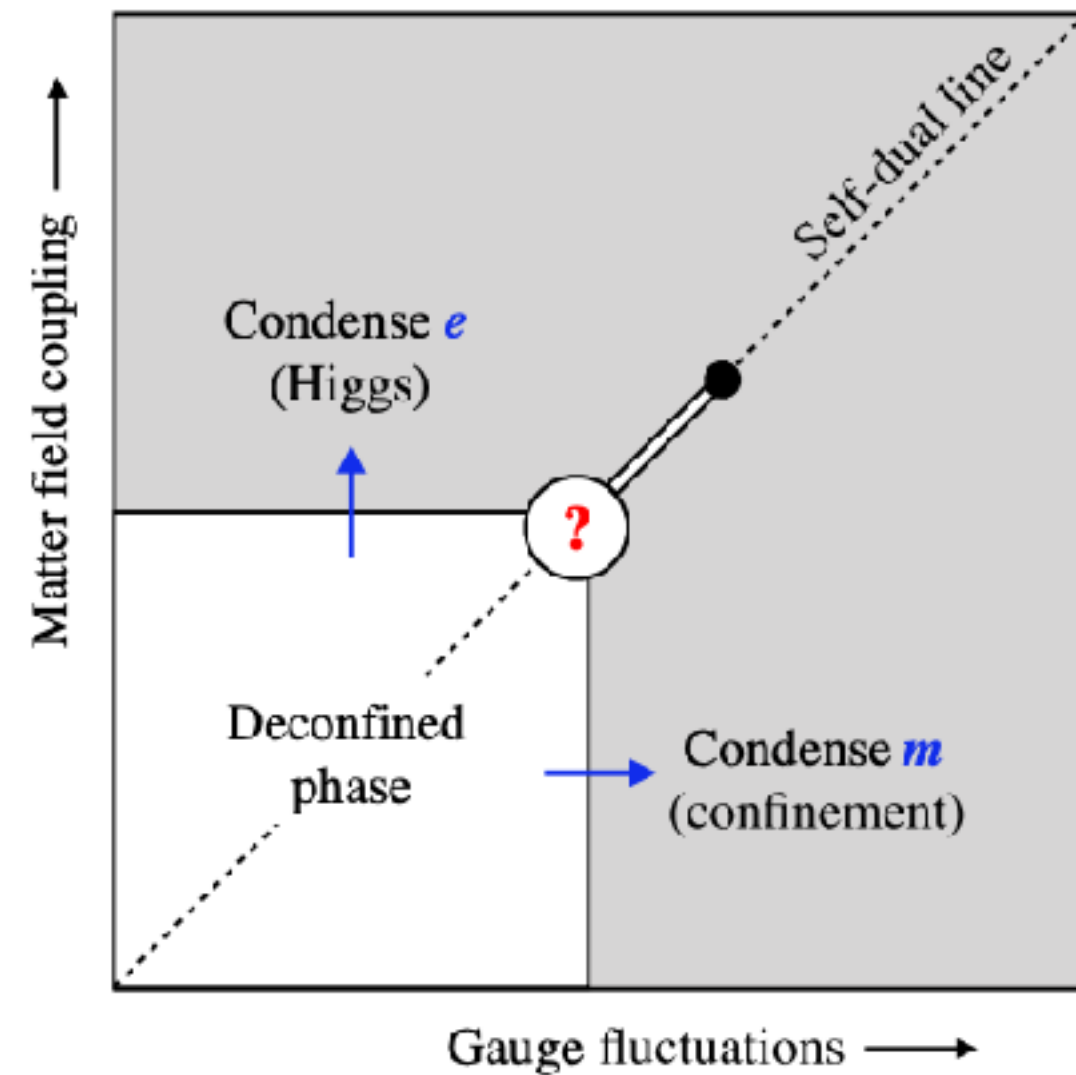
Statistical Physics through the Lens of Real-Space Mutual Information

Doruk Efe Gökmen, Zohar Ringel, Sebastian D. Huber, and Maciej Koch-Janusz
Phys. Rev. Lett. **127**, 240603 – Published 6 December 2021

<https://github.com/RSMI-NE/RSMI-NE>



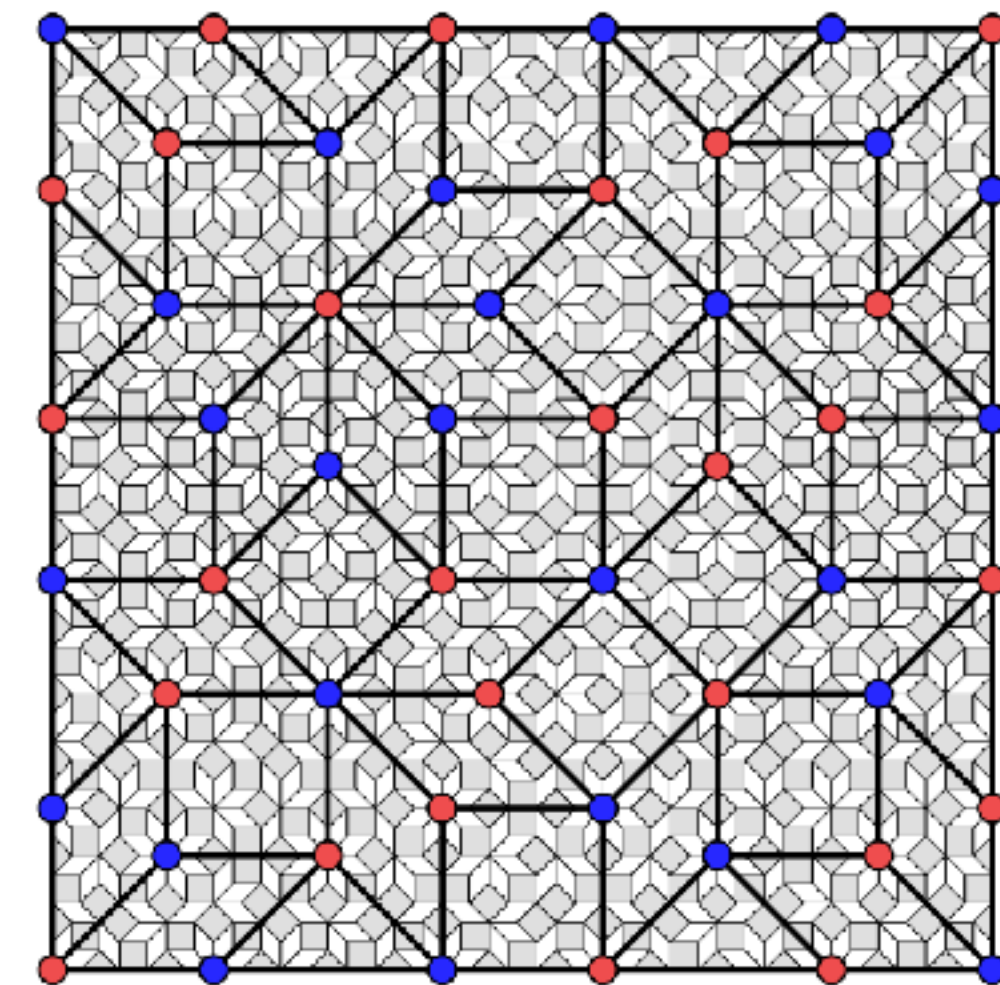
Venturing into the unsolved



PHYSICAL REVIEW X 11, 041008 (2021)

Self-Dual Criticality in Three-Dimensional \mathbb{Z}_2 Gauge Theory with Matter

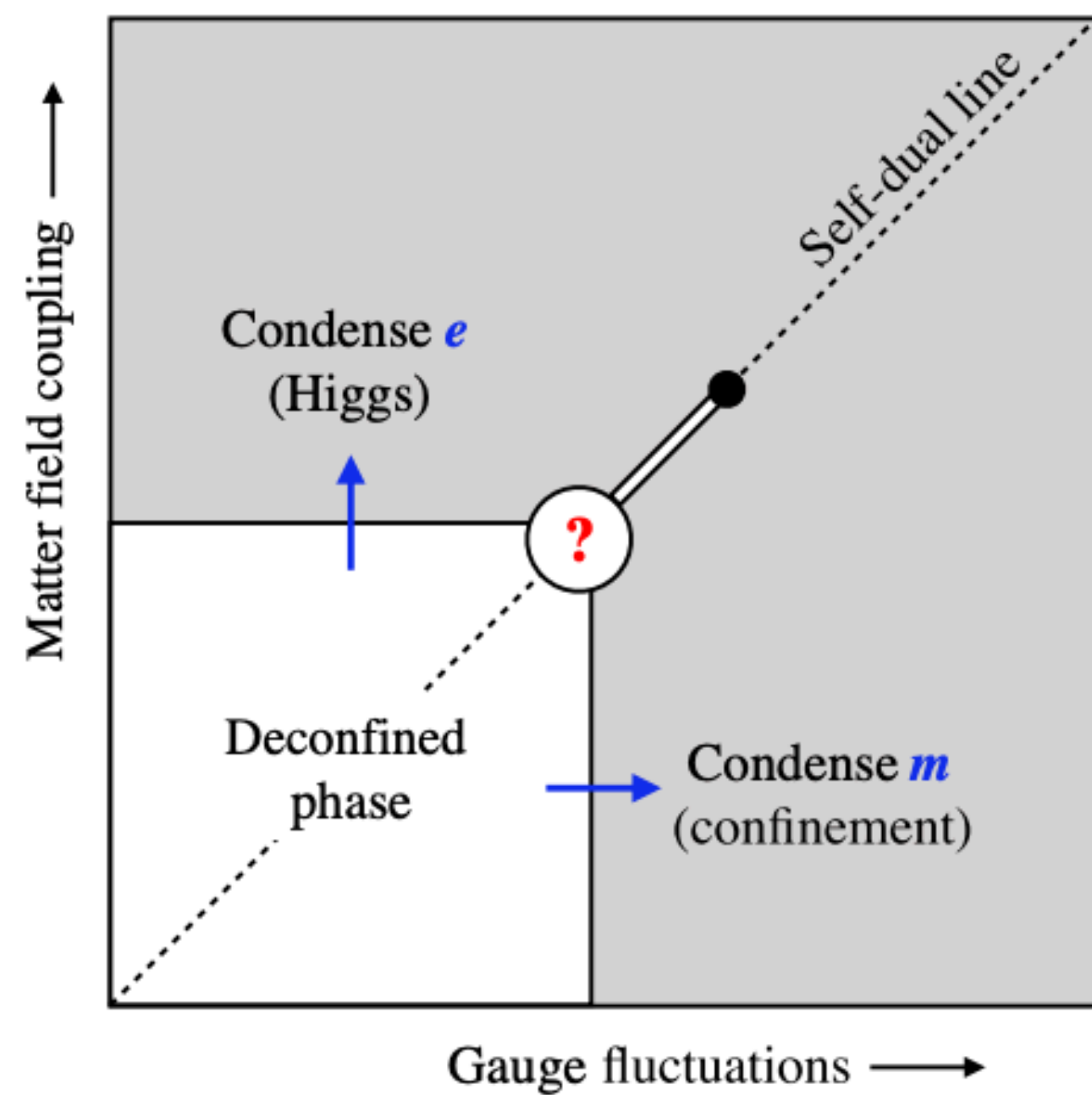
Andrés M. Somoza¹, Pablo Serna^{1,2} and Adam Nahum^{2,3}



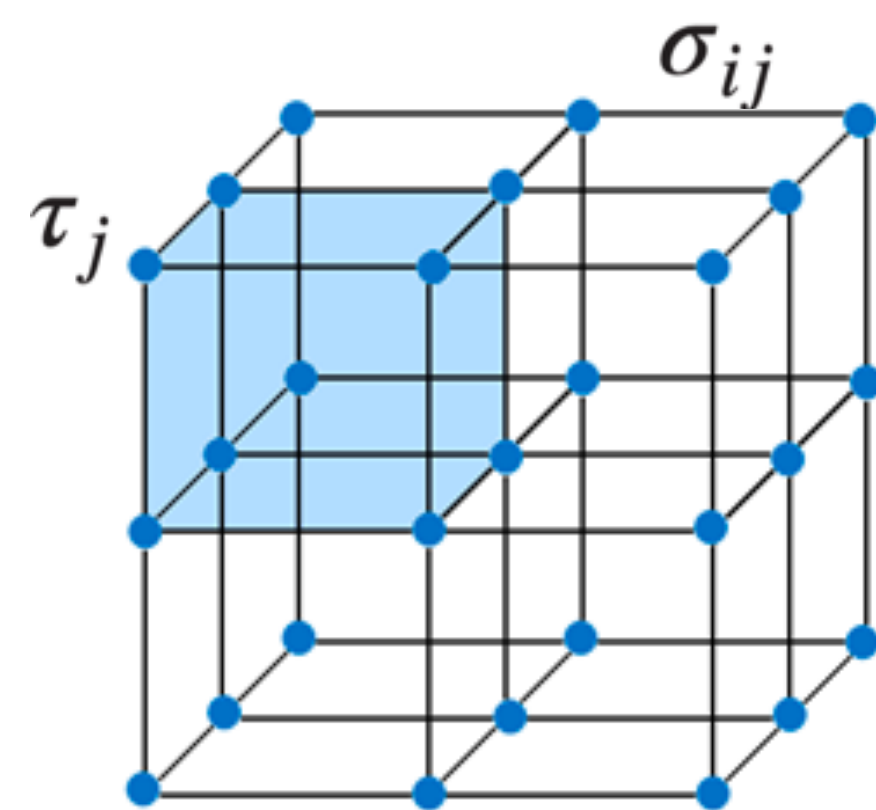
Statistical mechanics of dimers on quasiperiodic tilings

Jerome Lloyd^{1,2,3,*}, Sounak Biswas^{1,*}, Steven H. Simon¹, S. A. Parameswaran¹ and Felix Flicker^{1,4}

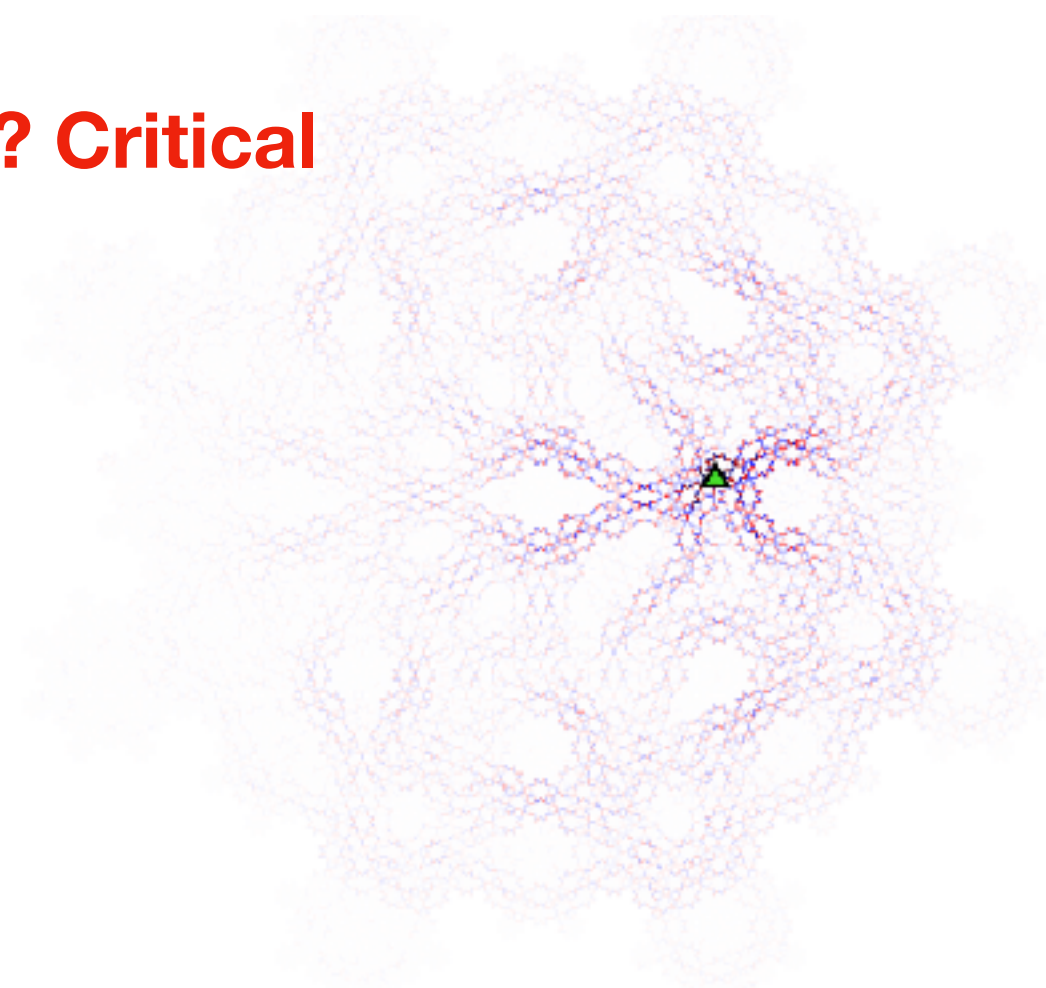
PRB 2022



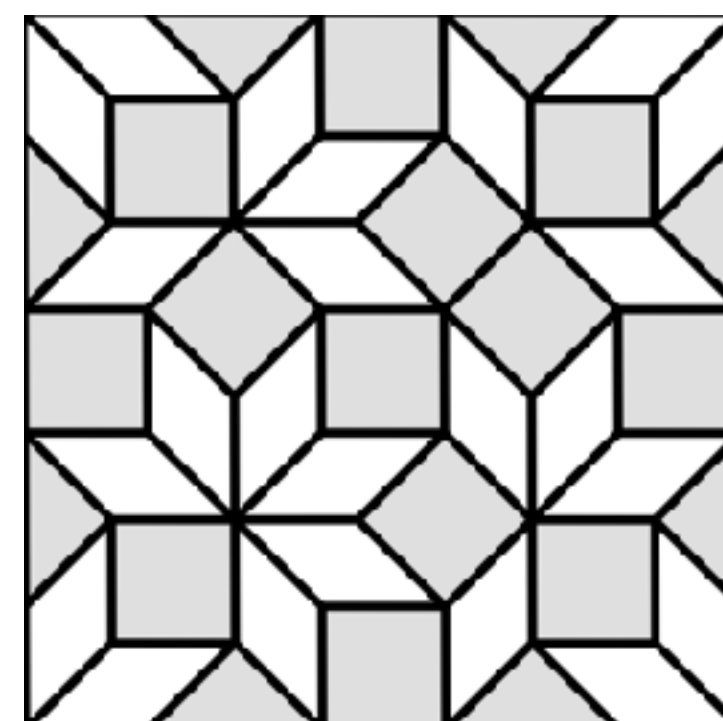
$$Z \propto \sum_{\{\sigma\}, \{\tau\}} \exp \left(K \sum_{\square} \left(\prod_{\langle ij \rangle \in \square} \sigma_{ij} \right) + J \sum_{\langle ij \rangle} \tau_i \sigma_{ij} \tau_j \right)$$



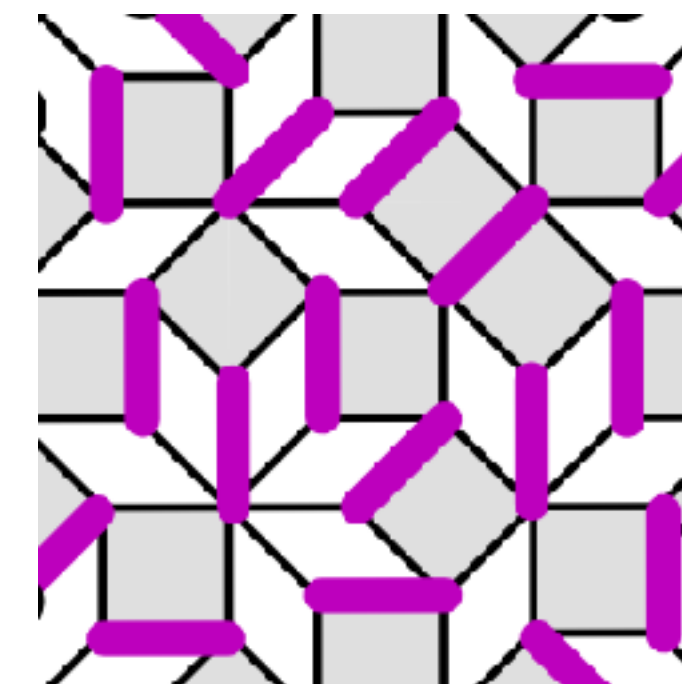
? Critical



Amman Beenaker Tiling

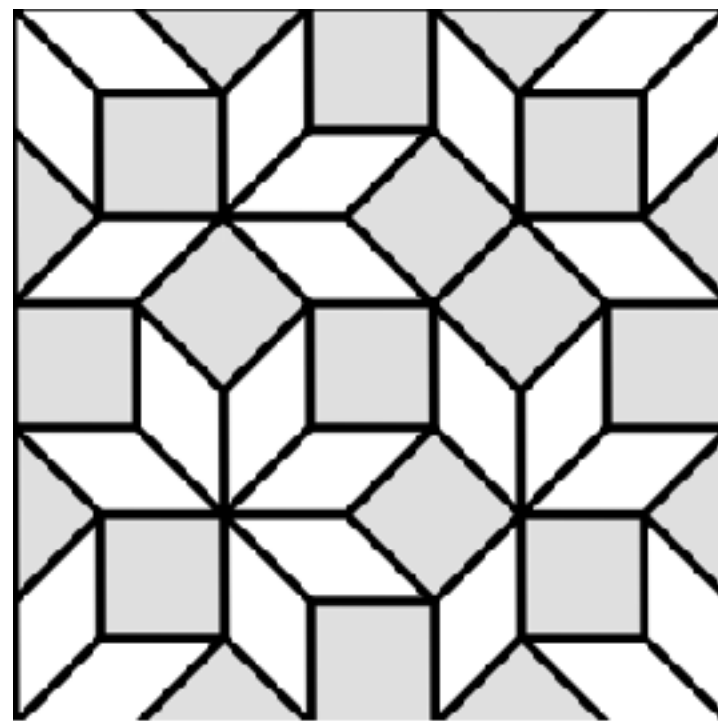


With dimers

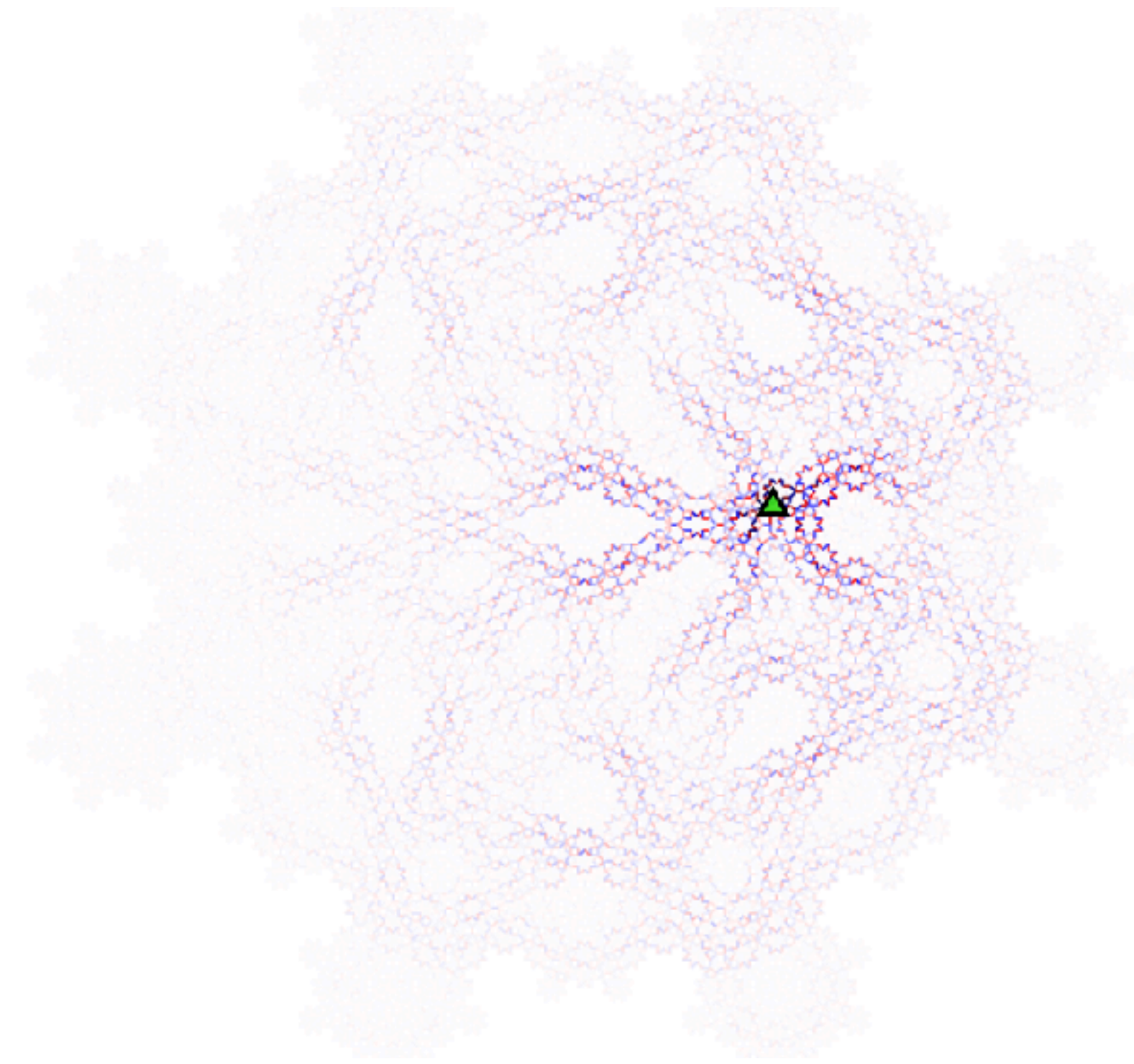
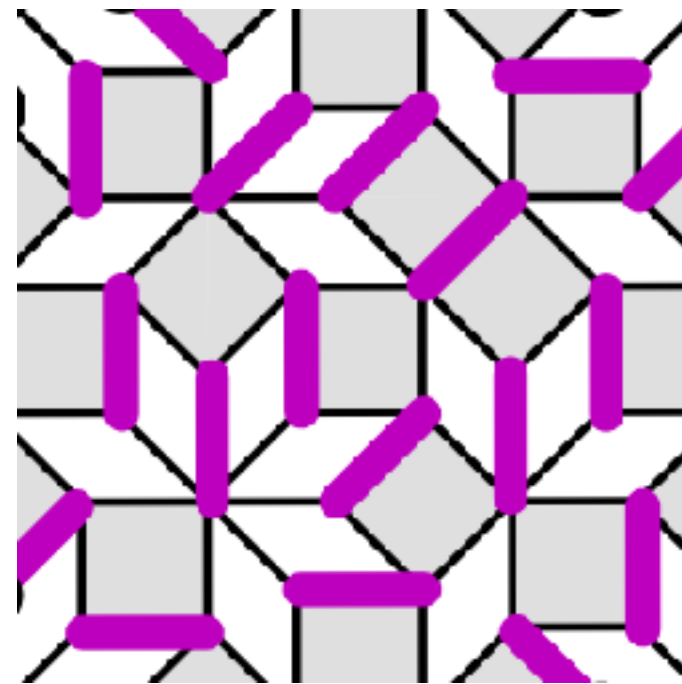


Criticality in Amman Beenaker (AB) dimer covers

Amman Beenaker Tiling



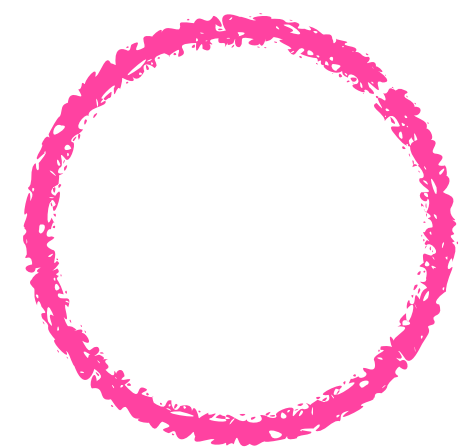
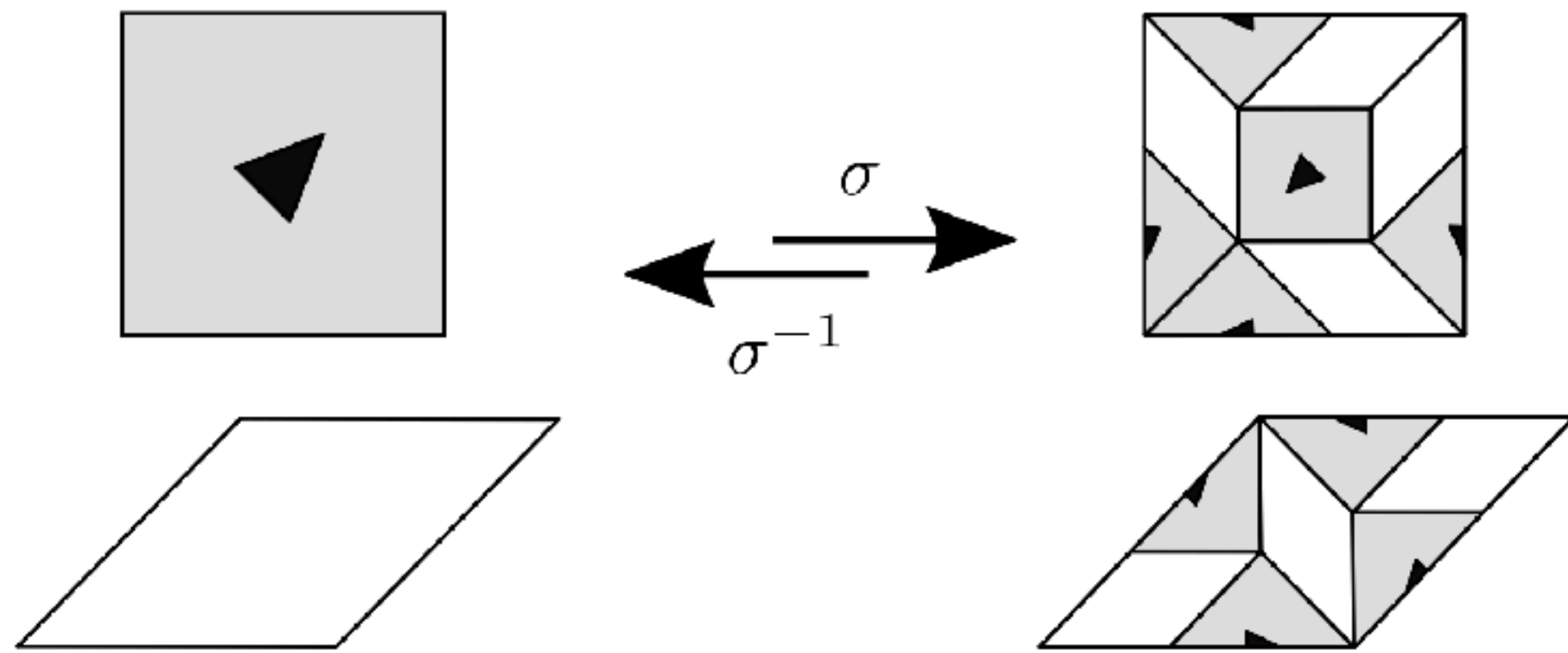
With dimers



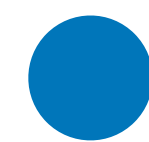
Statistical mechanics of dimers on quasiperiodic tilings

Jerome Lloyd,^{1, 2, 3, *} Sounak Biswas,^{1, *} Steven H. Simon,¹ S. A. Parameswaran,¹ and Felix Flicker^{1, 4}

Scale invariance is inherent to Quasi-crystals



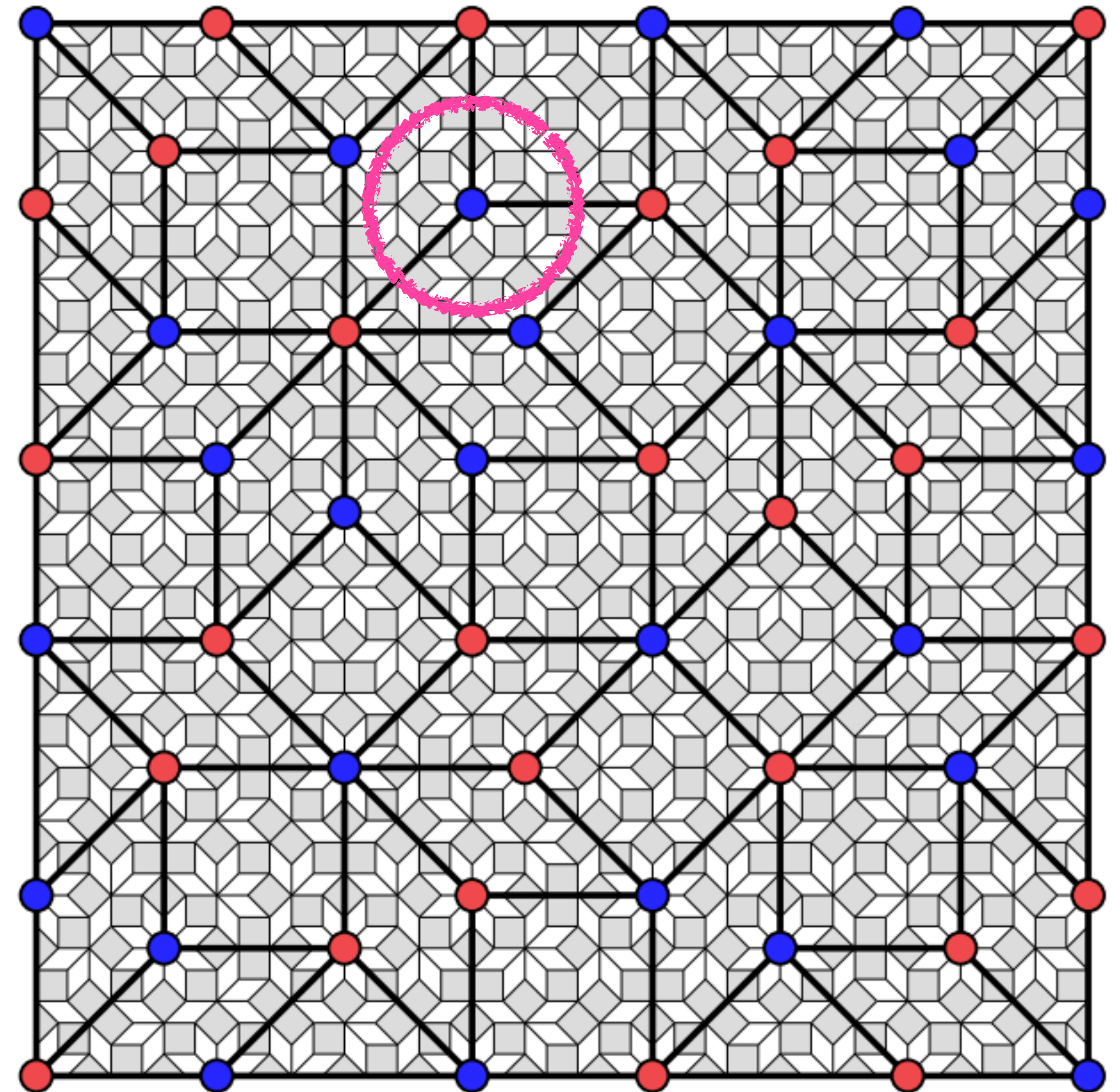
V area
~ Coarse Graining Cell



8-vertices
A sublattice

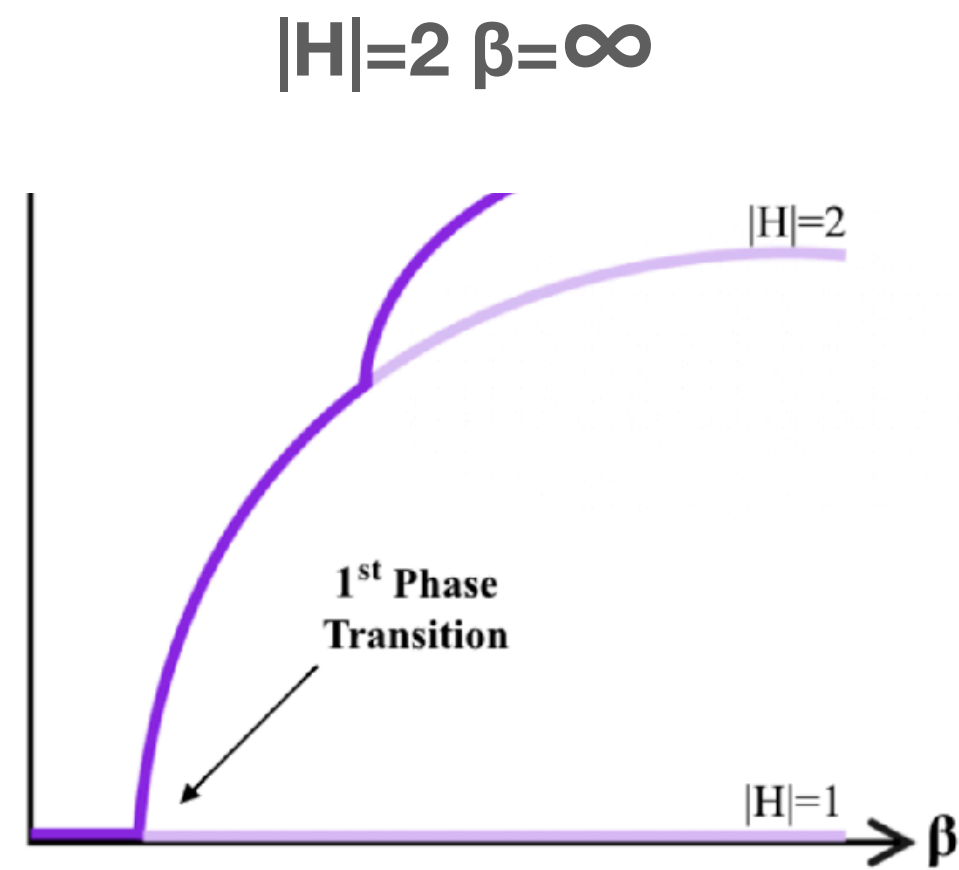


8-vertices
B sublattice



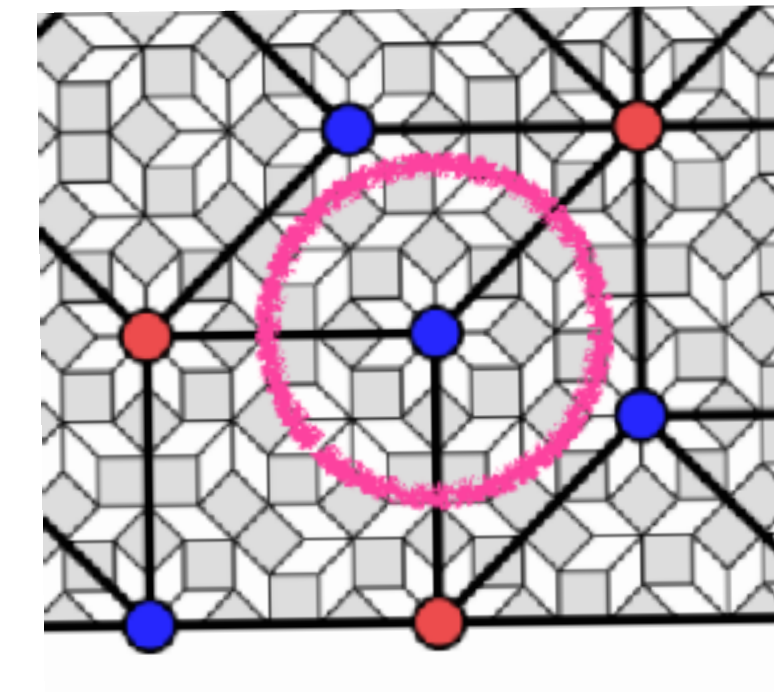
Apply our approach (RSMI version) - raw outputs

Setup

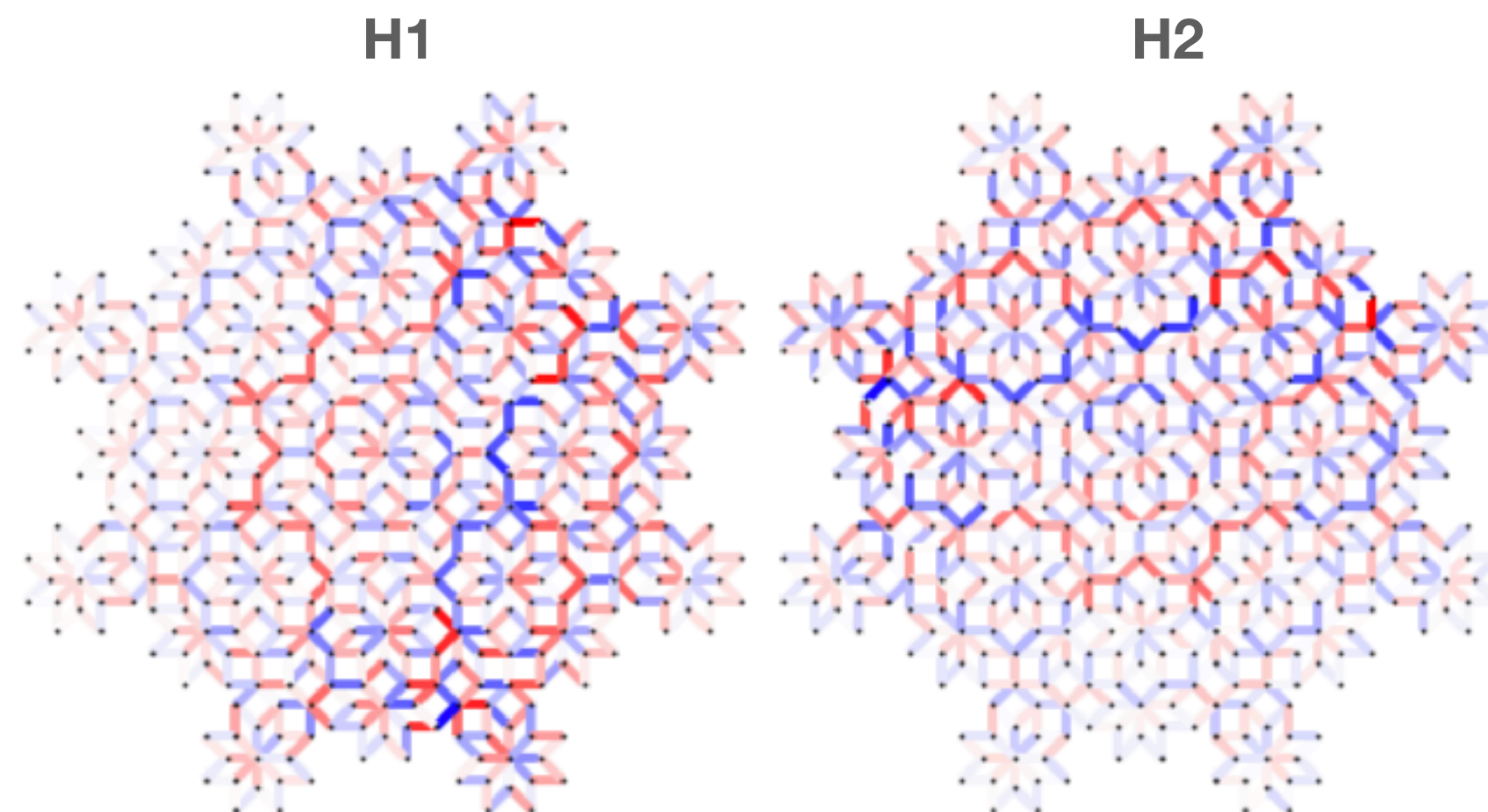


Linear DNNs for $P(H|V)$

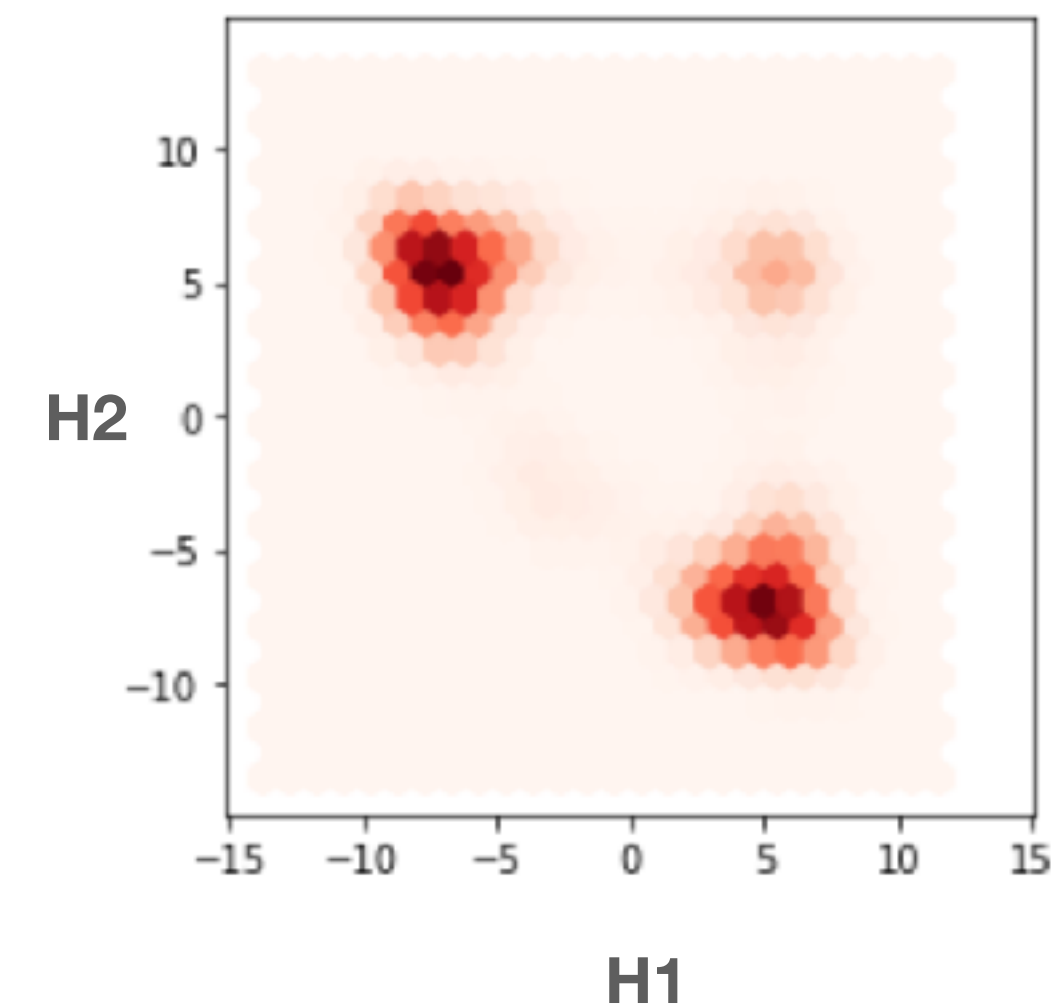
$V=8$ -vertex which coarse grains to 3-vertex



Results

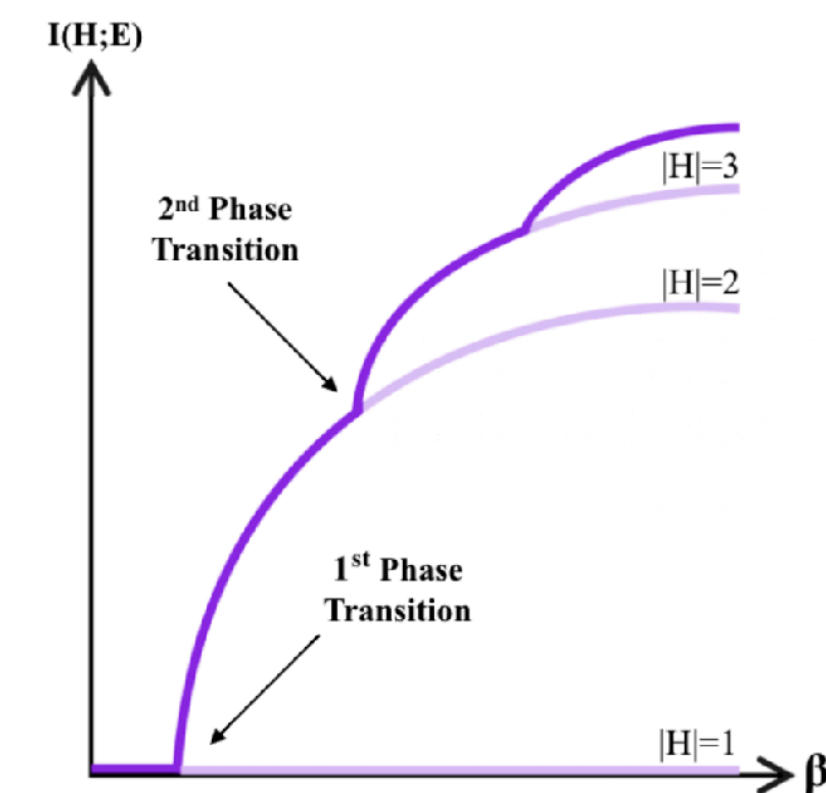
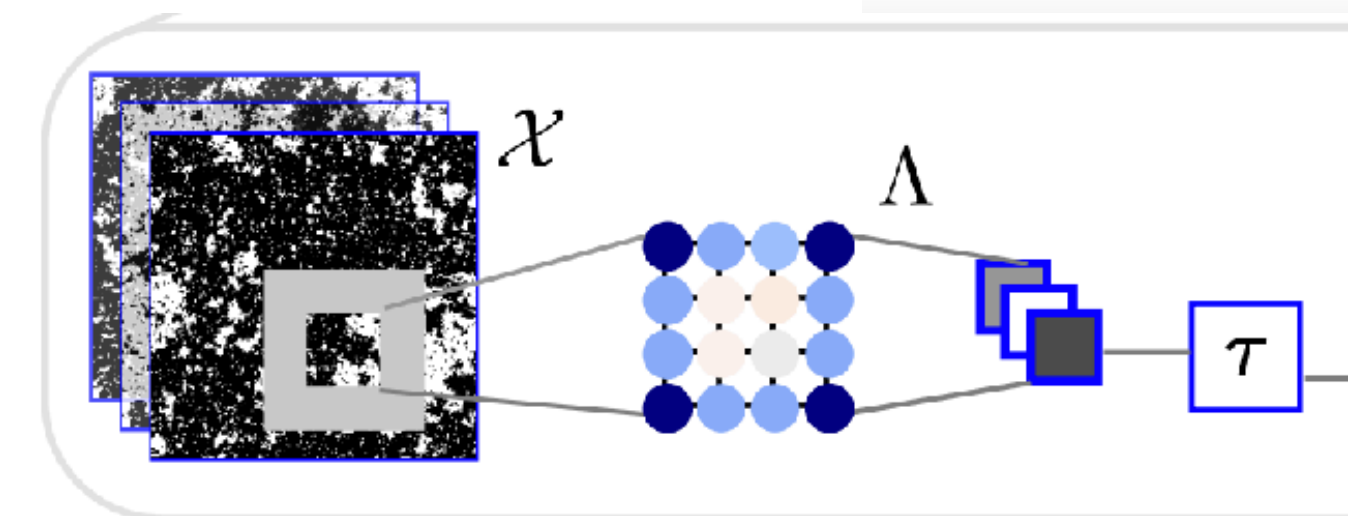
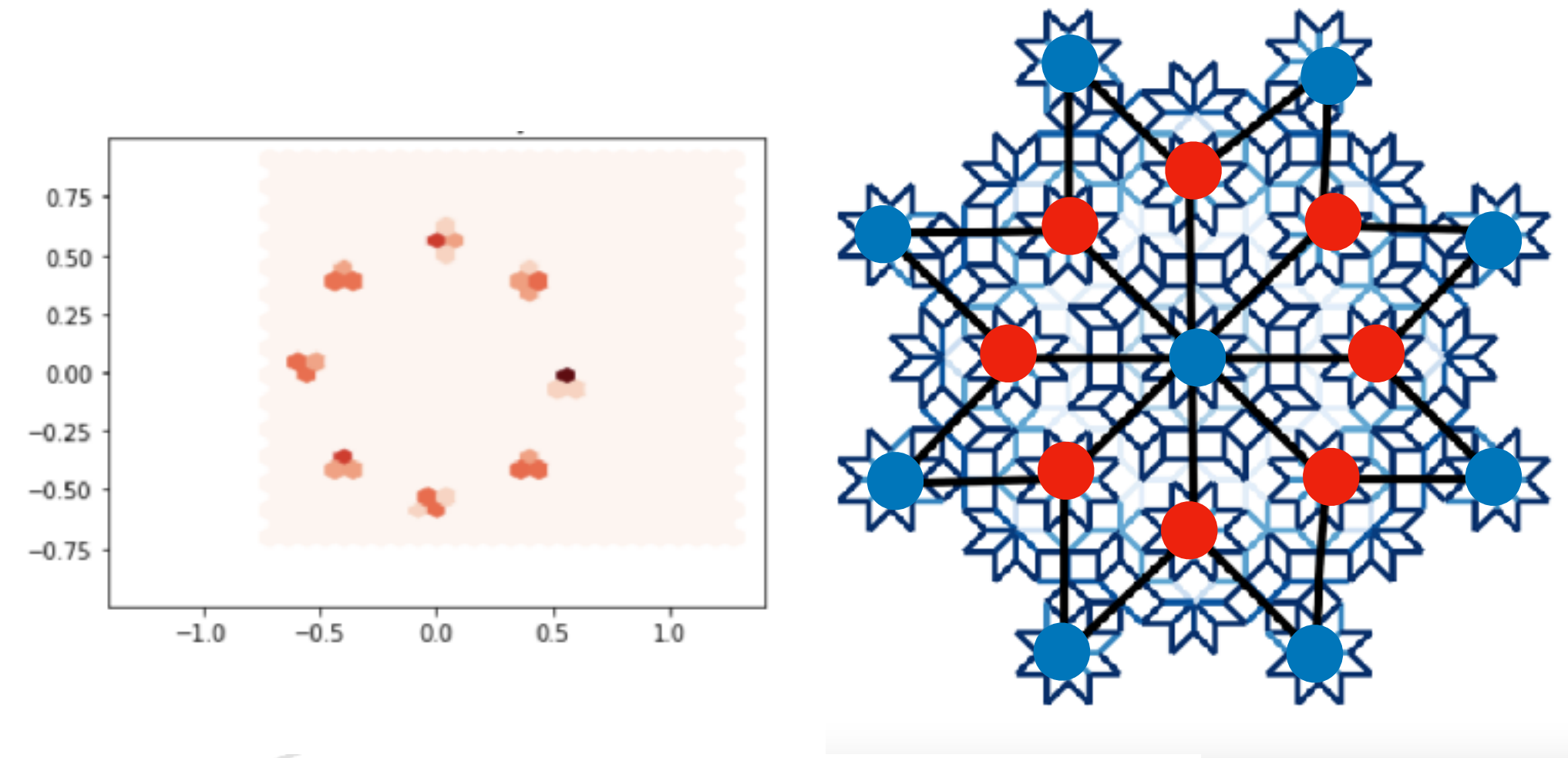




Histogram of H1 and H2

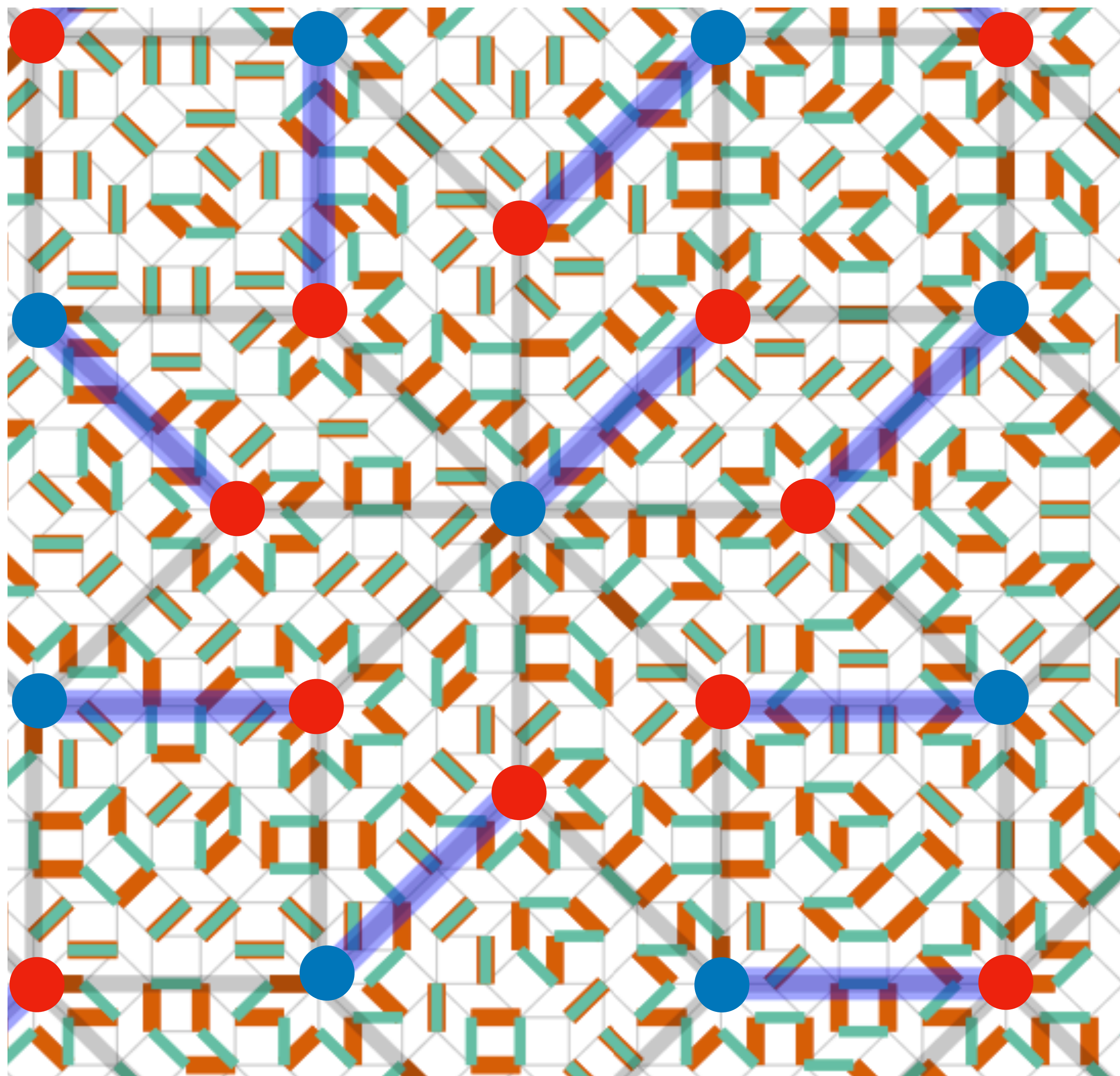


How to interpret these results?

- Find symmetries
- Examine $P(H|V)$
 - Visualize filters when based on a linear DNN
 - Find ideal input samples when based on generic DNN
- Scaling dimensions; Degeneracies; Representations
- Talk to the experts



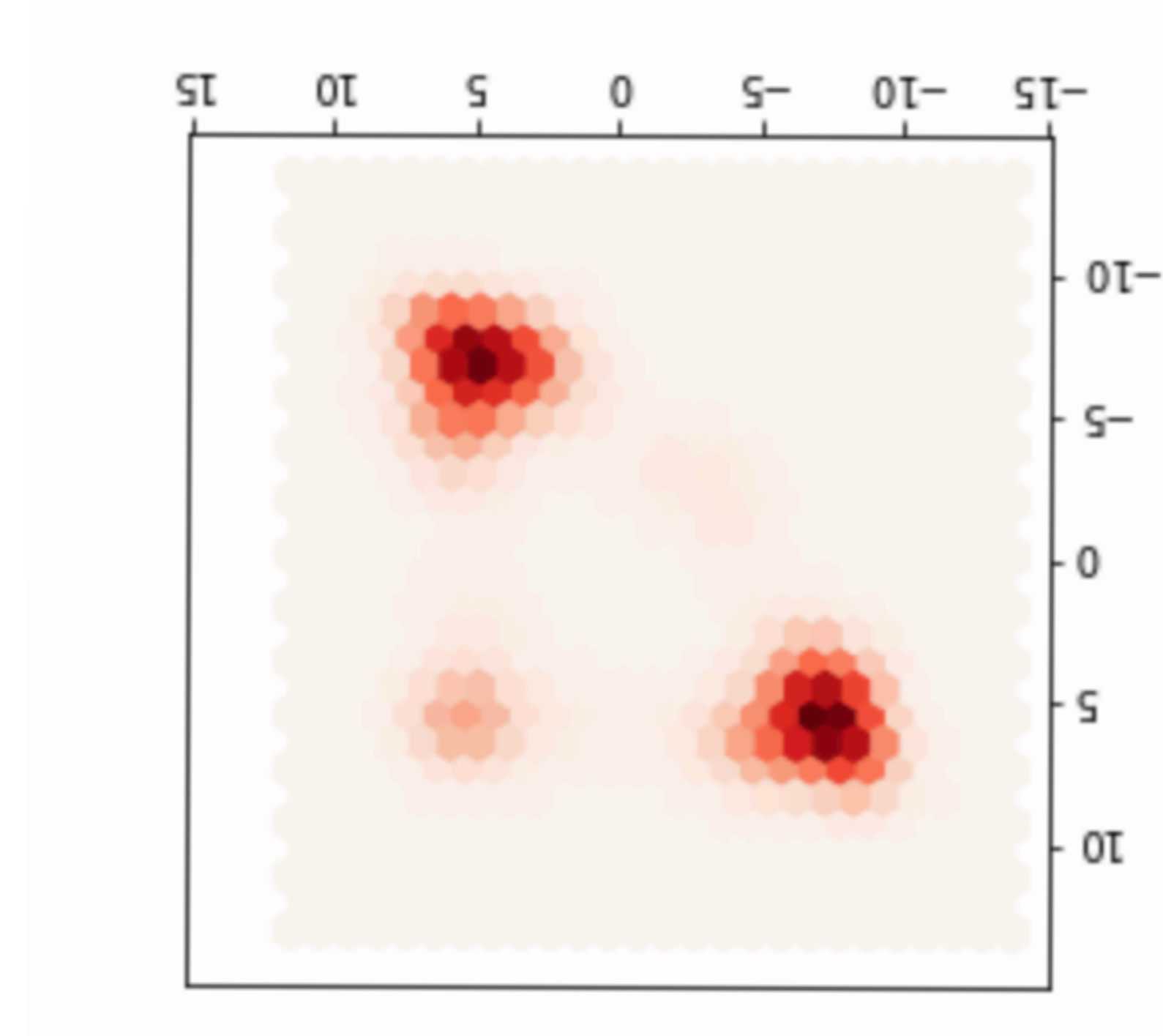
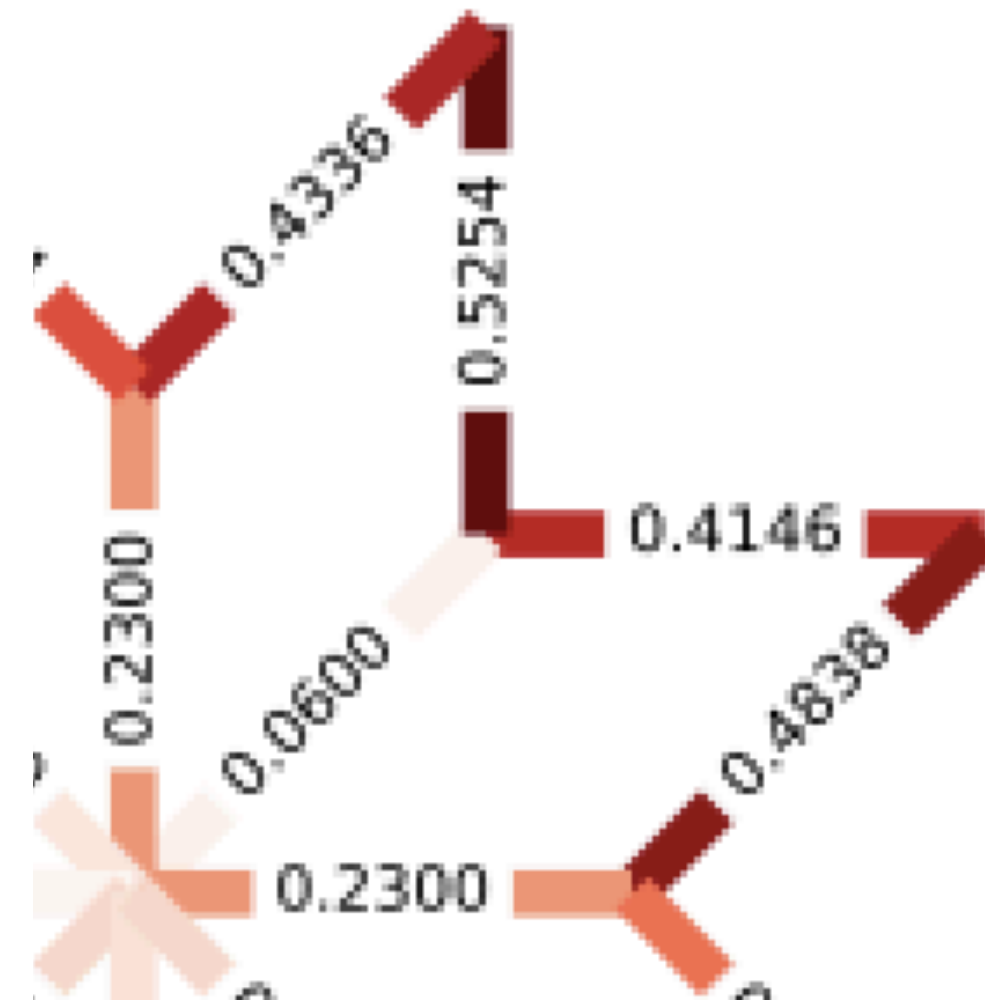
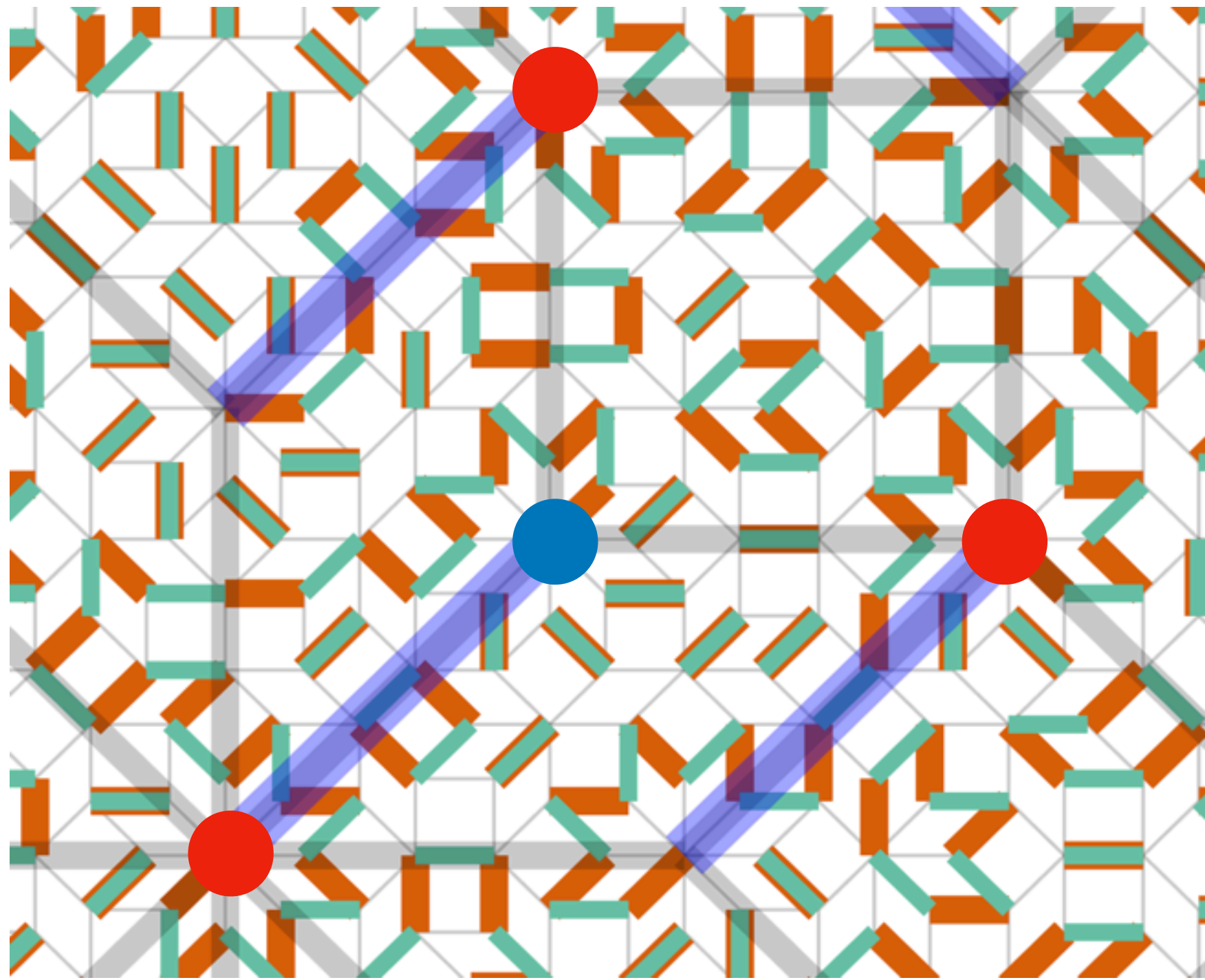
-  Dimer
-  Ref. Dimer
-  Coarse Grained Dimer
-  Alternating Path



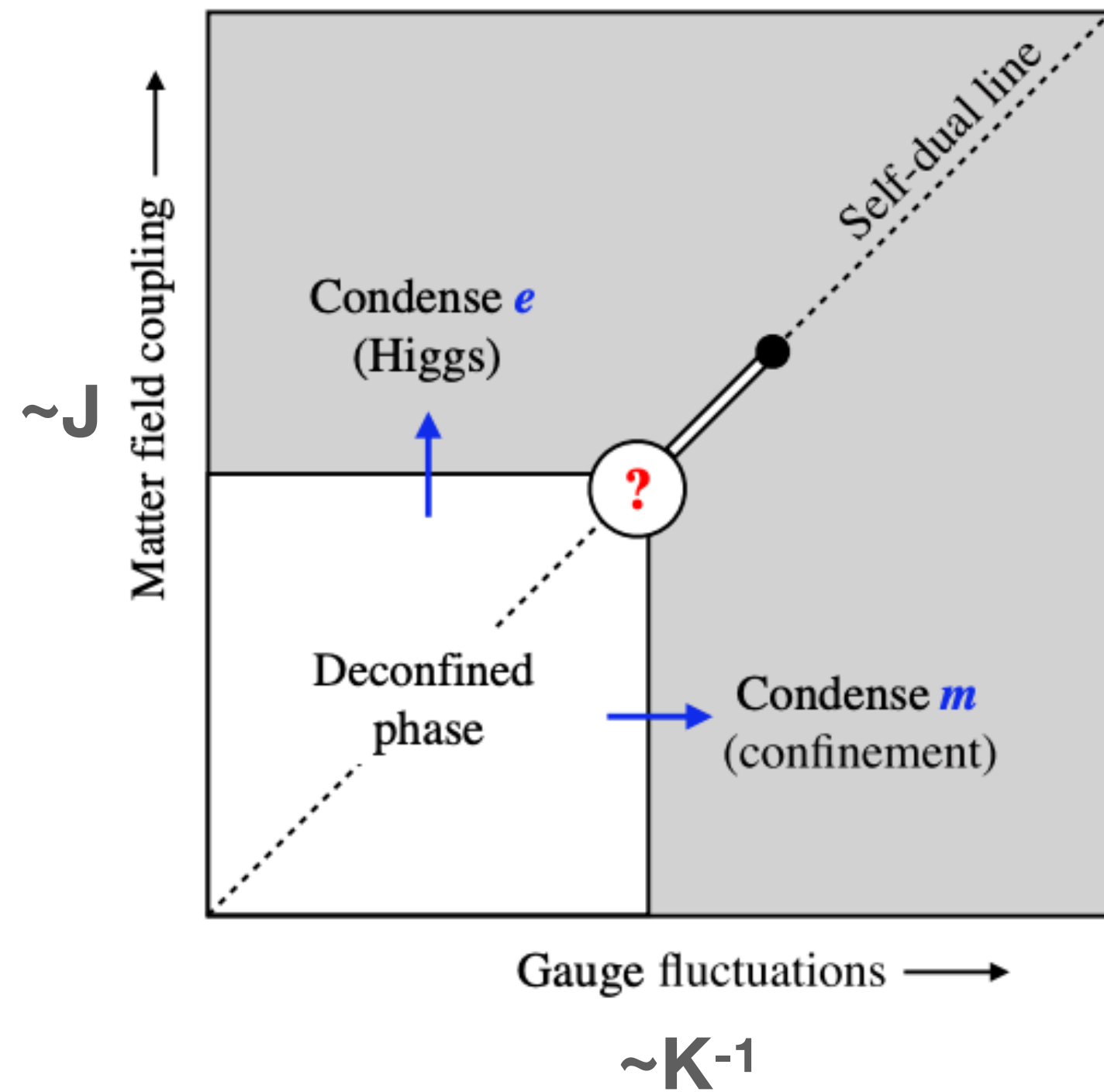
Biswas, Ringel, Flicker, Koch-Janusz - To be published

Also Biswas, Parameswaran - To be published

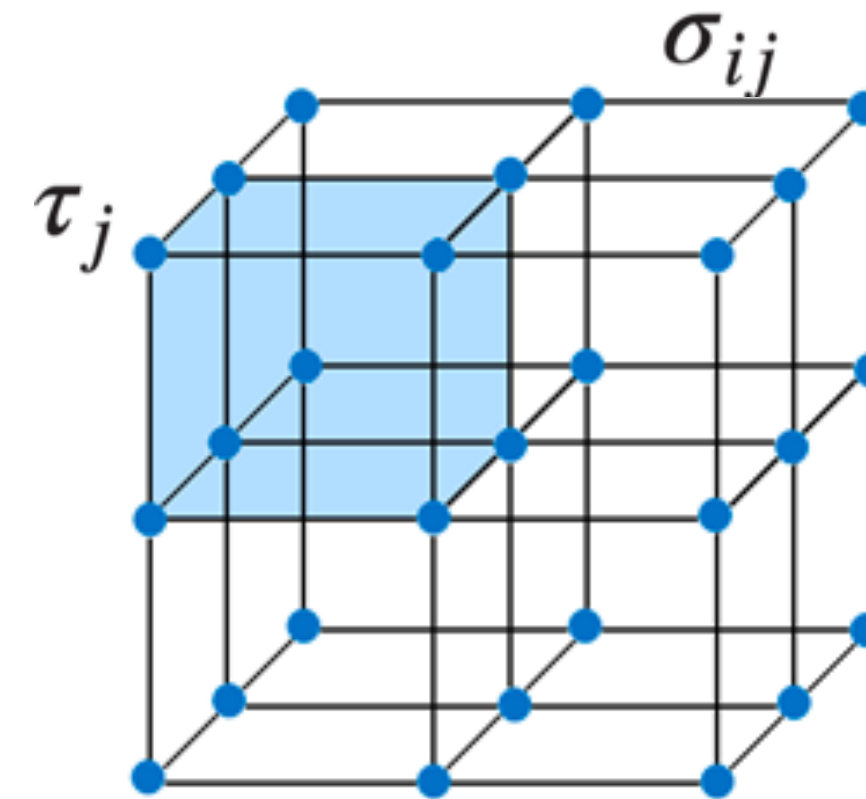
Conjecture - percolating paths are the coarse variables and behave as dimers



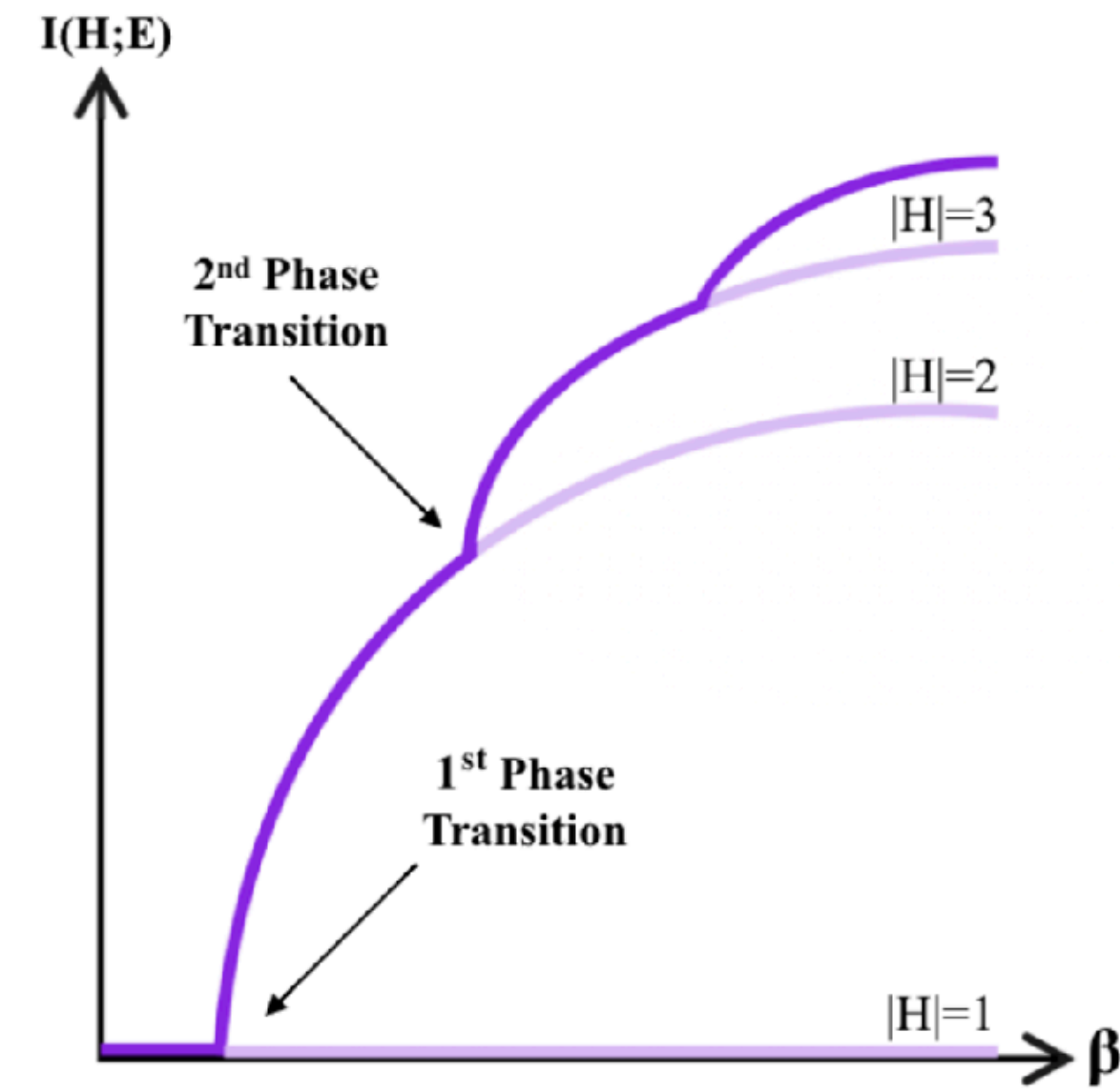
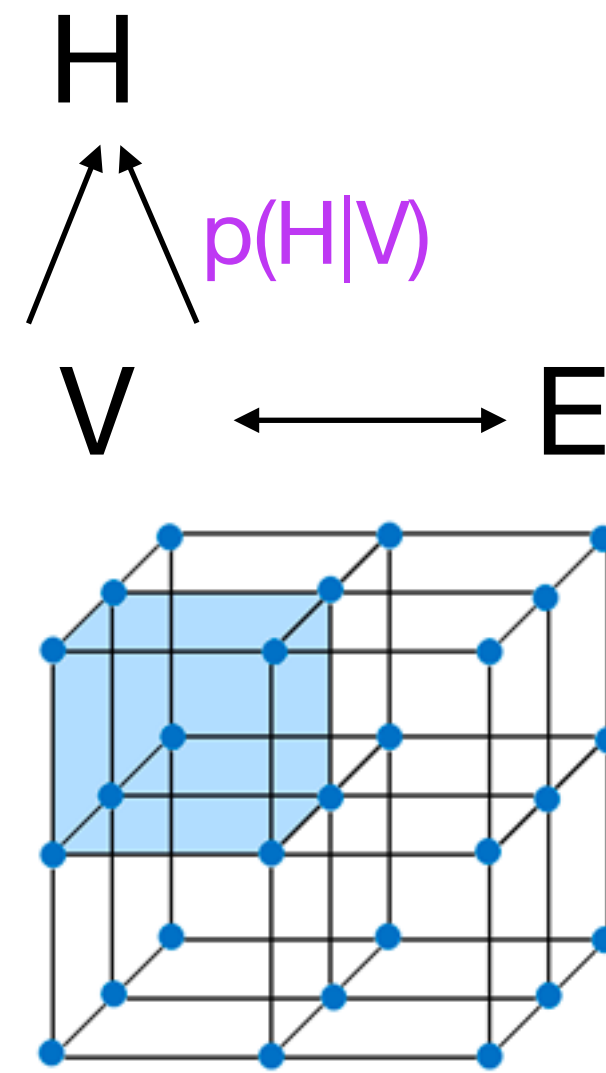
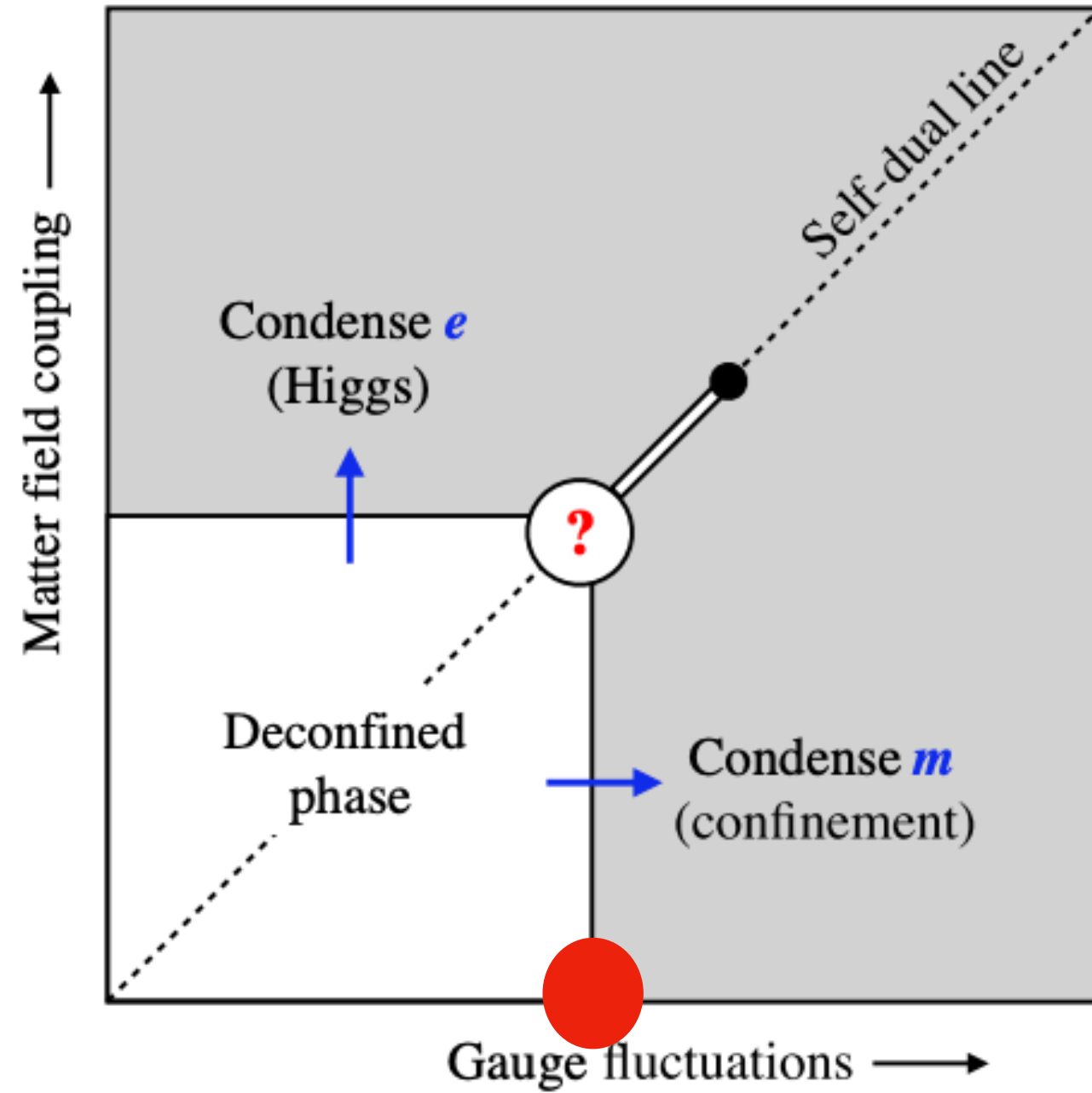
Self Dual Z2 Gauge-Higgs theory in 3d



$$Z \propto \sum_{\{\sigma\}, \{\tau\}} \exp \left(K \sum_{\square} \left(\prod_{\langle ij \rangle \in \square} \sigma_{ij} \right) + J \sum_{\langle ij \rangle} \tau_i \sigma_{ij} \tau_j \right)$$



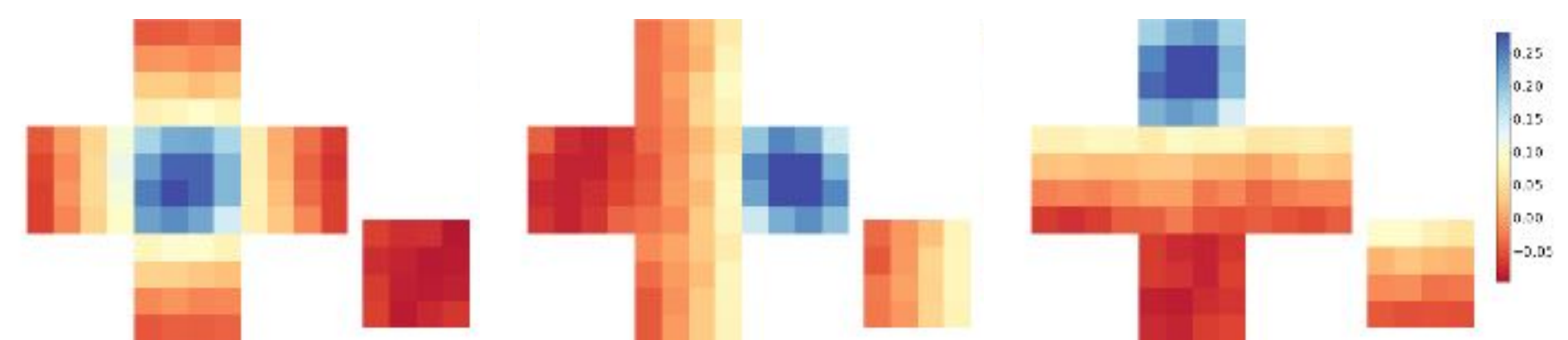
Apply our IB-RG approach - warm up



1st IB transition

Energy operator

$$\Delta=1.41$$

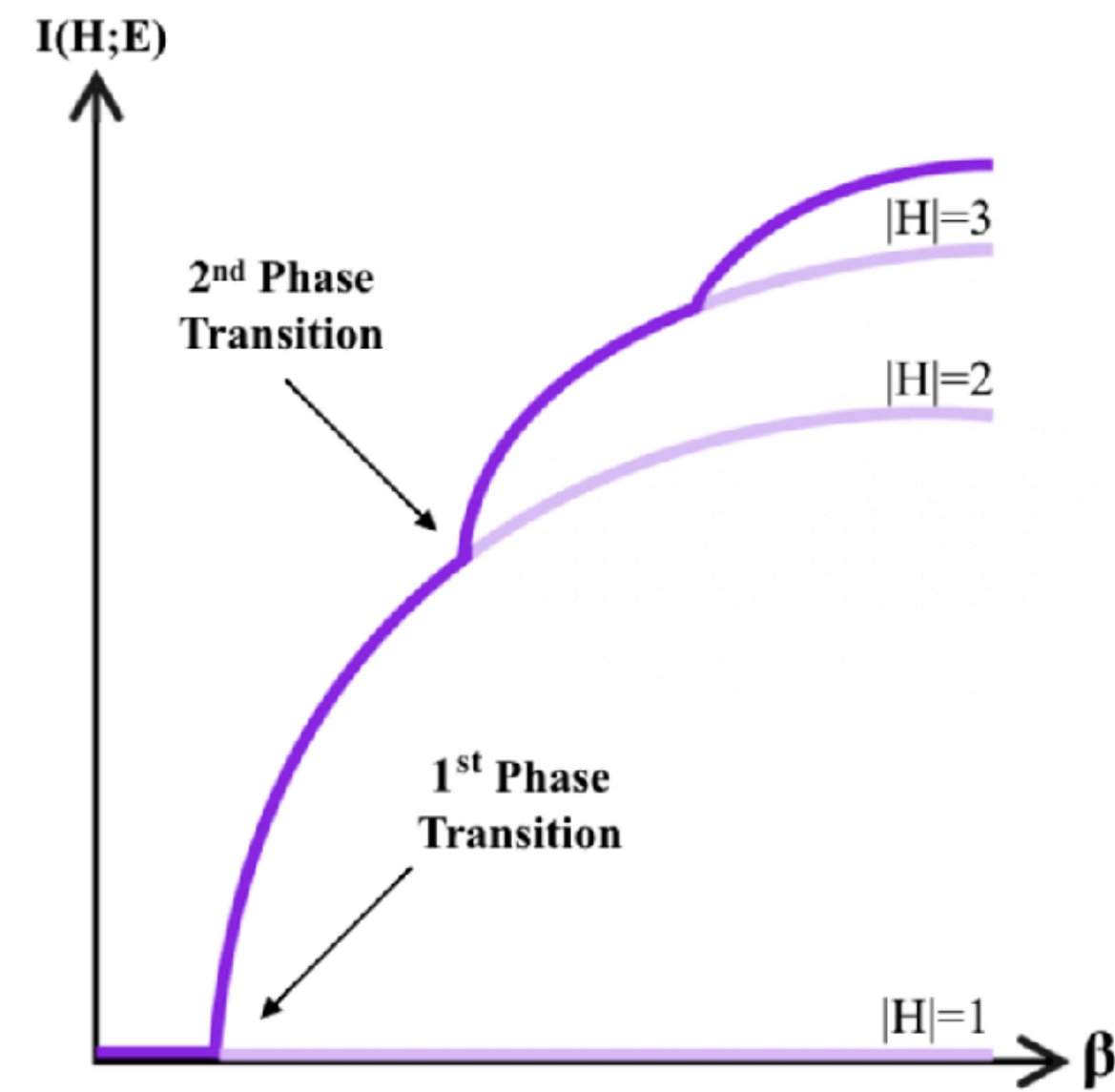
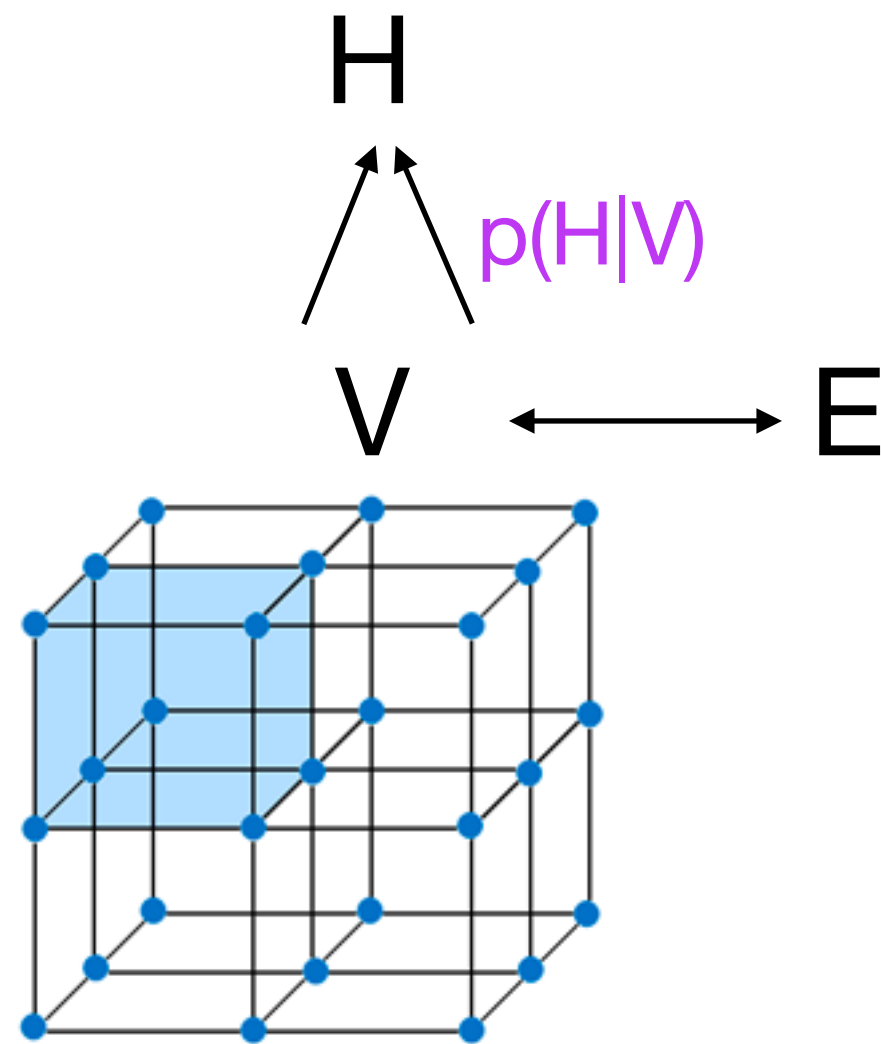
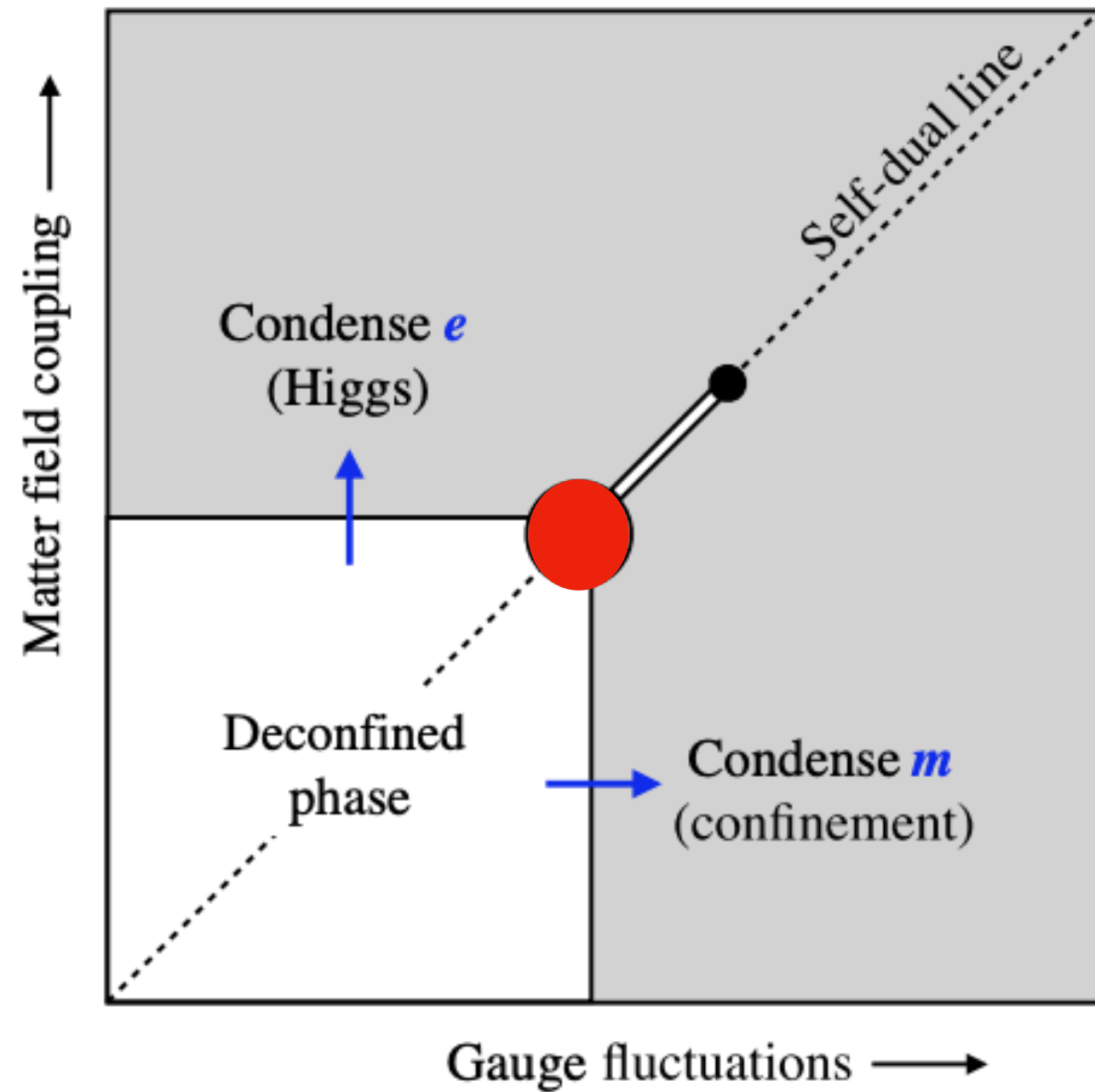


2nd IB transition

Three derivatives of energy operator

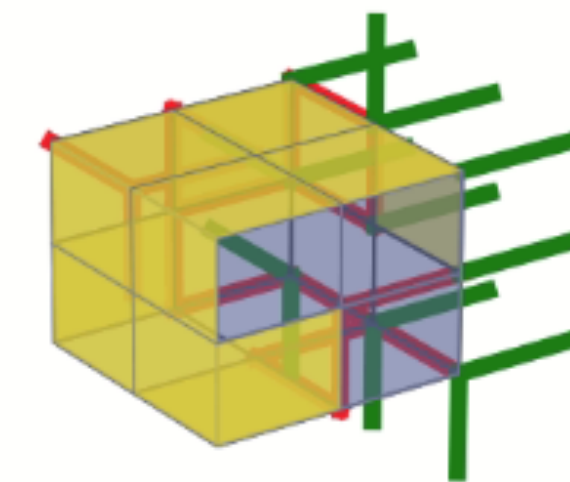
$$\Delta=2.41$$

Apply our IB-RG approach - dual point



1st IB transition

Energy operator
 $\Delta=1.4-1.5$

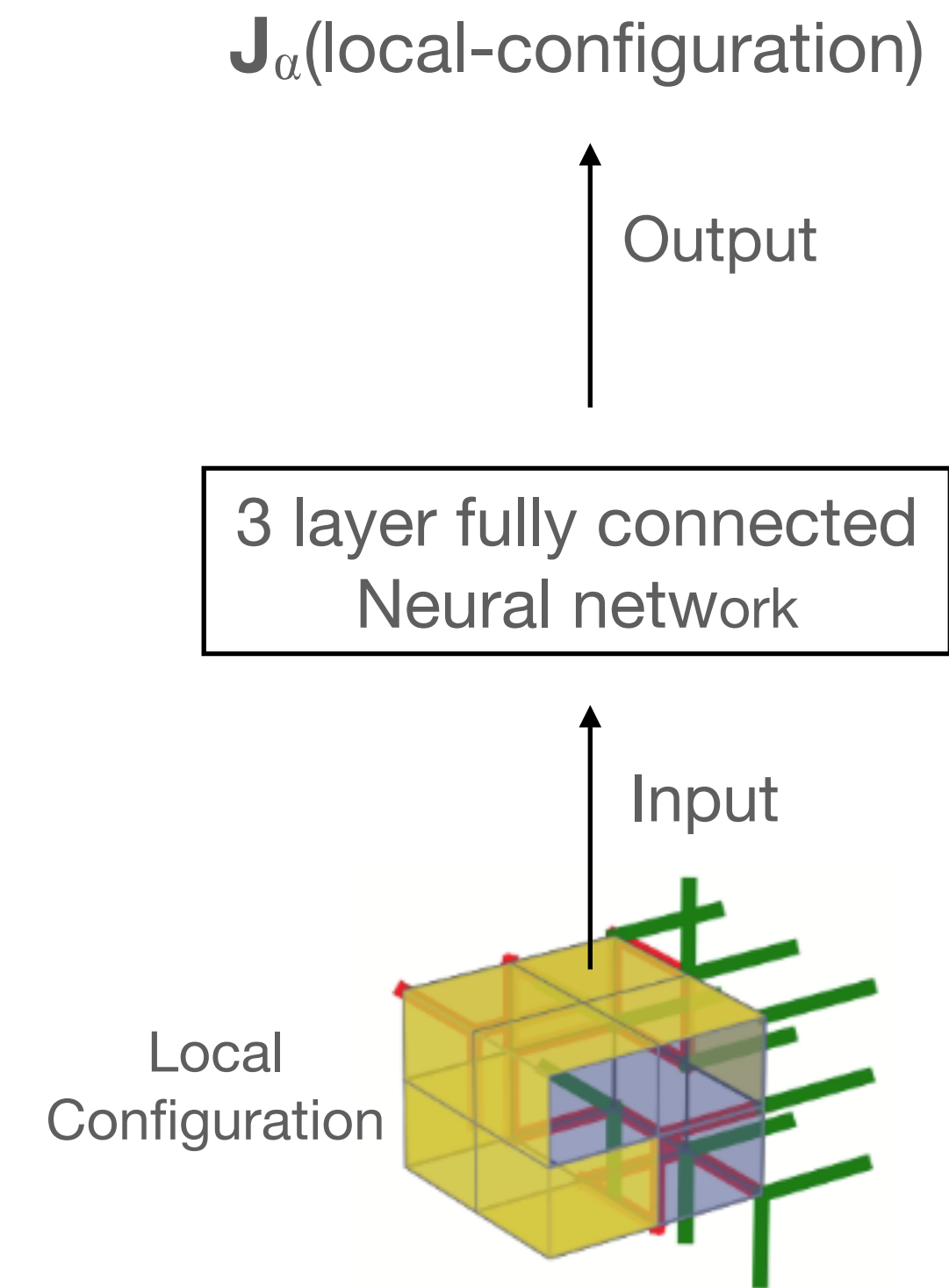
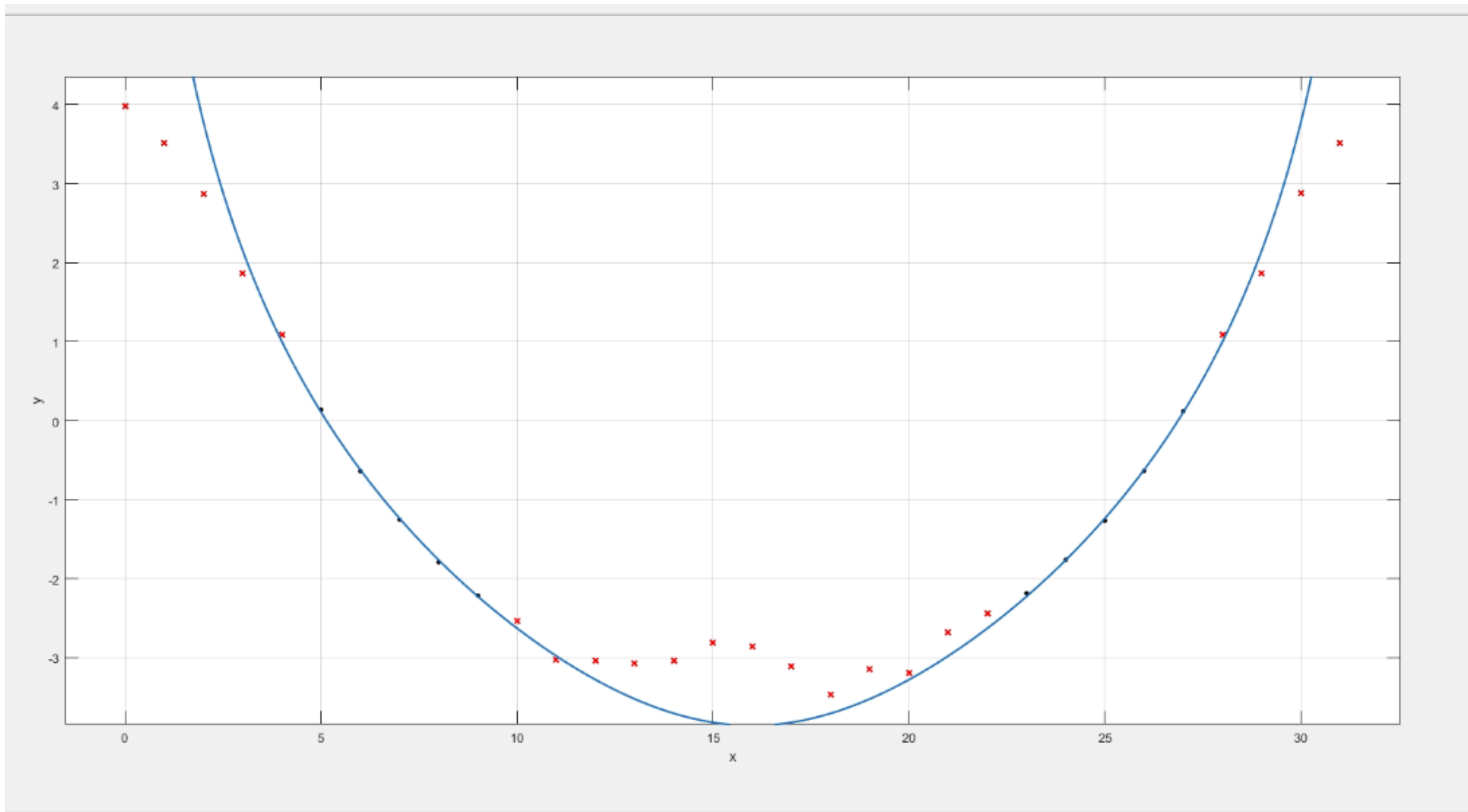


2nd IB transition

A non-scalar operator with scaling dimension 2

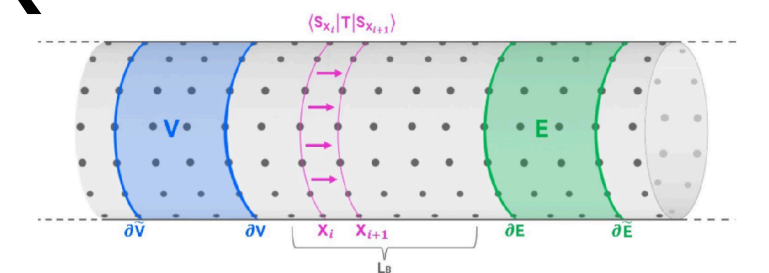
Emergent U(1)
symmetry
?!

Suspected microscopic current operator (very preliminary...)

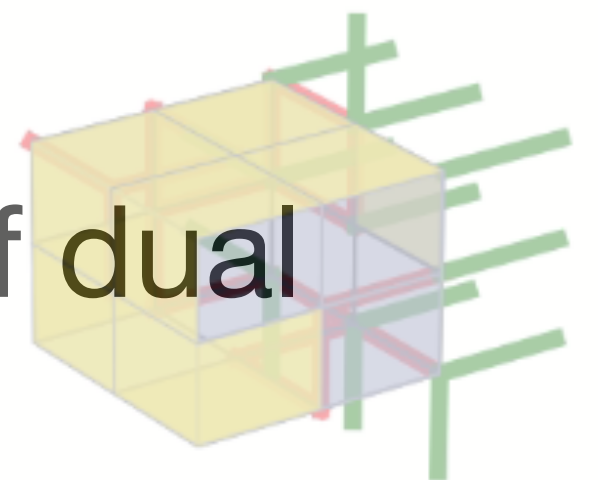


Summary

- A concrete dictionary is emerging between information theory notion of relevance (IB) and physics notion of relevance (large-transfer-matrix eigenvectors/relevant-operators)
- Several deep learning based tools allow controlled numerical solutions of IB
- Applying this approach to two unsolved problems we get interesting preliminary results



- Very preliminary evidence for an emergent current operator in a self dual gauge theory.
- Note that the method also has the ability to refute the existence of such an operator.



- We find a coarse graining method for a dimers on a quasi-periodic

