# Are there phase transitions in strong-field regimes?

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## Strong-field QED has no known phase transition

#### QCD phase diagram

- Commonly believed to have multiple phases
- Details largely uncertain: order of transitions, location of boundaries, existence of critical points
- Active research area: analytical theories, lattice simulations, heavy ion collision experiments, astrophysical observations...



Baryon chemical potential

#### SF-QED phase diagram?

- Observables depend on parameters smoothly? No discontinuity?
- Normalized parameters
  - Laser  $(A_{\mu}, k_{\mu})$  intensity: a = eA/m
  - Particle  $(p_{\mu})$  energy:  $b = pk/m^2$
  - Field strength in particle frame:  $\chi = ab$



#### Phase transition: discontinuous behavior of order parameters

Ingredients of modern description of phase transitions

- Free energy  $\epsilon$  is minimized by physically realized states
- Order parameter  $\psi$  is an observable that characterizes the states
- Control parameter  $\tau$  specifies an adjustable condition

First-order phase transition

- $\psi( au)$  is a discontinuous function
- Example: solid → liquid → gas →plasma
   ε: Gibbs free energy
  - $\psi$ : entropy
  - $\tau$ : temperature
- Second-order phase transition
  - $\psi( au)$  is continuous but  $\psi'( au)$  is not
  - e.g., ferromagnetism
    - $\epsilon$ : Helmholtz free energy
    - $\psi$ : magnetization,  $\tau$ : temperature
  - e.g., superconductivity  $\epsilon$ : energy,  $\psi$ : Cooper pair density,  $\tau$ : B field



#### Phase transitions exist in nonabelian theories

QCD

- Bound states obvious due to existence of hadrons (proton, neutron...) and mesons (pion, kaons...)
- Quark-gluon-plasma phase expected because of asymptotic freedom

$$\frac{\overline{\alpha}_s}{\alpha_s} = \frac{\alpha_s}{1 + (b_0 \alpha_s / 2\pi) \log(q/M)}$$

$$b_0 = 11 - \frac{2n_f}{3} > 0$$

$$q/M$$

QED

- Low-energy limit is quantum mechanics, describe e<sup>-</sup> in materials, abundant phase transitions
- At higher energy, no more bound states, but stronger coupling. More phases?

$$\frac{\bar{\alpha}}{\alpha}$$
$$\bar{\alpha} = \frac{\alpha}{1 - (2\alpha/3\pi)\log(q/M)}$$

q/M

 $\phi^4$ 

- Important toy model. Allows spontaneous symmetry breaking, phenomenological phase transitions
- Not asymptotically free but additional phase transitions due to strong source fields!

$$\bar{\lambda} = \frac{\lambda}{1 - (3\lambda/16\pi^2)\log(q/M)}$$

$$A \begin{bmatrix} 0010 \\ 2010 \\ 1110 \\ R \end{bmatrix}$$

# Analogies between strong-field QED and $\phi^4$ model

#### **QED** with background fields

$$\mathcal{L} = \bar{\psi}[i\gamma^{\mu}(\partial_{\mu} - ie\bar{A}_{\mu}) - m]\psi$$

- $\bar{A_{\mu}}$ : background fields generated by external current, e.g. laser pulses
- 1-loop running coupling: not asymptotically free

$$\bar{\alpha} = \frac{\alpha}{1 - (2\alpha/3\pi)\log(q/M)}$$

- Scales of the background fields
  - Size: wavelength of laser
  - Strength: intensity of laser

#### $oldsymbol{\phi}^4$ with external sources

$$\mathcal{L} = \frac{1}{2} (\partial \phi)^2 - \frac{\lambda}{4!} (\phi^2 - v^2)^2 - \mathbf{f} \bar{\psi} \psi \phi$$

- $S = f \langle \overline{\psi} \psi \rangle$ : sources due to fermion distributions, e.g. neutron stars
- 1-loop running coupling: not asymptotically free

$$\bar{\lambda} = \frac{\lambda}{1 - (3\lambda/16\pi^2)\log(q/M)}$$

- Scales of the background fields
  - Size: radius of matter distribution
  - Strength: density of matter

## $\phi^4$ model: finite-energy potentials due to external sources

- Static  $\phi^4$  potentials are **not unique** for given  $\phi(\infty)$ ! v.s. electrostatic potential due to external charge is unique
- Finite-energy potentials (FEP) are countable
   For smooth source, FEPs are of finite total energy
   Other configurations are of finite energy density but infinite total energy
   In large volume, FEPs are configurations that minimize energy
   Field value at origin φ(0) serves as an order parameter
- External sources lead to 2<sup>nd</sup> order **phase transitions** How many FEPs exist depends on source size and strength When source parameters vary, order parameter has discontinuities
- The phase diagrams **depend on dimensionality** In 1D, there are 3 phases in total In higher dimensions, 5 phases in total



#### Classical potentials as static solutions of field equations

- Low-energy interactions described by classical potentials e.g. Coulomb potential, Yukawa potential
- Potentials are static solutions of classical field equation φ: real scalar field, D: spatial dimension, S: spherically symmetric source

$$\frac{\partial^2 \phi}{\partial r^2} + \frac{D-1}{r} \frac{\partial \phi}{\partial r} = \frac{\partial U}{\partial \phi} + \mathcal{S} \qquad \qquad U = \frac{\lambda}{4!} (\phi^2 - v^2)$$

• Nondimensionalized equation resembles dynamical systems

$$q = \phi/v$$
  

$$\rho = rm$$
  

$$m = v\sqrt{\lambda/3}$$
  

$$p = dq/d\rho$$
  

$$\dot{p} = dp/d\rho$$
  
Gaussian source  

$$R = am$$
  

$$A = \alpha m^{D-2}/v$$
  

$$\dot{p} = dp/d\rho$$
  

$$\dot{p} = dp/d\rho$$
  

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• Total energy of field configurations usually infinite in  $\mathbb{R}^D$   $E = \int d^D x [\frac{1}{2} (\partial_t \phi)^2 + \frac{1}{2} (\nabla \phi)^2 + U + S \phi] = v^2 m^{2-D} \sigma_{D-1} H$  $H = \int_0^\infty d\rho \rho^{D-1} \mathcal{E}, \qquad \mathcal{E} = \frac{1}{2} p^2 + \frac{1}{8} (q^2 - 1)^2 + Sq \quad \longleftarrow \quad \text{Energy density}$ 



## 1D phase diagram: 2<sup>nd</sup> order phase transitions

- Finite energy potential (FEP) is a static configuration that minimizes energy
- All FEPs have same boundary conditions  $\pm 1$
- FEPs have different **critical initial values**  $q(0) = q_c$ , serve as an order parameter
- Fermions become massless when FEPs cross zero  $q(\rho_s) = 0$  at **light horizon (LH)**: order parameter
- Control parameters:
   source size *R* and strength *A*
- Three phases

**Results** 

- 1110 phase: 3 FEPs, 1 with LH
- 2010 phase: 3 FEPs, 0 with LH
- 0010 phase: 1 FEP, 0 with LH
- Asymptotic phase boundaries

$$\begin{aligned} R &\ll 1 \,, \qquad A_{1110}^{0010} \simeq 1 \\ R &\gg 1 \,, \qquad A_{1110}^{2010} \simeq \sqrt{\frac{\pi}{6}} \frac{R}{3} \,, \quad A_{2010}^{0010} \simeq \sqrt{\frac{\pi}{3}} \frac{R}{3} \end{aligned}$$



#### 2D phase diagram: additional phases

- Without external source, no nontrivial field configuration (Derrick's theorem)
- External source balances singular term 1/r, leading to multiple FEPs. e.g. pierced Yukawa potential, hopping potential, adiabatic potential...
- Five phases
  - 1010 phase: 2 FEPs, 0 with LH
  - 0110 phase: 2 FEPs, 1 with LH
  - 2110 phase: 4 FEPs, 1 with LH
  - 1210 phase: 4 FEPs, 2 with LH
  - 0310 phase: 4 FEPs, 3 with LH
- Phase diagram has 1 double point,
  2 quadruple points, no critical point
- Asymptotic phase boundaries

$$\begin{split} A^{0110}_{1010}(D=2) &\simeq \frac{2\pi}{\ln(2/R) - \gamma/2}, \qquad A^{1210}_{1010} \simeq \frac{D-1}{3}\sqrt{2e\pi^D}R^{D-1}\\ A^{0110}_{1010}(D=3) &\simeq \frac{2\pi R}{1/\sqrt{\pi} - R/2}, \qquad A^{2110}_{1210} \lesssim A^{0110}_{2110} \simeq \frac{\pi^{D/2}}{3\sqrt{3}}R^D \end{split}$$



#### 3D phase diagram: qualitatively similar

- Two FEP are created or annihilated, add/remove pair of energy levels, at 0110-0310, 2110-0110 transitions
- A FEP crosses  $q(\rho_s) = 0$ , add/remove a light horizon (LH), at 1010-0110, 1210-0310, 1210-2110 transitions
- Asymptotic LH radius in 1210 phase

$$\rho_+ \simeq R \sqrt{\ln \frac{3RS_0}{D-1}} , \qquad \rho_- \simeq \frac{D-1}{3S_0}$$

LH is a **nonperturbative** phenomena, depends on  $1/\lambda$ LH **potentially macroscopic**  $\gg$  Compton wavelength

- Energy ordering of FEPs switches when A, R change
   e.g. 1210 phase in 3D, normalized total energy is H
  - $S_0 R > 0.57$ , hopping potential is 1<sup>st</sup> excited state
  - $S_0 R < 0.57$ , hopping potential is 2<sup>nd</sup> excited state



#### Physical implications of source-induced phase transitions

#### Example: Standard-Model Higgs with matter distributions as sources in 3D

- In Standard Model, Higgs field originates from SU(2) doublet with  $\phi^4$  nonlinearity. Spontaneous symmetry breaking leads to vacuum expectation value (VEV), endow elementary particles with masses
- Most matter distributions are in the perturbative phase, causing little distortions to the Higgs VEV
- Compact sources (heavy fermions, neutron stars, white dwarfs...) are potentially in nonperturbative phases with strong Higgs VEV distortions, light horizon radius comparable to source sizes

source prototype	size	R	mass	A	$A/A_c$	phase	$r_c$	$-E_0$	$\Delta E$	
hypothetical fermion	> 0.2 am <sup>a</sup>	0.1	$10^3 { m GeV}$	$10^{0}$	$10^{0}$	0110	$0.2\mathrm{am}^{\mathrm{b}}$	$10^3 { m GeV}$	$10^3 { m ~GeV}$	
top quark	1 am $^{\rm a}$	0.7	$173 { m ~GeV}$	$10^{-1}$	$10^{-2}$	1010		$10^2 { m GeV}$	$10^2 { m GeV}$	A course strength at
$^{12}\mathrm{C}$	$3~{ m fm}$	$2 \times 10^3$	$11.3 \mathrm{GeV}$	$10^{-4}$	$10^{-11}$	1010		$10^{-1} { m GeV}$	$10^{-1} { m GeV}$	$A_c$ . Source strength at
$^{197}\mathrm{Au}$	8 fm	$5 \times 10^3$	$185 { m GeV}$	$10^{-3}$	$10^{-11}$	1010		$10^0 { m GeV}$	$10^0 { m GeV}$	phase boundaries
electron	$0.4 \text{ pm}^{a}$	$2 \times 10^5$	$0.511~{\rm MeV}$	$10^{-6}$	$10^{-18}$	1010		$10^0 {\rm MeV}$	$10^0 { m MeV}$	
fusion stagnation	$50 \ \mu m$	$3 \times 10^{13}$	1 mg	$10^{16}$	$10^{-12}$	1010		$10^9 { m J}$	$10^9 \mathrm{J}$	$r_c$ : light horizon radius
uranium ball	1 m	$6 \times 10^{17}$	80 t	$10^{27}$	$10^{-10}$	1010		$10^{20}$ J	$10^{20} \mathrm{~J}$	
neutron star	10 km	$10^{22}$	$1.5 M_{\odot}$	$10^{52}$	$10^{8}$	(1210)	$40 \ \mu m, 50 \ km$	$10^{11} L_{\odot}$ ·yr	$10^4 L_{\odot}$ ·yr	$E_0$ : energy of ground-
earth	$6 \times 10^3 \text{ km}$	$4 \times 10^{24}$	$6 \times 10^{24} \text{ kg}$	$10^{47}$	$10^{-3}$	1010		$10^5 L_{\odot}$ ·yr	$10^6 L_{\odot} \cdot { m yr}$	state configuration
white dwarf	$10^4 { m \ km}$	$10^{25}$	$0.6 M_{\odot}$	$10^{52}$	$10^{2}$	1210	70 km, $2 \times 10^4$ km	$10^{11} L_{\odot}$ ·yr	$10^{10}~L_{\odot} m \cdot yr$	
Jupiter	$7  imes 10^4  m \ km$	$4 \times 10^{25}$	$2 \times 10^{27} \text{ kg}$	$10^{49}$	$10^{-3}$	1010		$10^8 \; L_\odot \cdot { m yr}$	$10^8 \; L_\odot \cdot { m yr}$	$\Delta E$ : gap between ground
$\operatorname{Sun}$	$R_{\odot}$	$4 \times 10^{26}$	$M_{\odot}$	$10^{52}$	$10^{-2}$	1010		$10^{11} L_{\odot}$ ·yr	$10^{11} L_{\odot}$ ·yr	and 1 <sup>st</sup> excited state
supergiant	$500 R_{\odot}$	$10^{29}$	$15 M_{\odot}$	$10^{53}$	$10^{-6}$	1010		$10^{12} L_{\odot}$ ·yr	$10^{12} L_{\odot}$ ·yr	
dwarf galaxy halo <sup>c</sup>	25  kpc	$10^{38}$	$10^9 M_{\odot}$	$10^{61}$	$10^{-17}$	1010		$10^{20} L_{\odot}$ ·yr	$10^{20} L_{\odot}$ ·yr	
Milky Way halo <sup>c</sup>	280 kpc	$10^{40}$	$10^{12} M_{\odot}$	$10^{64}$	$10^{-16}$	1010		$10^{23} L_{\odot}$ ·yr	$10^{23} L_{\odot}$ ·yr	

## What about strong-field QED?

- From the  $\phi^4$  toy model, we see
  - Asymptotic freedom is not essential, abelian theories can have additional phase transitions in the presence of external sources
  - Nonlinearity is essential for phase transitions, which leads to multiple local minima of energy.
     Switching between minima is discontinuous.
- Speculation of possible phase transition in SF-QED
  - Intense lasers interact with seed particles to create a pair plasma via  $\gamma e^+/e^-$  cascade
  - Laser self focus in pair plasma, creating stronger fields, run-away cascade until laser exhausts?
  - At low density, particles are free to fly apart
  - At high density, stronger couplings bind fire balls?
- Future work: Model feedback to laser fields, capture self-consistent nonlinear evolutions



